

**2008  
HOUSING AND  
COMMUNITY  
DEVELOPMENT  
CONSOLIDATED  
ANNUAL ACTION PLAN**

**LAKE COUNTY CONSORTIUM**

**LAKE COUNTY, ILLINOIS**

**NORTH CHICAGO, ILLINOIS**

**WAUKEGAN, ILLINOIS**

**MARCH 14, 2008**

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## EXECUTIVE SUMMARY

The *2008 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2008 (PY2008) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the American Dream Downpayment Initiative (ADDI) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2008 to April 30, 2009.

These community development investments will assist the Consortium members to address prioritized goals previously identified in the *2005 – 2009 Housing and Community Development Consolidated Plan*. The Consolidated Plan is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the Consolidated Plan, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the American Dream Downpayment Initiative (ADDI) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Supportive Housing Program (SHP) and the Shelter Plus Care (S+C) Program, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low- and moderate-income residents or for special needs housing.
- The ADDI Program provides funds for upfront down payment, closing cost assistance (ie: origination fees, credit reports, title fees, recording fees, attorney fees, and appraisal fees) and/or rehabilitation funds for low-income first-time homebuyers to purchase a single-family principal residence.

- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency/transitional shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2005 – 2009 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

The allocations presented here are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. To best meet the needs of all Housing Fund applicants, the Lake County Consortium has established two specific rounds of funding for PY2008. The first deadline for application submittal was November 1, 2007. Depending upon the availability of remaining un-obligated housing funds, distribution of Round #2 funding may be limited to particular project types and/or specific geographic areas. Applications for Round #2 funding will be accepted on or before May 1, 2008, and will result in the holding of two Public Hearings and customary approvals of an Addendum to the 2008 Annual Action Plan.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006 and FY2007 the County Board appropriated an additional \$300,000 per year. To date, \$101,000 in “program generated funds” has been returned to Lake County for additional funding awards. In FY2008, the County appropriated \$300,000 in additional funds such that applications are due March 15, 2008. In total, \$3.04 million dollars has

been allocated to the Lake County Affordable Housing Program, of which \$2.74 million dollars has been subsequently awarded to municipalities, non-profit agencies and for-profit entities to develop 36 affordable housing projects and programs within Lake County containing 674 affordable housing units worth over \$106 million dollars.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. To date, nine of the thirty six County-funded projects have been awarded both HOME and LC-AHP funds: A Safe Place, Inc., Habitat for Humanity of Lake County/Carter Woods & Carter Crossing, Perlmark Realty Corporation, Lake County Residential Development Corporation/Hutchins Affordable Townhomes, Highland Park IL Community Land Trust, Youthbuild Lake County and the Village of Round Lake Beach's Housing Acquisition and Rehabilitation Program (see the individualized 2002 through 2007 Housing & Community Development Consolidated *Annual Action Plan* project descriptions). Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The Lake County Consortium will target its first-time homebuyer assistance using the American Dream Downpayment Initiative (ADDI) to residents of public and manufactured housing and to families otherwise receiving public housing assistance. Targeted outreach efforts include annual letters to public housing authorities and municipalities, announcements at various public meetings, and referrals. To ensure suitability for ADDI assistance, prospective homebuyers must undergo pre-purchase homebuyer education. Additional counseling services must be offered as needed. These ADDI requirements are incorporated into the Lake County Consortium HOME/CDBG Housing Fund Guidelines, the Application and Instructions package and the Subrecipient Agreement. At the present time, it is **estimated** that the Lake County Consortium will be allocated \$13,104 in PY2008 ADDI funds.

The following tables summarize the proposed use of PY2008 HOME funds, PY2008 ADDI funds, PY2008 ESG funds, and PY2007 Continuum of Care awards for the entire Lake County Consortium, along with PY2008 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. Maps showing the locations of these proposed activities by project type are also provided for all three jurisdictions. In the sections that follow these tables and maps, specific projects that have been proposed for funding are individually described.

## **Description of Key Projects**

### **Lake County Consortium Activities**

At the present time, it is **estimated** that \$1,691,978 in HOME Investment Partnership (HOME) funds will be allocated toward the 2008 Program Year, to be used for sixteen (16) separate housing projects, programs, administration and operation. Affordable housing activities include both new construction and rehabilitation of rental properties for low income renters; two tenant-based rental



assistance programs; three CHDO Operating funded activities; three housing rehabilitation programs independently for Lake County and the Cities of North Chicago and Waukegan; and four First Time Homebuyers Programs (two for Lake County and one each for the Cities of North Chicago and Waukegan).

\$113,440 in Emergency Shelter Grant (ESG) funds will be allocated toward the 2008 Program Year, among two (2) emergency shelter projects, two (2) transitional housing projects, one (1) project that provides free legal services for persons at risk of homelessness, and administration costs. In terms of HUD funding categories, the PY2008 ESG funds are to be used toward Operations (3 projects), Essential Services (1 project), Homeless Prevention (1 project), and Program Administration activities.

The Lake County Continuum of Care was recently awarded Fiscal Year 2007 Supportive Housing Program and Shelter Plus Care funds in the amount of \$1,088,467 (included as reference material for the Action Plan). Funds will be used to: renew funding to maintain a scattered site transitional housing program for homeless women with or without children; renew funding to maintain a transitional housing program for homeless families; renew funding for a safe haven transitional housing program for chronically homeless persons; renew funding for supportive services in a program for chronically homeless persons; renew funding for a fixed site transitional housing program for women with children; renew funding for a supportive services program for the homeless designed to move persons from emergency shelter and transitional housing into permanent housing; renew funding for an emergency shelter for homeless individuals and families; renew funding for a fixed site transitional housing program for women with children; renew funding for a permanent housing program for homeless persons with developmental disabilities; renew funding for a program that provides legal services to homeless persons; renew funding for the Homeless Management Information System to track clients and better provide services; and to renew funding for a permanent housing program for chronically homeless persons. These projects are described briefly in this *Annual Action Plan* and more fully in the *2007 Continuum of Care Plan*.

### **Lake County Activities**

At the present time, it is **estimated** that \$2,618,139 in Community Development Block Grant (CDBG) funds will be allocated toward the 2008 Program Year, which will run from May 1, 2008 to April 30, 2009. Lake County proposes to spend its CDBG funds for thirty-eight (38) separate activities, including public services, public infrastructure improvements, housing projects, fair housing activities, and program administration.

The various activities funded under the Lake County Consortium HOME Program, the ESG Program and the CDBG Program include the following:

- \$1,457,104 for 16 affordable housing projects and/or programs.
- \$113,440 for 5 separate agencies involved in ESG funded emergency shelter, transitional housing, and homelessness prevention projects.

- \$381,819 to 12 separate agencies for public services activities, including employment skills training programs, food pantry services, basic health care, ex-offender reintegration services, self-sufficiency programs, sexual abuse counseling and crisis intervention services, case management and information and referral services, fair housing services, and basic health services.
- \$204,000 in CDBG funds for housing rehabilitation projects.
- \$1,522,399 for 22 public infrastructure improvement projects across Lake County, including road, watermain, sanitary sewer, and storm sewer/drainage improvements.

### **North Chicago Activities**

At the present time, it is **estimated** that \$312,796 in Community Development Block Grant (CDBG) funds will be allocated toward the 2008 Program Year. North Chicago proposes to spend \$312,796 in CDBG funds for seventeen (17) separate activities, including housing rehabilitation, public facility and infrastructure improvements, public services, economic development projects, fair housing activities, and program administration.

- \$50,000 for emergency rehabilitation of owner-occupied housing.
- \$10,000 for two public facility projects.
- \$56,000 for the infrastructure improvements of Streets and Alley improvements and the residential sidewalk replacement project.
- \$46,919 to 8 different agencies for public services to benefit youth, seniors, substance abuse programs, homeless services and prevention programs, and to improve basic needs assistance for low to moderate income persons.
- \$101,000 for 2 economic development activities.
- \$8,000 for the purpose of ensuring fair housing and fair housing activities.

### **Waukegan Activities**

At the present time, it is **estimated** that \$1,103,622 in Community Development Block Grant (CDBG) funds will be allocated toward the 2008 Program Year. Waukegan proposes to spend \$1,103,622 in CDBG funds for twenty-eight (28) separate activities, including housing rehabilitation, code compliance, public services, public facility improvements, fair housing activities, and program administration.

- \$590,110 for substantial and emergency rehabilitation of owner-occupied housing, code enforcement activities, and administration.
- \$347,969 for 5 public facility projects including neighborhood sidewalk replacement, Genesee Theatre – Accessibility, Nicasa – Accessibility, and downtown streetscape (downtown revitalization) activities.
- \$165,543 to 20 different agencies for public service activities to benefit at-risk youth, senior citizens, abused or neglected children, improve health services, family and self-sufficiency programs, emergency food assistance, shelter the homeless and homeless prevention services, substance abuse programs, and ensure fair housing.

### 2008 Lake County Consortium HOME Program Funding Recommendations

Project Sponsor	Project Type	Recommended Amounts
Habitat for Humanity of Lake County (a CHDO Agency)	CHDO Operating Funds	\$25,082
Lake County Residential Development Corp. (a CHDO Agency)	CHDO Operating Funds	\$25,082
Maristella	Tenant Based Rental Assistance	\$94,155
Northpointe Resources	Rehabilitation of Group Homes	\$173,635
Thresholds	Tenant Based Rental Assistance	\$10,000
Youthbuild Lake County (a CHDO Agency)	CHDO Operating Funds	\$25,082
Affordable Housing Corporation / Carefree Development LLC	New Construction - Senior Rental	\$93,563
Affordable Housing Corporation – Affordable Plus Mortgage Program – Lake County	First Time Homebuyer Program	\$150,000
Affordable Housing Corporation – ADDI – Lake County	First Time Homebuyer Program	\$13,104
Affordable Housing Corporation – FTHB – North Chicago	First Time Homebuyer Program	\$90,000
Affordable Housing Corporation – FTHB – Waukegan	First Time Homebuyer Program	\$119,567
Affordable Housing Corporation – Lake County Housing Rehabilitation Program	Housing Rehabilitation Program	\$165,100
No. Chicago Acquisition/Rehabilitation/New Construction Program	Rehabilitation/New Construction	\$102,482
Waukegan Housing Rehabilitation Program	Housing Rehabilitation Program	\$198,236
Lake County HOME Program	Program Administration	\$162,552
Affordable Housing Corporation	HOME-related Inspections	\$9,464
Unallocated PY2008 HOME Funds – CHDO Reservation Funds	Rollover to PY2008 (Round #2)	\$234,874
<b>TOTAL</b>		<b>\$1,691,978</b>
<b>Available Sources of PY2008 HOME/ADDI Funds</b>		
2008 HOME Entitlement Grant		\$1,504,916
Program Income – Lake County HOME Program		\$58,830
Program Income – North Chicago HOME Program		\$38,000
Program Income – Waukegan HOME Program		\$60,000
Reprogrammed Funds		\$17,128
American Dream Downpayment Initiative		\$13,104
<b>TOTAL 2008 HOME <i>Estimated</i> Funds</b>		<b>\$1,691,978</b>

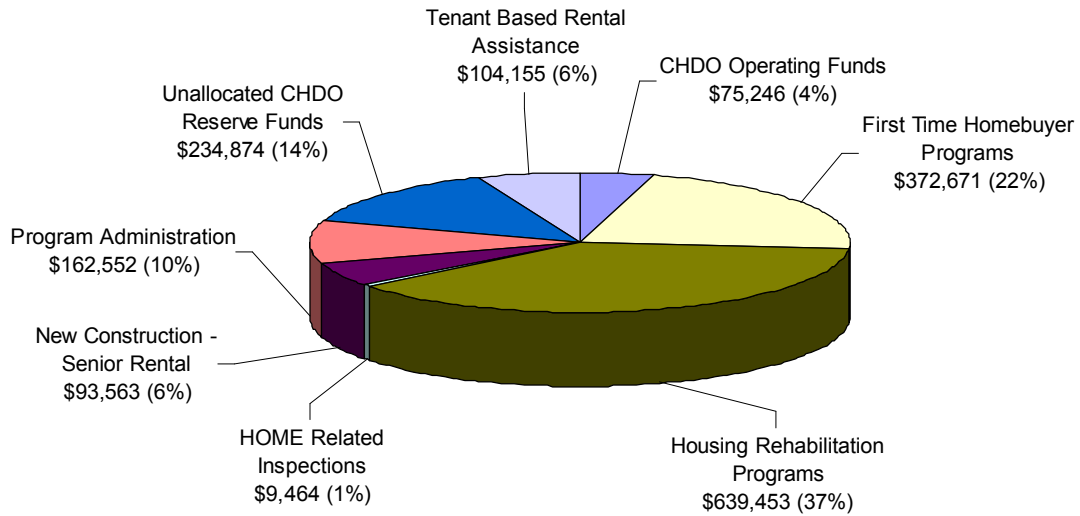
## NOTES REGARDING THE HOME PROGRAM FUNDING RECOMMENDATION TABLE

**NOTE:** HOME CHDO Reservation Setaside formula calculated as  $\$1,504,916 \times 15\% = \$225,737.40 + \$9,137$  In Lake County CHDO Reservation Reprogrammed Funds = \$234,874.40, for PY2008 Round #2 CHDO Reservation applications, which are due on or before May 1, 2008.

**NOTE:** HOME CHDO Operating Funds formula calculated as  $\$1,504,916 \times 5\% = \$75,245.80$ , distributed as CHDO Operating Funds between the Habitat for Humanity of Lake County project (\$25,082), the Lake County Residential Development Corporation project (\$25,082), and the Youthbuild Lake County project (\$25,082).

**NOTE:** HOME administration formula calculated as  $\$1,504,916 \times 10\% = \$150,491.60 + \$15,683$  in allowable 10% HOME administration from Lake County Program Income ( $\$58,830 + \$60,000 + \$38,000 \times 10\%$ ) received from 12/1/06 to 11/30/07 and \$5,841 in Lake County HOME Administration Reprogrammed Funds = \$172,015.60 (the maximum allowable Lake County HOME administration funds that can be used), distributed between the Lake County project for general program administration (\$162,552) and the Affordable Housing Corporation project for HOME-related Inspections (\$9,464).

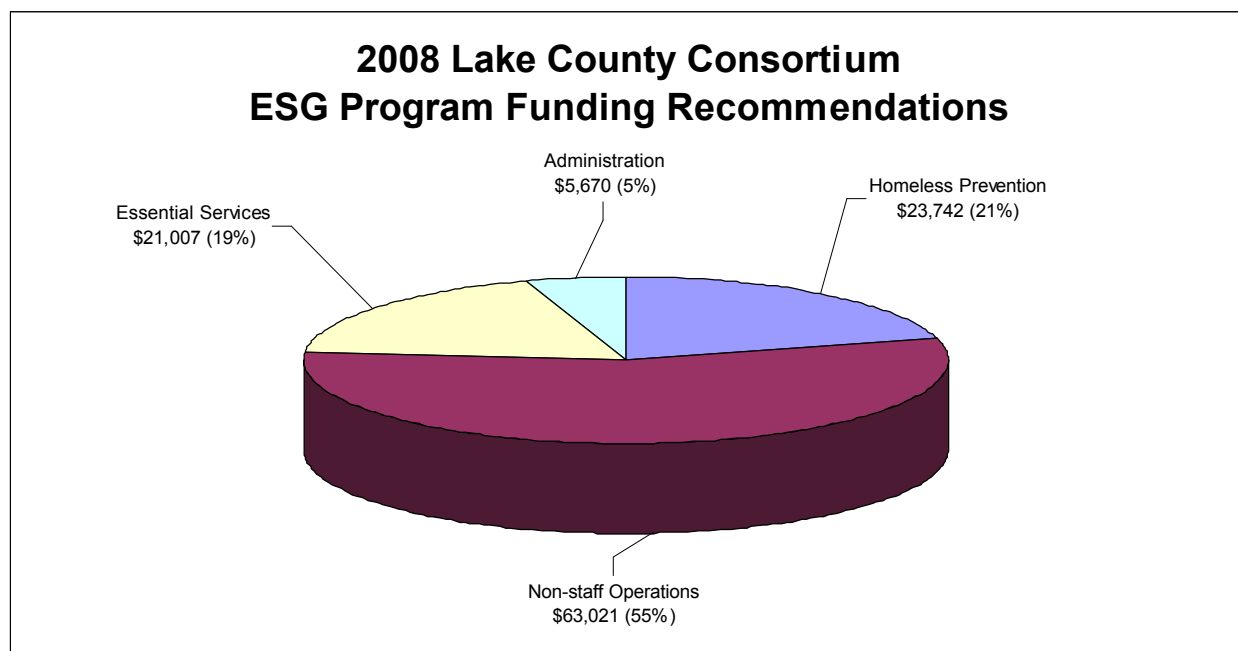
### 2008 Lake County Consortium HOME/ADDI Funding Recommendations



### 2008 Lake County Consortium ESG Program Funding Recommendations

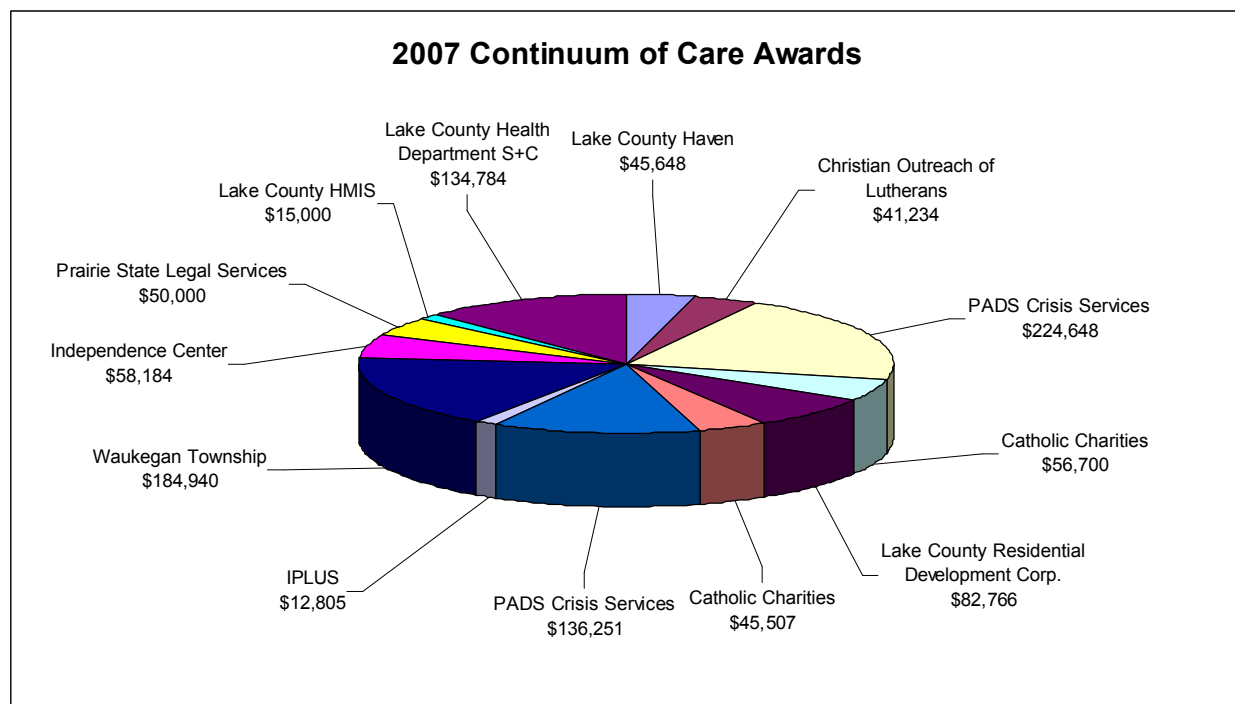
Subrecipient	Project Type	Funding Category	Total Program Cost	Recommended Amount
Catholic Charities	Emergency Shelter	Operations	\$331,515	\$21,007
PADS Crisis Services	Emergency Shelter	Essential Services	\$814,573	\$21,007
Prairie State Legal Services	Homeless Prevention Program	Prevention	\$103,830	\$23,742
ROPE, Inc.	Transitional Housing	Operations	\$82,661	\$21,007
Waukegan Township	Transitional Housing	Operations	\$386,250	\$21,007
<b>Administration, Technical Assistance, and Planning</b>				
Lake County ESG Program		Program Administration		\$5,670
<b>TOTAL</b>			<b>\$1,718,829</b>	<b>\$113,440</b>

**NOTE:** Lake County ESG administration formula calculated as  $\$113,440 \times 5\% = \$5,672$  (the maximum allowable Lake County ESG administration funds that can be used).



**2007 Continuum of Care Awards**

<b>Project Sponsor</b>	<b>Project Type</b>	<b>2007 SHP/S+C Funds</b>
Lake County Haven (Supportive Housing Program – 1 year)	Transitional Housing	\$45,648
Christian Outreach of Lutherans (Supportive Housing Program – 1 year)	Transitional Housing	\$41,234
PADS Crisis Services (Supportive Housing Program – 1 year)	Safe Haven	\$224,648
Catholic Charities (Supportive Housing Program – 1 year)	Supportive Services	\$56,700
Lake County Residential Development Corp. (Supportive Housing Program – 1 year)	Transitional Housing	\$82,766
Catholic Charities (Supportive Housing Program – 1 year)	Supportive Services	\$45,507
PADS Crisis Services (Supportive Housing Program – 1 year)	Supportive Services	\$136,251
IPLUS (Supportive Housing Program – 1 year)	Supportive Services	\$12,805
Waukegan Township (Supportive Housing Program – 1 year)	Transitional Housing	\$184,940
Independence Center (Supportive Housing Program – 1 year)	Permanent Housing	\$58,184
Prairie State Legal Services (Supportive Housing Program – 1 year)	Supportive Services	\$50,000
Lake County (Supportive Housing Program – 1 year)	HMIS	\$15,000
Lake County Health Department (Shelter Plus Care – 1 year)	Permanent Housing	\$134,784
<b>TOTAL</b>		<b>\$1,088,467</b>



## 2008 Lake County CDBG Program Funding Recommendations

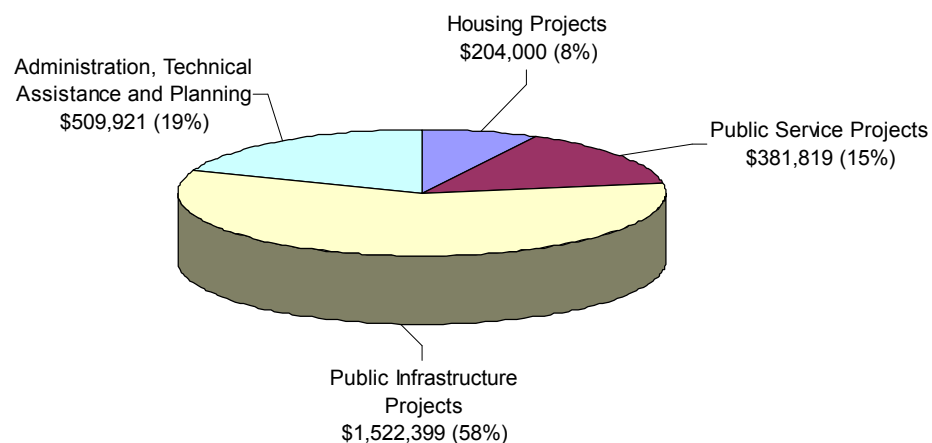
Total Available Funds **\$2,618,139**

2008 CDBG Entitlement Grant	\$2,545,309
CDBG Program Income	\$ 4,299
Reprogrammed CDBG Funds	\$ 68,531

Proposed Allocation of Funds **\$2,618,139**

Housing Projects	\$ 204,000
Economic Development Projects	\$ 0
Public Infrastructure Projects	\$1,522,399
Public Service Projects	\$ 381,819
Administration, Technical Assistance and Planning	\$ 509,921

### 2008 Lake County CDBG Program Funding Recommendations





### 2008 Lake County CDBG Program Funding Recommendations

Subrecipient	Estimated Project Costs	Recommended Amounts
<b>Public Service Projects</b>		
Christian Outreach of Lutherans (COOL)	\$225,950	\$34,352
CREW, Inc.	\$244,500	\$33,353
Family Service: Prevention, Education & Counseling	\$165,154	\$34,352
HealthReach	\$537,962	\$34,352
LaCASA	\$223,397	\$28,353
Lake County Sheriff	\$249,093	\$34,352
Lake County Center for Independent Living	\$98,161	\$25,000
Lake County Residential Development Corporation	\$323,056	\$25,000
Nicasa	\$671,050	\$25,000
NorthPointe Resources	\$140,714	\$25,000
SER-Fair Housing Center	\$131,780	\$48,353
YouthBuild Lake County	\$704,064	\$34,352
<b>TOTAL</b>	<b>\$3,714,881</b>	<b>\$381,819</b>
<b>Administration, Tech. Assist. &amp; Planning Projects</b>		
Lake County - Gen. Admin., Tech. Asst., & Plan.		\$444,386
Affordable Housing Corporation		\$50,018
SER/Jobs for Progress – Fair Housing Center (AP)		\$15,517
<b>TOTAL</b>		<b>\$509,921</b>

2008 Lake County CDBG Entitlement Grant	\$2,545,309
Program Income Funds	\$ 4,299
Reprogrammed Funds	\$68,531
<b>TOTAL 2008 CDBG Estimated Funds</b>	<b>\$2,618,139</b>

Subrecipient	Estimated Project Costs	Recommended Amounts
<b>Economic Development Projects</b>		
	\$0	\$0
<b>TOTAL</b>	<b>\$ 0</b>	<b>\$ 0</b>
<b>Housing Projects</b>		
Lake County Housing Rehabilitation Program (Program Delivery Costs)	\$204,000	\$204,000
<b>TOTAL</b>	<b>\$204,000</b>	<b>\$204,000</b>
<b>Public Infrastructure Projects</b>		
Antioch	\$220,000	\$73,000
Antioch Township	\$166,000	\$73,000
Avon Township	\$543,000	\$73,000
Beach Park	\$285,068	\$73,000
Fox Lake	\$131,800	\$73,000
Grant Township	\$525,700	\$73,000
Highwood	\$410,000	\$73,000
Island Lake	\$537,000	\$73,000
Lake County Administrators Office	\$100,000	\$59,399
Lake County Public Works	\$1,497,920	\$73,000
Lake Villa	\$102,000	\$58,000
Lindenhurst	\$124,750	\$48,000
Mundelein	\$364,132	\$73,000
Park City	\$200,000	\$73,000
Round Lake	\$250,000	\$73,000
Round Lake Beach	\$699,000	\$73,000
Round Lake Heights	\$213,790	\$73,000
Round Lake Park	\$189,000	\$73,000
Wauconda	\$238,000	\$73,000
Wauconda Township	\$93,750	\$58,000
Winthrop Harbor	\$314,000	\$58,000
Zion	\$659,000	\$73,000
<b>TOTAL</b>	<b>\$7,863,910</b>	<b>\$1,522,399</b>

## NOTES REGARDING THE LAKE COUNTY CDBG PROGRAM FUNDING RECOMMENDATION TABLE

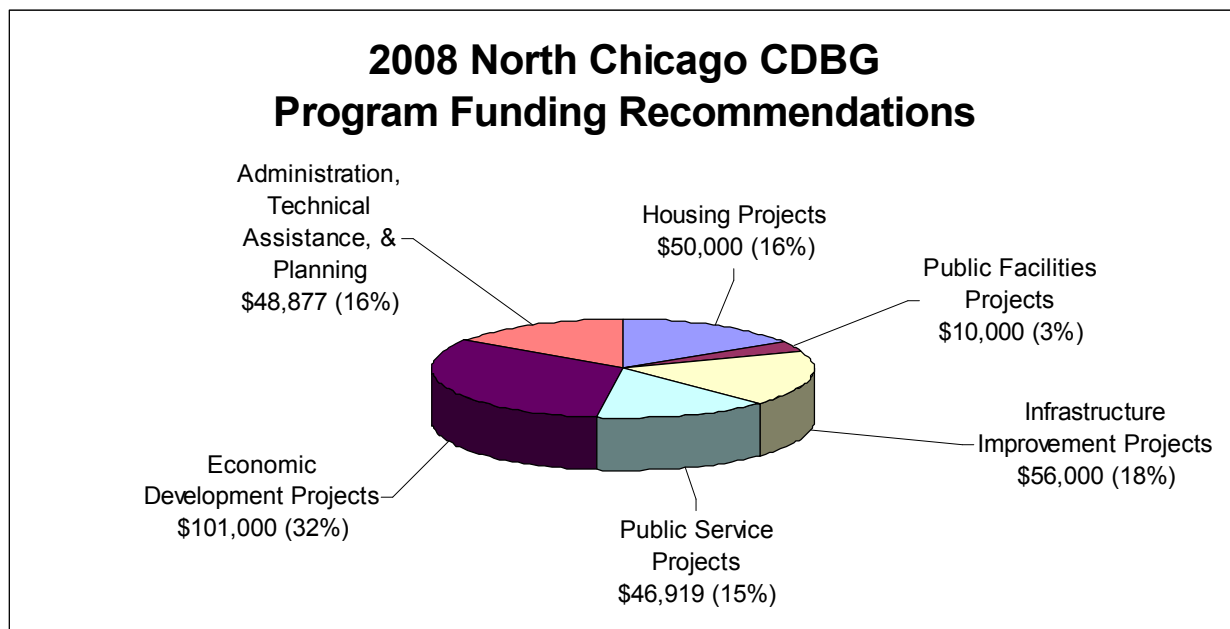
**NOTE:** Only 20% of Lake County CDBG Program Income can be utilized for Administration and Planning calculations and 15% of Lake County Program Income can be utilized for Public Service calculations, while 65% of Program Income can be utilized for Economic Development/Housing/Public Infrastructure calculations. 100% of Reprogrammed Funds can be utilized for Economic Development/Housing/Public Infrastructure calculations.

**NOTE:** Lake County CDBG administration formula calculated as  $\$2,545,309 \times 20\% = \$509,061.80 + \$859.80$  in allowable 20% CDBG administration from Lake County Program Income ( $\$4,299 \times 20\%$ ) received from 12/1/06 to 11/30/07 =  $\$509,921.60$  (the maximum allowable Lake County CDBG administration funds that can be used).

**NOTE:** Lake County CDBG public service formula calculated as  $\$2,545,309 \times 15\% = \$381,796.35 + \$644.85$  in allowable 15% Lake County Program Income ( $\$4,299 \times 15\%$ ) =  $\$382,441.20$  (the maximum allowable Lake County CDBG public service funds that can be used).

## 2008 Proposed CDBG Allocations for North Chicago

Total Available Funds		<b>\$312,796</b>
2008 Entitlement Grant	\$304,256	
Program Income	\$8,540	
Reprogrammed Funds	\$0	
Proposed Allocation of Funds		<b>\$312,796</b>
Housing Projects		\$50,000
Infrastructure and Public Facilities Projects		\$66,000
Public Service Projects		\$46,919
Economic Development Projects		\$101,000
Administration, Technical Assistance and Planning		\$48,877



## 2008 Proposed CDBG Allocations for North Chicago

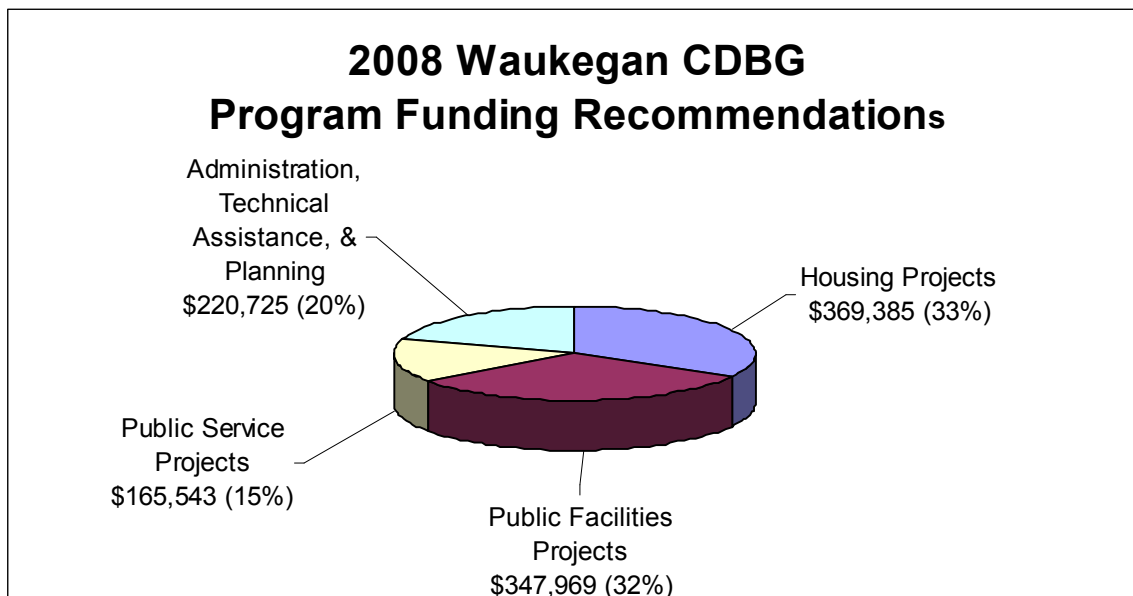
Subrecipient	Project Cost	Allocation
<b>Housing Projects</b>		
Emergency Housing Rehabilitation Program	\$50,000	\$50,000
<b>TOTAL</b>	<b>\$50,000</b>	<b>\$50,000</b>
<b>Public Facilities Projects</b>		
Home Security Program	\$5,000	\$5,000
Fire Safety Prevention Program	\$5,000	\$5,000
<b>TOTAL</b>	<b>\$10,000</b>	<b>\$10,000</b>
<b>Infrastructure Improvement Projects</b>		
Street and Alley Improvement Program	\$575,000	\$50,000
Sidewalk Program	\$12,000	\$6,000
<b>TOTAL</b>	<b>\$587,000</b>	<b>\$56,000</b>
<b>Public Service Projects</b>		
CREW	\$236,345	\$6,919
Boys & Girls Club of Lake County	\$1,397,825	\$5,000
Youthbuild Lake County	\$508,612	\$5,000
Catholic Charities	\$307,558	\$5,000
Waukegan Township	\$4,275,500	\$5,000
I-PLUS	\$146,650	\$5,000
PADS Crisis Services	\$1,703,041	\$10,000
Emmanuel Faith Bible Christian Center	\$89,978	\$5,000
<b>TOTAL</b>	<b>\$8,665,509</b>	<b>\$46,919</b>
<b>Economic Development Projects</b>		
Façade Improvement Program	\$150,000	\$75,000
Economic Development Special Project	\$5,200,000	\$26,000
<b>TOTAL</b>	<b>\$5,350,000</b>	<b>\$101,000</b>
<b>Administration, Technical Assistance &amp; Planning</b>		
General Administration		\$40,877
SER/Jobs for Progress – Fair Housing Center		\$8,000
<b>TOTAL</b>		<b>\$48,877</b>
Total 2008 North Chicago CDBG Grant		\$304,256
Program Income		\$8,540
Reprogrammed Funds		\$0
<b>Total Estimated Funds Available for FY 2008</b>		<b>\$312,796</b>

**NOTE:** North Chicago CDBG administration formula calculated as  $\$304,256 \times 20\% = \$60,851.20 + \$1,708.00$  in allowable 20% CDBG administration from North Chicago Program Income ( $\$8,540 \times 20\%$ ) = \$62,559.20 (the maximum allowable North Chicago CDBG administration funds that can be used).

**NOTE:** North Chicago CDBG public service formula calculated as  $\$304,256 \times 15\% = \$45,638.40 + \$1,281.00$  in allowable 15% North Chicago Program Income ( $\$8,540 \times 15\%$ ) = \$46,919.40 (the maximum allowable North Chicago CDBG public service funds that can be used).

## 2008 Proposed CDBG Allocations for Waukegan

Total Available Funds		<b>\$1,103,622</b>
2008 Entitlement Grant	\$1,048,558	
Program Income	\$55,064	
Reprogrammed Funds	\$0	
Proposed Allocation of Funds		<b>\$1,103,622</b>
Housing Projects/Code Compliance		\$369,385
Infrastructure and Public Facilities Projects		\$347,969
Public Service Projects		\$165,543
Administration, Technical Assistance and Planning		\$220,725

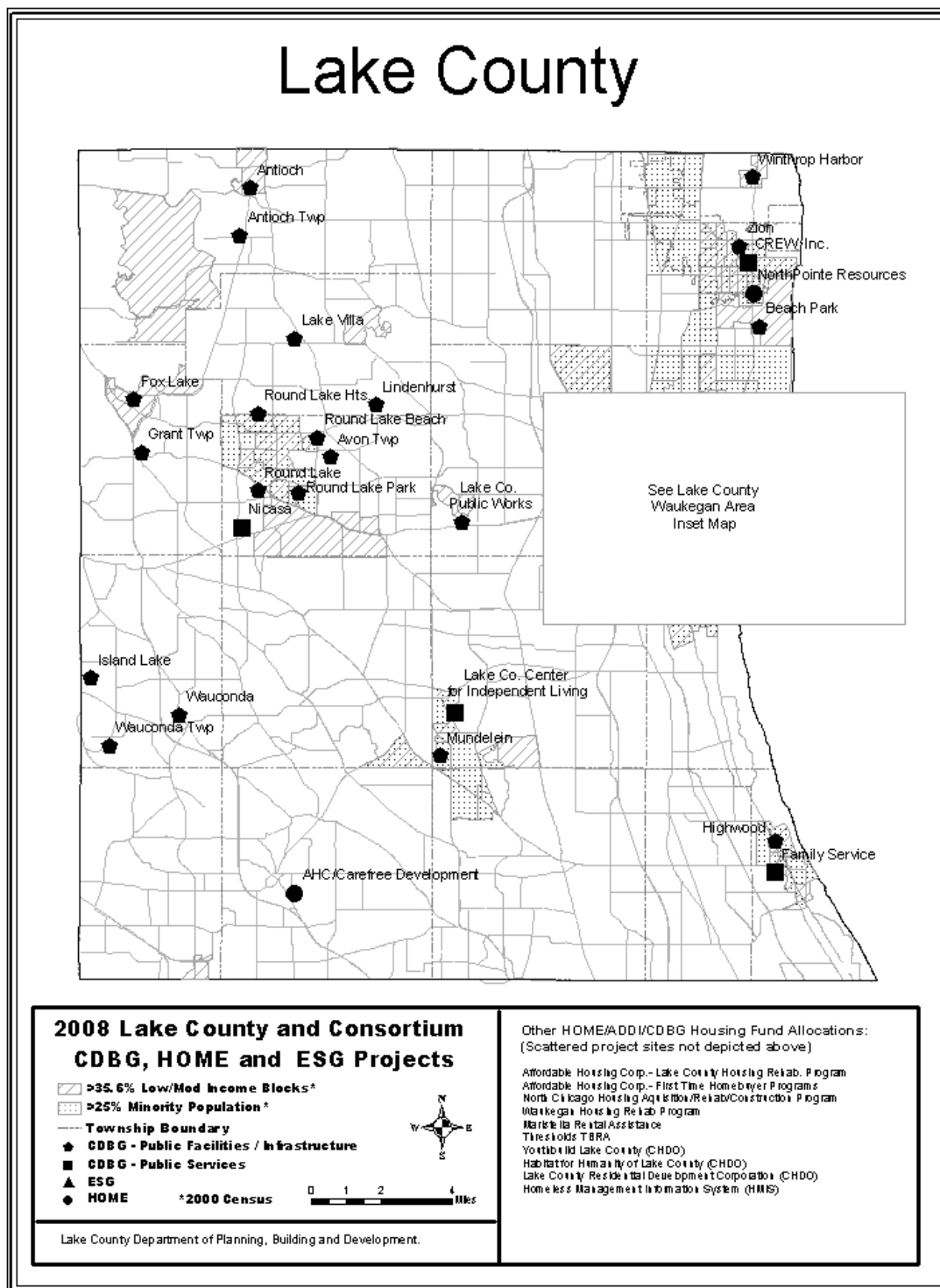


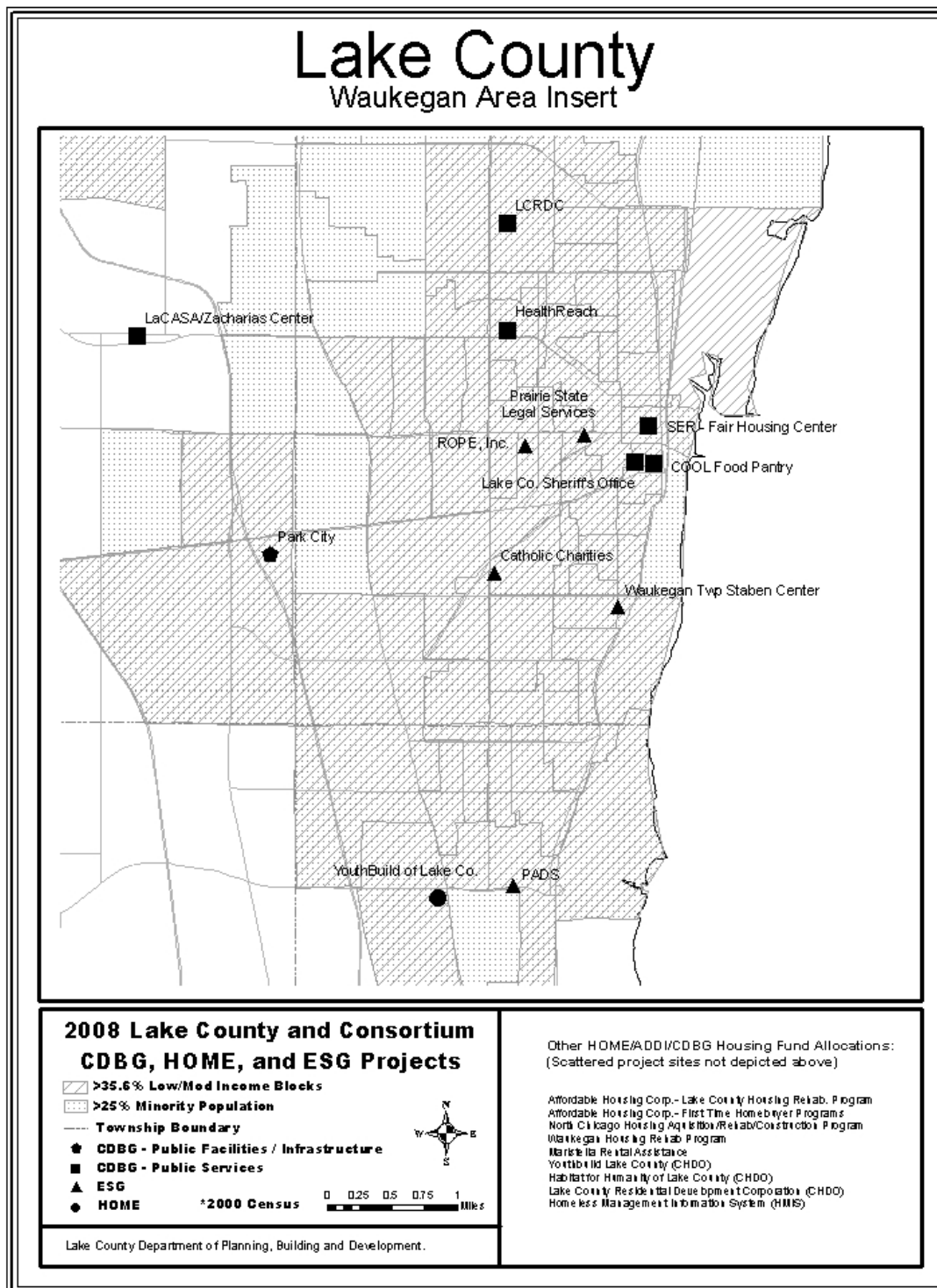
## 2008 Proposed CDBG Allocations for Waukegan

Subrecipient	Project Cost	Allocation
<b>Public Service Projects</b>		
A Safe Place / Lake County Crisis Center	\$633,300	\$5,000
Boys and Girls Club of Lake County	\$732,194	\$23,043
CASA of Lake County	\$626,000	\$8,000
Catholic Charities	\$1,543,585	\$5,000
Christ Church	\$88,568	\$5,000
Christian Outreach of Lutherans (COOL)	\$225,950	\$5,000
CREW	\$244,500	\$8,000
Emmanuel Faith Bible Christian Center	\$97,200	\$5,000
Faith Food Pantry	\$154,000	\$5,000
I-PLUS	\$144,000	\$5,000
LaCASA	\$276,980	\$5,000
Lake County Sheriff's Office	\$249,093	\$5,000
Literacy Volunteers of Lake County	\$75,000	\$5,000
Prairie State Legal Services	\$84,895	\$5,000
SER/Jobs For Progress - Fair Housing Center	\$131,780	\$12,000
Urban Muslim Minority Alliance (UMMA)	\$35,000	\$5,000
Waukegan Police Department (COPP)	\$96,079	\$19,000
Waukegan Township	\$200,700	\$20,000
Youth Conservation Corps (YCC)	\$635,500	\$7,500
Youthbuild Lake County	\$704,064	\$8,000
<b>TOTAL</b>	<b>\$6,978,388</b>	<b>\$165,543</b>
<b>Housing Projects</b>		
Code Enforcement Program	\$125,496	\$50,000
Housing Rehabilitation Program	\$327,224	\$319,385
<b>TOTAL</b>	<b>\$452,720</b>	<b>\$369,385</b>
<b>Public Facilities Projects</b>		
City of Waukegan - Public Works Dept.	\$400,000	\$110,000
Friends of Historic Genesee Theatre	\$12,178	\$8,000
City of Waukegan - Downtown Streetscape	\$246,626	\$184,969
Nicasa	\$20,400	\$10,000
Waukegan Housing Authority	\$83,320	\$35,000
<b>TOTAL</b>	<b>\$762,524</b>	<b>\$347,969</b>
<b>Administration, Technical Assistance &amp; Planning</b>		
Administration, Technical Assistance & Planning	<b>\$220,725</b>	<b>\$220,725</b>
Total 2008 Waukegan CDBG Grant		\$1,048,558
Program Income		\$55,064
Reprogrammed Funds		\$0
<b>Total Estimated Funds Available for FY 2008</b>		<b>\$1,103,622</b>

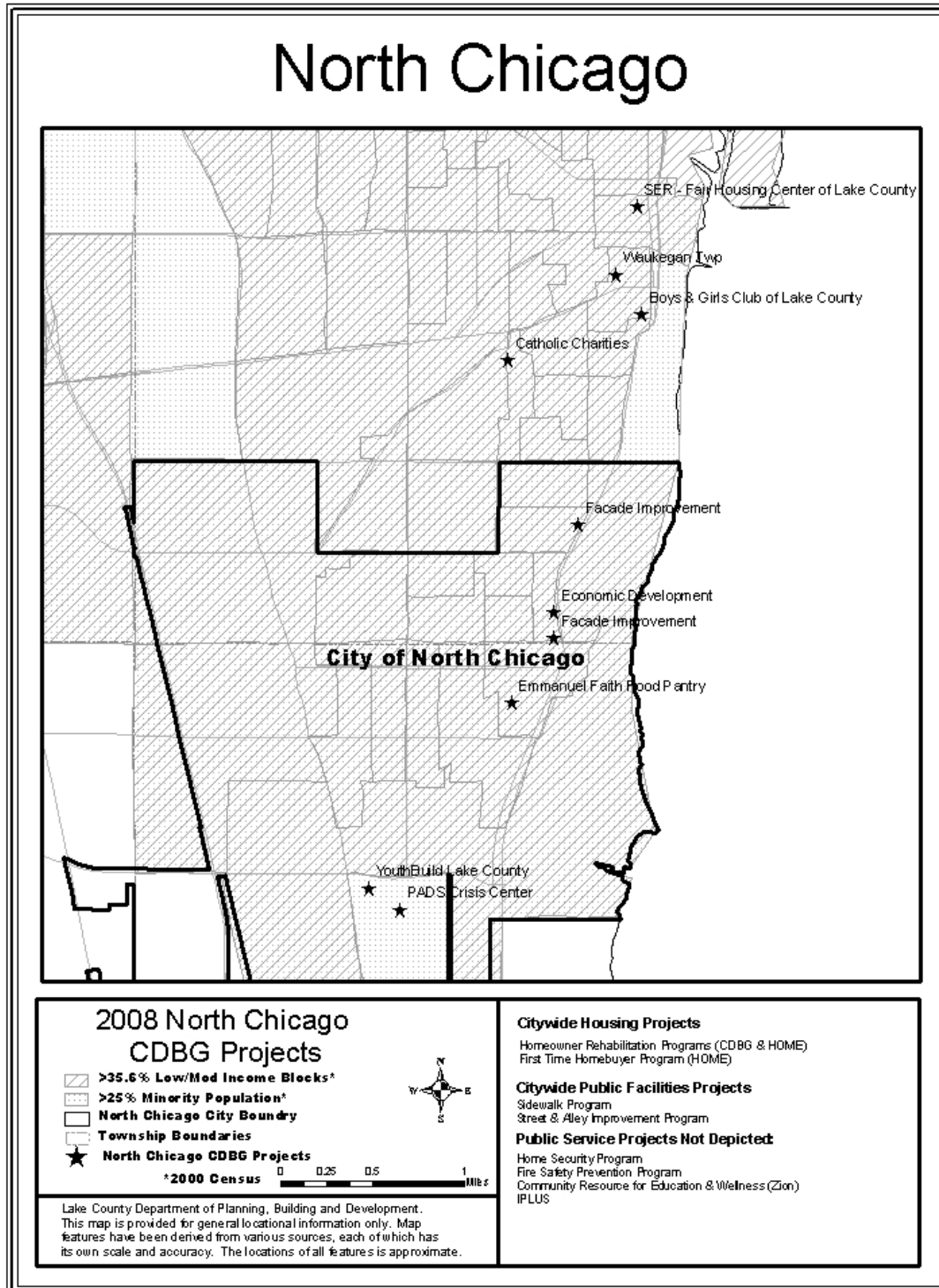
**NOTE:** Waukegan CDBG administration formula calculated as  $\$1,048,558 \times 20\% = \$209,711.60 + \$11,012.80$  in allowable 20% CDBG administration from Waukegan Program Income ( $\$55,064 \times 20\%$ ) = \$220,724.40 (the maximum allowable Waukegan CDBG administration funds that can be used).

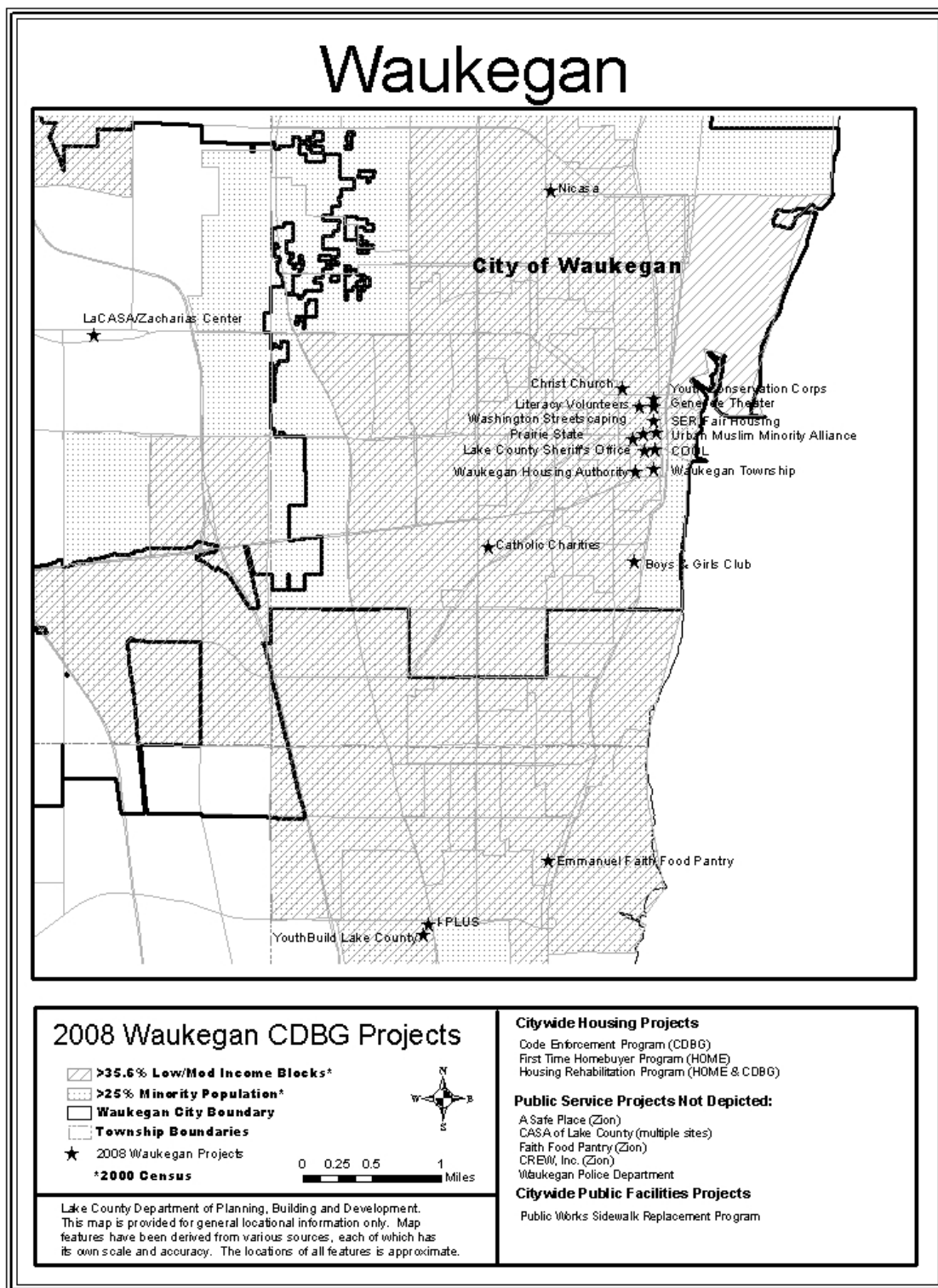
**NOTE:** Waukegan CDBG public service formula calculated as  $\$1,048,558 \times 15\% = \$157,283.70 + \$8,259.60$  in allowable 15% Waukegan Program Income ( $\$55,064 \times 15\%$ ) = \$165,543.30 (the maximum allowable Waukegan CDBG public service funds that can be used).











## OVERVIEW OF THE LAKE COUNTY CONSORTIUM ANNUAL ACTION PLAN

The *2008 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan (aka: "Consortium entitlement jurisdictions") will allocate the following Program Year 2008 (PY2008) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the American Dream Downpayment Initiative (ADDI) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2008 to April 30, 2009.

These community development investments will assist the Consortium members to address selected PY2008 prioritized goals previously identified in the *2005 – 2009 Housing and Community Development Consolidated Plan*. The Consolidated Plan is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the Consolidated Plan, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the American Dream Downpayment Initiative (ADDI) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Supportive Housing Program (SHP) and Shelter Plus Care (S+C) Program, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low- and moderate-income residents or for special needs housing.
- The ADDI Program provides funds for upfront down payment, closing cost assistance (i.e.: origination fees, credit reports, title fees, recording fees, attorney

fees, and appraisal fees) and/or rehabilitation funds for low-income first-time homebuyers to purchase a single-family principal residence.

- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency/transitional shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2005 – 2009 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

In addition to the federal funds described within this *Annual Action Plan*, the Lake County Consortium has encouraged the use of a variety of other resources for meeting its identified housing and community development needs including foundation/corporate or personal contributions/grants or donations, private funds, private lending institutions, bond/tax credit funds, investment revenues, local government funding, state funding, in-kind support or services, Section 8 housing certificates and vouchers for families and individuals, special event fund raising, United Way grants/designations, and other federal grants.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006 and FY2007 the County Board appropriated an additional \$300,000 per year. To date, \$101,000 in “program generated funds” has been returned to Lake County for additional funding awards. In FY2008, the County appropriated \$300,000 in additional funds such that applications are due March 15, 2008. In total, \$3.04 million dollars has been allocated to the Lake County Affordable Housing Program, of which \$2.74 million dollars has been subsequently awarded to municipalities, non-profit agencies and for-profit entities to develop 36 affordable housing projects and programs within Lake County containing 674 affordable housing units worth over \$106 million dollars.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. To date, nine of the thirty six County-funded projects have been awarded both HOME and LC-AHP funds: A Safe Place, Inc., Habitat for Humanity of Lake County/Carter Woods & Carter Crossing, Perlmark Realty Corporation, Lake County Residential Development Corporation/Hutchins Affordable Townhomes, Highland Park IL Community Land Trust, Youthbuild Lake County and the Village of Round Lake Beach's Housing Acquisition and Rehabilitation Program (see the individualized 2002 through 2007 Housing & Community Development Consolidated *Annual Action Plan* project descriptions). Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The Lake County Consortium will target its first-time homebuyer assistance using the American Dream Downpayment Initiative (ADDI) to residents of public and manufactured housing and to families otherwise receiving public housing assistance. Targeted outreach efforts include annual letters to public housing authorities and municipalities, announcements at various public meetings, and referrals. To ensure suitability for ADDI assistance, prospective homebuyers must undergo pre-purchase homebuyer education. Additional counseling services must be offered as needed. These ADDI requirements are incorporated into the Lake County Consortium HOME/CDBG Housing Fund Guidelines, the Application and Instructions package and the Subrecipient Agreement. At the present time, it is estimated that the Lake County Consortium will be allocated \$13,104 in PY2008 ADDI funds.

## **CITIZEN PARTICIPATION AND ANNUAL ACTION PLAN APPROVAL PROCESS**

### **Focus Group and Task Force Recommendation Process**

During the development of the application process for the 2008 Annual Action Plan, the Lake County Consortium, lead by Lake County, held two Focus Group meetings, attended by 12 community non-profit representatives. They completed and then discussed 17 program-wide questions for scaled responses. By the end of their meetings these respondents provided comments and suggested improvements to the application and review process. The 81 set of non-repetitive comments were organized into the 8 HUD application stages. Then these comments were provided to a separate Task Force consisting of 8 other community representatives. The Task Force reviewed the Focus Group comments and added 20 comments of their own. From the collected information, 6 key issues having 21 sub-points were summarized to improve implementation procedures for the 2008 Action Plan process.

With the combined recommendations from the Focus Group/Task Force and the comments that were received at three 2007 Public Hearings, the Community Development staff developed a series of short-term and long-term improvements that enhanced the 2008 Action Plan process. The Community Development Commission received and instituted many of these proposed improvements which increased transparency and the applicants' acceptance of the 2008 Action Plan process. These program-wide improvements were widely distributed and explained in the following manner: (1) they were discussed at the April through August Community Development Commission meetings; (2) they were presented at each of the four Technical Assistance Workshops; and (3) they were presented in the Lake County Instruction section of the Consolidated Application packet.

These suggested recommendations of the Focus Group/Task Force resulted in a series of "value added" improvements that benefitted both staff and applicants alike. There will be an annual review of the instituted short-term improvements to fine tune them. In addition, the Community Development Commission and Community Development staff will develop additional long-term process improvements through the 2009 Action Plan process and toward the development of the 2010 – 2014 Consolidated Plan.

### **Annual Action Plan Approval Process**

The Lake County Community Development Commission, in conjunction with the Cities of North Chicago and Waukegan, held three Public Hearings on the *2008 Annual Action Plan*:

- A Public Hearing on housing and community development needs and the 2008 funding application criteria was held on June 27, 2007;
- the initial Public Hearing on the proposed *Draft - 2008 Annual Action Plan* was held on January 23, 2008; and
- the final Public Hearing on the *2008 Annual Action Plan* was held on February 27, 2008.

These hearings were publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2008 Annual Action Plan* were made available at five libraries in different areas of the County, and were distributed to groups and individuals on request. They were also made available at the last two public hearings. The proposed *2008 Annual Action Plan* was available for a 30-day public comment period prior to the final public hearing.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. As adjustments were approved by the Community Development Commission's Executive Committee, affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts prior to the second Public Hearing.

The City of North Chicago City Council was presented with the City's portion of the *2008 Annual Action Plan* and the allocation of funds on February 11, 2008. The North Chicago City Council approved the North Chicago component of the *2008 Annual Action Plan* on February 18, 2008. The North Chicago City Council approved the *2008 Annual Action Plan* document at its City Council Meeting on February 18, 2008.

The City of Waukegan approved its portion of the *2008 Annual Action Plan* and the allocation of funds at its Finance Committee Meeting and then at the full City Council meeting on December 3, 2007. The Finance Committee and the Waukegan City Council approved the *2008 Annual Action Plan* document on March 3, 2008.

The *2008 Annual Action Plan*, including Lake County's funding strategies, was approved by:

- the Lake County Community Development Commission on February 27, 2008;
- the Lake County Board's Health and Community Services Committee on March 4, 2008;
- the Lake County Board's Financial & Administrative Committee on March 4, 2008; and
- the Lake County Board on March 11, 2008.

### **Grant Application Process**

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and Lake County CDBG housing requests, now known as the "Lake County HOME/CDBG Housing Fund". A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. Previously the Lake County Consortium was able to also include application requests for United Way of Lake County funding; however for PY2008 the United Way is developing its own electronic application process. A third application was available for Lake County public infrastructure requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes previous grant applicants, funded subrecipients, and others who have expressed interest in funding or community involvement in the past.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services, economic development, and Emergency Shelter Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Training sessions were held as follows:

Consolidated (*Non-Housing*) Application Workshop  
(*Non-Housing*) Program Outcomes Workshop

September 18, 2007  
October 5, 2007

Similar technical assistance workshops were provided for applicants considering housing applications through the Lake County HOME/CDBG Housing Fund (conducted by Lake County staff) on September 20, 2007 and for Lake County CDBG public infrastructure applicants (conducted by Lake County staff) on September 21, 2007. In addition, technical assistance was provided to applicants in person, over the telephone, via email, and via facsimile. Several applicants took advantage of these opportunities.

Agencies that submitted applications to Lake County for CDBG – public services, CDBG – economic development, or ESG projects were required to attend a question and answer presentation at one of two different review panels comprised of Lake County staff and members of the Community Development Commission. Agencies that submitted applications to the Lake County Consortium for the HOME/CDBG Housing Fund were required to make a presentation to a panel of reviewers comprised of 2 members each from both the Affordable Housing Commission and the Community Development Commission. Lake County and Waukegan Community Development staff were also in attendance for the HOME/CDBG Housing Fund presentations. In North Chicago, applicants were required to make a presentation to the Citizen's Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and Stakeholder Participation Panel members to be considered for funding.

### **Grant Allocation Process**

The funding allocations described within this document are the result of a community-wide application process, yet individualized review processes. Grant entitlement allocations for the countywide Lake County HOME and Lake County ESG funds and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. To best meet the needs of all Housing Fund applicants, the Lake County Consortium has established two specific rounds of funding for PY2008. The first deadline for application submittal was November 1, 2007. Depending upon the availability of remaining un-obligated housing funds, distribution of Round #2 funding may be limited to particular project types and/or specific geographic areas. Applications for Round #2 funding will be accepted on or before May 1, 2008, and will result in the holding of two Public Hearings and customary approvals of an Addendum to the 2008 Annual Action Plan.



As previously stated, a combined panel of Affordable Housing Commission members and Community Development Commission members reviewed the HOME/CDBG Housing Fund applications and made funding recommendations based on staff technical assistance. The Affordable Housing Commission reviewed funding recommendations for the Consortium's HOME Investment Partnerships (HOME) Program and the Lake County CDBG funded Rehabilitation Program. The recommendation process for housing proposals includes factors related to Consortium goals, project feasibility, cost per unit, financial feasibility, Project Sponsor's past performance, and project layering analysis. This combined review panel made funding recommendations to the CDC Executive Committee and to the full AHC commission membership. Upon approval by the CDC Executive Committee and the AHC commission membership, the proposed HOME/CDBG Housing Fund activities were incorporated into the proposed *2008 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the county-wide Emergency Shelter Grant (ESG) applications. These rating components and rating criteria were:

<u>Community Impact</u>	<u>Program Quality</u>	<u>Agency Capacity</u>
<ul style="list-style-type: none"> <li>• Community Need</li> <li>• Lake County Impact</li> <li>• Outcomes</li> </ul>	<ul style="list-style-type: none"> <li>• Program Design</li> <li>• Staffing and Facilities</li> </ul>	<ul style="list-style-type: none"> <li>• Past Performance</li> <li>• Fiscal Management</li> <li>• Sustainability</li> </ul>

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary: the Community Development Commission and the Affordable Housing Commission recommended the PY2008 HOME and CDBG funded housing projects; the Community Development Commission recommended the PY2008 Lake County CDBG and countywide ESG projects; the North Chicago Citizen's Advisory Committee recommended the PY2008 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2008 Waukegan CDBG projects.

## **Geographic Distribution**

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criterion for new housing projects encourages the development of housing in areas where the average income exceeds the County median. For Lake County, the location of public facilities activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public facilities applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

### **Other Resources**

The specific sources of other financial resources are identified for housing projects in the activity descriptions, as the difference between the HOME grant and the total project cost identifies the match and/or leverage amount being contributed to the project by the Project Sponsor. For public facilities projects, the total project cost is listed under each activity description, and the difference between the CDBG grant amount and the total project cost represents local funds being contributed by the subrecipient. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, the total project cost is listed under each activity description, and the difference between the total project cost and the CDBG or ESG grant amount represents local funds being contributed to the project by the subrecipient. Most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social services needs can be met through other resources, that investment is acting as leverage for the social service system.

### **Program Income**

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2007, has already been included in the 2008 Annual Action Plan budget, except for those monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2008 Program Year, is not known. Additional program income received after November 30, 2007 from activities other than rehab loans will be allocated to specific activities in the 2009 Program Year, unless there is a pressing need to amend the 2008 Action Plan either to fund a new activity or to provide additional funding to an existing activity (DR comment).

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2008 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2008 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2005 - 2009 Housing and Community Development Consolidated Plan*. These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

## **Minority Outreach Program**

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

## **Performance Measurement**

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document as Appendix D. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training

Sessions. The table in Appendix D includes the Lake County Consortium's five-year Consolidated Plan Goals, PY2008 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

	<b>Outcome 1: Availability/Accessibility</b>	<b>Outcome 2: Affordability</b>	<b>Outcome 3: Sustainability</b>
<b>Objective #1 Suitable Living Environment</b>	<b>Enhance suitable living environment through new or improved availability</b>	<b>Enhance suitable living environment through new or improved affordability</b>	<b>Enhance suitable living environment through new or improved sustainability</b>
<b>Objective #2 Decent Housing</b>	<b>Create decent housing with new or improved availability</b>	<b>Create decent housing with new or improved affordability</b>	<b>Create decent housing with new or improved sustainability</b>
<b>Objective #3 Economic Opportunity</b>	<b>Provide economic opportunity through new or improved accessibility</b>	<b>Provide economic opportunity through new or improved affordability</b>	<b>Provide economic opportunity through new or improved sustainability</b>

## PROPOSED 2007 CONSORTIUM ACTIVITIES

(Note: The descriptions of these proposed activities serve the purpose of HUD Table 3.)

### 1.0 Consortium Housing Activities

#### **Unallocated PY2008 HOME Funds (to be allocated in Spring 2008)**

- \$234,874 in PY2008 Lake County Consortium HOME CHDO Reservation funds will be held for a second round of applications.
- Applications for Round #2 funding will be accepted on or before May 1, 2008, and will result in the holding of two Public Hearings and customary approvals of an Addendum to the 2008 Annual Action Plan.

#### **Community Housing Development Organization (CHDO) Operating Funds**

Lake County Consortium 2008 HOME CHDO Operating funds are allocated among the following three CHDO agencies: Habitat for Humanity of Lake County, Lake County Residential Development Corporation, and Youthbuild Lake County. All CHDO Operating funds will be used for necessary operating expenses related to the development of new housing (Consolidated Plan Goal 1.1) or rehabilitating existing housing (Consolidated Plan Goal 1.3). Project-specific information is described within each of the recommended projects.

#### **Habitat for Humanity of Lake County (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities specific to the Carter Crossing Development:
  - Portion of salary for Office/Volunteer Coordinator (supports Housing Goal 1.1)
  - Portion of salary for Family Services Coordinator (supports Housing Goal 1.1)
- \$25,082 in 2008 Lake County Consortium HOME CHDO Operating funds. Funds are designated for a portion of the salaries of the Office/Volunteer Coordinator and the Family Services Coordinator.
- This project funds a **High Priority** need for the County Consortium and Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 315 North Martin Luther King Jr. Avenue, Waukegan

#### **Lake County Residential Development Corporation (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities:
  - Hutchins Avenue Townhomes (New Construction – Goal 1.1)
  - Zion Senior Cottages (New Construction - Goal 1.1)
  - Prairie View Apartments (Rehabilitation - Goal 1.3)

- \$25,082 in 2008 Lake County Consortium HOME CHDO Operating funds. Funds are designated for staff salaries, utilities, insurance, and other costs related to administering the above projects.
- This project provides operating support for **High and Medium Priority** projects as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1280 Blackburn Street, Gurnee

### **Youthbuild Lake County (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities:
  - 1816 Park Avenue, North Chicago (New Construction – Goal 1.1)
  - 1812 Park Avenue, North Chicago (New Construction – Goal 1.1)
  - 2108 Wallace, North Chicago (New Construction – Goal 1.1)
- \$25,082 in 2008 Lake County Consortium HOME CHDO Operating funds. Funds are designated for construction staff salaries for the above projects.
- This project provides operating support for **High Priority** projects as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3001 North Green Bay Road, North Chicago

<b>Subrecipient/Project Sponsor</b>	<b>CHDO Operating Funds</b>
Habitat for Humanity of Lake County (CHDO Operating Funds)	\$25,082
Lake County Residential Development Corp. (CHDO Operating Funds)	\$25,082
Youthbuild Lake County (CHDO Operating Funds)	\$25,082
<b>TOTAL</b>	<b>\$75,246</b>

## **1.1 New Construction**

### **Affordable Housing Corporation / Carefree Development LLC**

- New construction of 95 rental units (38 HOME assisted) in Lake Zurich for persons 55 or older. Of the 95 units, 38 will be reserved for households earning less than 50% of the area median income. The development consists of 56 one-bedroom and 39 two-bedroom units.
- \$93,563 in 2008 Lake County Consortium HOME funds (the project also received \$150,000 in PY2007 Lake County Consortium HOME funds).
- Other anticipated funding sources include the Illinois Housing Development Authority (IHDA) AMBAC funds (\$5,100,000), IHDA HOME (\$2,000,000), IHDA Trust Fund (\$1,000,000), and Tax Credit Equity (\$10,220,000).

- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Old Rand Road & Mohawk Trail, Lake Zurich

Subrecipient/Project Sponsor	Total Project Cost	Lake County HOME	Proposed Outcomes (HOME Assisted Units)
Affordable Housing Corporation / Carefree Development LLC	\$20,727,500	\$93,563	38 Units
<b>TOTAL</b>	<b>\$20,727,500</b>	<b>\$93,563</b>	<b>38 Units</b>

## 1.2 Tenant-Based Rental Assistance

### Maristella

- Provision of Tenant Based Rental Assistance (security deposit assistance only) to approximately 107 low and very-low income households in order to access affordable, decent, safe and sanitary rental housing in Lake County. The program targets women who can afford monthly rent or who have a Section 8 Certificate but do not have sufficient resources to pay the initial security deposit. This program operates in response to local market conditions that prevent many low and very-low income households in obtaining affordable rental housing because landlords often charge up to two-months rent as a security deposit.
- \$94,155 in 2008 Lake County Consortium HOME funds.
- Other anticipated funding sources include \$45,000 from the IL Department of Commerce and Economic Development.
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1010 North Milwaukee Avenue, Libertyville
- Project Location: Scattered sites throughout Lake County. Maristella should emphasize areas outside of the Cities of Waukegan and North Chicago.

### Thresholds

- Provision of Tenant Based Rental Assistance (security deposit assistance only) to approximately 10 low and very-low income households in order to access affordable, decent, safe and sanitary rental housing in Lake County. The program targets persons with mental illness who are awaiting SSI, SSDI, and other mainstream public benefits. This program operates in response to local market conditions that prevent many low and very-low income households in obtaining affordable rental housing because landlords often charge up to two-months rent as a security deposit.
- \$10,000 in 2008 Lake County Consortium HOME funds.
- Other anticipated funding sources include \$5,000 raised by Thresholds.
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- Agency Location: 300 Grand Avenue, Waukegan
- Project Location: Scattered sites throughout Lake County. Thresholds should emphasize areas outside of the Cities of Waukegan and North Chicago.

### **Housing Authorities of Lake County, the City of North Chicago, and the City of Waukegan**

- The three Public Housing Authorities will apply for the maximum amount of Section 8 vouchers, which may become available from HUD in 2008.

<b>Subrecipient/Project Sponsor</b>	<b>Total Project Cost</b>	<b>Lake County HOME</b>	<b>Proposed Outcomes</b>
Maristella	\$152,193	\$94,155	107 Households
Thresholds	\$15,000	\$10,000	10 Households
<b>TOTAL</b>	<b>\$167,193</b>	<b>\$104,155</b>	<b>117 Households</b>

## **1.3 Rehabilitation**

### **NorthPointe Resources**

- Rehabilitation work on eight group homes (two single-family houses and three duplexes) for persons with disabilities. 31 residents will benefit from this project.
- \$173,635 in 2008 Lake County Consortium HOME funds.
- \$57,878 match from Northpointe Resources.
- This project funds a **Medium Priority** for the County Consortium and Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3441 Sheridan Road, Zion.
- Project Location: Zion, Beach Park, and Wildwood.

### **Lake County**

Lake County's Homeowner Rehab Program is administered by the Affordable Housing Corporation of Lake County (AHC). The program plans to rehabilitate 25 homes. Assistance may come in the form of low-interest amortized loans or deferred loans, depending on the financial situation of the homeowner.

- Proposed Project Outcomes:  
Conversion of 25 substandard housing units to decent, safe, sanitary, and code compliant housing.
- Total program cost estimated at \$859,500.
- Funding will come from the following sources:
  - \$165,100 in 2008 Lake County Consortium HOME Funds for rehab loans.
  - \$204,000 in 2008 Lake County CDBG Funds for program delivery costs.
  - \$201,900 from previous County funding and loan repayments.
  - \$288,500 from a combination of funds from a consortium of private lending institutions the Federal Home Loan Bank.



- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Location: Countywide, excluding North Chicago and Waukegan.

### City of North Chicago

#### Emergency Housing Rehabilitation Program (CDBG)

- 2008 North Chicago CDBG: \$50,000
- Total Program Cost estimated at \$50,000
- Leverage sources: all CDBG funding
- Program Description: Administered by the Department of Community Development and Planning, this program provides deferred loans up to \$10,000 for the emergency repair of major structural or critical system defects or the correction of a lead-based paint hazard in owner-occupied single-family homes for low-income families. The expenses are borne by the recipient in the form of installment payments or deferred loans of (0%) zero percent interest rate due upon selling the home or refinancing of the home when financial equity is being taken out of the property. Houses rehabilitated through this program can be located anywhere within the city limits if the homeowner qualifies as low to moderate-income.
- Proposed Project Outcomes:
  - *The proposed benefit will be the rehabilitation of at least 5 housing units.*
  - *Clients will reside in decent, safe and sanitary housing.*
- This project funds a **High Priority** for the City of North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

#### Property Acquisition/Rehabilitation/ New Construction Program (HOME)

- 2008 North Chicago HOME: \$102,482; Total Program Cost: \$108,000
- Leverage: all HOME Funding
- Administered by the Department of Community Development and Planning, this activity will include the use of HOME funds for: acquisition of vacant, foreclosed, or blighted properties; demolition of dilapidated structures; rehabilitation of existing homes; and new construction of homes on vacant land. The vacant rehabilitated and new homes will be sold to low to moderate-income first time homebuyers.
- Proposed Project Outcomes:
  - *The proposed benefit will be the rehabilitation and/or new construction of 6 housing units.*
  - *Clients will reside in a decent, safe, and sanitary housing.*
- This project funds a **High Priority** for the City of North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064
- Site location: Citywide

## City of Waukegan

### Homeowner Rehabilitation Program

- 2008 Waukegan CDBG: \$319,385
- 2008 Waukegan HOME: \$198,236
- Total program cost estimated at \$517,621
- Leverage sources: all CDBG and HOME funding.
- Program Description: Provide up to \$40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation.
- Proposed Program Outcomes:
  - *Clients will reside in decent, safe and sanitary housing.*
- In PY2008, the program proposes to serve approximately 14 Waukegan households (including 9 CDBG and 5 HOME-funded).
- This project funds a **High Priority** for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 100 North Martin Luther King Jr. Avenue, Waukegan, IL 60085
- Site location: various residential addresses throughout the City of Waukegan

### Lake County Housing Authority, North Chicago Housing Authority, and Waukegan Housing Authority Capital Fund Program

During 2008, the Lake County Housing Authority, North Chicago Housing Authority and Waukegan Housing Authority will initiate the improvements listed below, utilizing FY2006 funding from the HUD Capital Fund Program:

#### Lake County Housing Authority

During 2008-2009, the Lake County Housing Authority will implement \$814,105 in improvements in PHA-owned and managed affordable housing units.

- Marion Jones Townhomes: security camera updates
- Beach Haven: security cameras.
- John Kuester Manor: gazebo; interior rehab; security cameras.
- Hawley Manor: interior rehab; security cameras.
- Millview Manor: gazebo; interior rehab; security cameras.
- Orchard Manor: gazebo; interior rehab; security cameras.
- Warren Manor: gazebo; interior rehab; security cameras.
- Shiloh Tower: security cameras.
- Various PHA-wide improvements: Miscellaneous rehabilitation; replace stove gas lines; cyclical painting of units; fire alarm and carbon monoxide alarm installations/upgrades.

**North Chicago Housing Authority**

During 2008-2009, the North Chicago Housing Authority will implement an anticipated \$191,000 in improvements, including: boiler replacement, new canopy, new heat valves, epoxy garage floors, energy star electric motors/pumps, hallway painting, new fence installation.

**Waukegan Housing Authority**

During 2008-2009, the Waukegan Housing Authority will implement \$640,009 in improvements in PHA-owned and managed affordable housing units.

- Barwell Manor: upgrade playground; replace exit doors; replace appliances.
- Armory Terrace: replace entry doors.
- Poe Manor: replace appliances.
- Scattered Sites: renovate units.

Subrecipient/Project Sponsor	Total Project Cost (excluding program income)	North Chicago CDBG	Waukegan CDBG	HOME Funds	Lake County CDBG	Proposed Outcomes (HOME/CDBG Assisted Units)
Northpointe Resources	\$231,513			\$173,635		8 units
Lake County Rehabilitation Program	\$859,500	\$0	\$0	\$165,100	\$204,000	25 units
North Chicago Emergency Rehabilitation Program	\$50,000	\$50,000	\$0	\$0	\$0	5 units
North Chicago Property Acquisition/Rehabilitation/ New Construction Program	\$102,482	\$0	\$0	\$102,482	\$0	6 units
Waukegan Housing Rehabilitation Program	\$517,621	\$0	\$319,385	\$198,236	\$0	14 Units
<b>TOTAL</b>	<b>\$1,761,116</b>	<b>\$50,000</b>	<b>\$319,385</b>	<b>\$639,453</b>	<b>\$204,000</b>	<b>58 units</b>

**1.4 Homebuyer Assistance****Affordable Housing Corporation – American Dream Downpayment Initiative (ADDI) First Time Homebuyer Program (FTHB)**

- Provision of up to \$5,000 in purchase assistance to be used for downpayment, closing costs, and/or interest buydowns to assist 5 first time homebuyers who earn less than 80% of the area median income.
- Per the Lake County Consortium and HUD 2004 Interim Rules, the ADDI program will:
  - 1) Target prospective homebuyers receiving public housing assistance or living in public or manufactured housing. Outreach meetings and written materials will be provided to municipalities, real estate agents, social service agencies, and the Lake County, Waukegan and North Chicago Public Housing Authorities.
  - 2) Provide HUD approved pre-purchase homebuyer education and foreclosure prevention education to prospective homebuyers.

- Purchase assistance will be structured in the form of 0% loans payable by the homeowner upon sale or transfer of the property or upon default. Program income will be used by the Lake County HOME Consortium for future ADDI-eligible activities.
- \$13,104 in 2008 American Dream Downpayment Initiative (ADDI) funds. The Affordable Housing Corporation will receive a project delivery fee of \$1,000 per household.
- This project funds a **Medium Priority** need for the County Consortium, as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout Lake County (including the Cities of Waukegan and North Chicago)

#### **Affordable Housing Corporation – Affordable Plus Mortgage Program**

- Provision of \$3,000 in HOME funds, \$14,000 in IHDA funds, and a \$35,000 fixed rate loan at 4% to be used for downpayment, closing costs, and/or interest buydowns to assist 50 first time homebuyers who earn less than 80% of the area median income.
- \$150,000 in 2008 Lake County Consortium HOME funds.
- The Affordable Housing Corporation will receive a project delivery fee of \$2,000 per household paid from Lake County Consortium HOME funds.
- This project funds a **Medium Priority** for the County Consortium, as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout Lake County. The Affordable Plus Mortgage Program should emphasize areas outside of the Cities of Waukegan and North Chicago.

#### **Affordable Housing Corporation – North Chicago First Time Home Buyers Program (FTHB)**

- Provision of up to \$8,000 in purchase assistance to be used for downpayment, closing costs, and/or interest buydowns to assist 10 first time homebuyers who earn less than 80% of the area median income.
- Purchase assistance will be structured in the form of 0% loans payable by the homeowner upon sale or transfer of the property or upon default.
- \$90,000 in 2008 Lake County Consortium HOME funds. The Affordable Housing Corporation will receive a project delivery fee of \$1,000 per household.
- This project funds a **Medium Priority** for the County Consortium, as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout the City of North Chicago

#### **Affordable Housing Corporation – Waukegan First Time Home Buyers Program (FTHB)**

- Provision of up to \$5,000 in purchase assistance to be used for downpayment, closing costs, and/or interest buydowns to assist 20 first time homebuyers who earn less than 80% of the area median income.

- Purchase assistance will be structured in the form of 0% loans payable by the homeowner upon sale or transfer of the property or upon default.
- \$119,567 in 2008 Lake County Consortium HOME funds. The Affordable Housing Corporation will receive a project delivery fee of \$1,000 per household.
- This project funds a **Medium Priority** for the County Consortium, as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout the City of Waukegan

Subrecipient/Project Sponsor	Total Project Cost	HOME / ADDI	Proposed Outcomes
Affordable Housing Corp. – ADDI	\$730,000	\$13,104	5 Households
Affordable Housing Corp. – APM	\$8,850,000	\$150,000	50 Households
Affordable Housing Corp. – North Chicago	\$1,390,000	\$90,000	10 Households
Affordable Housing Corp. – Waukegan	\$2,920,000	\$119,567	20 Households
<b>TOTAL</b>	<b>\$13,890,000</b>	<b>\$372,671</b>	<b>85 Households</b>

### 1.5 Housing Counseling

- The Lake County Affordable Housing Corporation will carry out a housing education and counseling program in 2008 in connection with each of its First Time Homebuyer and Housing Rehabilitation programs.
- Other housing counseling agencies in Lake County include the Lake County Housing Authority, Lake County Community Action, Catholic Charities, and Consumer Credit Counseling Service.
- Housing Counseling programs are a **Medium Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

### 1.6 Fair Housing

#### Affirmatively Furthering of Fair Housing

The Lake County Consortium will affirmatively further fair housing practices in Lake County by supporting awareness of fair housing laws and ensuring that persons experiencing housing discrimination will have their complaints successfully mediated or filed with HUD. Consortium members will also continue to implement the recommendations of the most recent Lake County Fair Housing Analysis. In addition, project sponsors of housing containing five or more units are required to specifically document their efforts to affirmatively market their project. Lake County will review the actions taken to affirmatively market HOME funded housing projects as part of our routine monitoring and reporting process.

Lake County, along with the Cities of North Chicago and Waukegan, will utilize CDBG monies to fund the activities of the Fair Housing Center of Lake County, as described below:

### **SER /Jobs for Progress - Fair Housing Center**

- 2008 Lake County CDBG – Public Service: \$48,353; 2008 Lake County CDBG – Administration: \$15,517; 2008 North Chicago CDBG – Administration: \$8,000; 2008 Waukegan CDBG – Public Service: \$12,000; Total Program Cost: \$131,780 (including other funding sources).
- Other sources include: Contributions and Fair Housing Initiatives Program (FHIP) grant.
- The Fair Housing Center provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination.
- The Fair Housing Center anticipates serving 280 clients in PY08, of whom 168 are expected to be low and moderate-income. LC CDBG funds are requested for salaries, benefits, payroll taxes, professional fees, telephone, printing and publications, travel, office supplies, insurance and occupancy associated with the Fair Housing Center.
- Proposed Program Outcomes:
  - *30 households will have their complaints mediated, filed with HUD, or referred to a private attorney.*
  - *250 households experiencing unfair housing practices will have their complaints successfully mediated.*
- This project funds a **High Priority** need for the County Consortium and the Cities of North Chicago and Waukegan, as referenced in the 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Agency Location: 117 N Genesee Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	Lake County CDBG	North Chicago CDBG	Waukegan CDBG
SER/Jobs for Progress - Fair Housing Center	\$131,780	\$48,353 (PS) \$15,517 (AP)	\$8,000 (AP)	\$12,000 (PS)

### **Other Housing-Related Activities**

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP, S+C, or ESG funded project through the Lake County Consortium, are identified below:

### **Public Housing Resident Initiatives**

The three housing authorities will undertake the resident initiatives listed below:

**Lake County Housing Authority**

- Continue to have a resident as a Board of Commissioners member.
- Involve residents in its planning process.
- Encourage residents to participate in housing counseling for referral to first time homebuyer programs.
- Provide notice of entry-level employment opportunities at the Authority to residents.
- Publish a quarterly newsletter for tenants that include information related to economic self-sufficiency.
- Through the Section 8 Program the Authority provides an in-house Family Self-Sufficiency Program as well as works with Catholic Charities' FS-S Program.
- Create homeownership opportunities for residents and enhance their capacity for homeownership. Provides the following services to potential homebuyers for the purpose of offering a continuum of housing options for its constituency: First Time Homebuyer Counseling, Mortgage Default Counseling/Foreclosure Intervention, Predatory Lending, Home Equity Conversion Mortgages, Cash Management, and Credit Counseling.

**North Chicago Housing Authority**

- Continue to have a Resident Commissioner on the Board.
- Continue to have tenant councils in each of the two complexes operated by the North Chicago Housing Authority. Members of the tenant councils participate in Housing Authority Board meetings and plan activities for the residents.
- Promote self-sufficiency for the families and individuals that participate in its housing program. Establish a Section 8 Homeownership Program, and continue other programs and activities to encourage resident involvement, including Family Self-Sufficiency, Family Unification, and the Homeownership Program.

**Waukegan Housing Authority**

- Continue to have a Resident Commissioner on the Board.
- Continue a Resident Council Program.
- Continue other programs and activities to encourage resident involvement, including: a Family Self-Sufficiency Program; Family Unification Program; educational center programs; employment programs; after school tutoring program; Waukegan Youth Making Changes Program; leadership training program; and a parenting skills program.
- Encourage homeownership opportunities through the Family Self-Sufficiency Program.

**Strategies to Address Affordable Housing Barriers**

- The Affordable Housing Commission will continue with educational presentations and improved marketing efforts aimed at local government officials, housing developers, financial institutions, and the general public, which will include discussion of barriers to affordable housing.
- The Lake County Board has also allocated \$300,000 or more annually (for seven consecutive years) from building permit fees for affordable housing activities.

**Lead-based Paint Hazard Mitigation Strategy**

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
  - A. Housing Rehabilitation Programs
    - Find qualified contractors.
    - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
    - Paint testing and risk assessment by certified inspectors.
    - Lead hazard reduction carried out by certified contractors.
    - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
    - Clearance testing.
  - B. First-Time Homebuyers Program
    - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
    - Provide list of certified contractors to sellers/buyers where paint stabilization is required.
    - Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
    - Safe work practices (same as those listed above).
    - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been discovered, lead screening of such children is performed and environmental inspections are done where appropriate.
4. Utilize the resources of the County Health Department for the following services:
  - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk areas identified in Lake County are Zip Codes 60085 in Waukegan, 60064 in North Chicago, and 60060 in Mundelein).
  - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.
  - Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
  - Educational programs.
5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

Annual Goal: The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.



**Fostering Decent Housing**

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the 2005 – 2009 Housing and Community Development Consolidated Plan. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.

## 2.0 Consortium Homeless Activities

### Area Policy Statement

To combat homelessness in Lake County, Continuum of Care stakeholders are committed to providing a coordinated, efficient and effective Continuum of Care that:

- 1) prevents first time and ongoing cycles of homelessness (including multigenerational homelessness),
- 2) promotes and supports the attainment of self-sufficiency and community reintegration to the greatest extent possible for those who are at risk of, experiencing or recovering from homelessness, and
- 3) assesses the effectiveness of the system in achieving its goals.

### 2.1 Emergency Shelter

#### Catholic Charities – Samaritan House Emergency Shelter

- 2008 ESG: \$21,007; Total Program Cost: \$331,515
- ESG Matching Sources include: Contributions, Foundation & Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides 90 days of emergency shelter through 28 beds for 115 homeless women with children. Services include, but are not limited to nights of shelter, housing counseling, employment counseling, case management, life skills, individual counseling, parenting groups, and budget management. Intensive case management, with a focus on securing affordable permanent housing is provided to assist the family in breaking the cycle of homelessness.
- ESG funds are designated for Operational costs.
- Proposed Program Outcomes:
  - *70% of clients will secure permanent or transitional housing.*
  - *50% of adult residents will obtain and/or maintain a stable source of income.*
  - *75% of women will demonstrate an awareness of alternative parenting by improving their score on the parenting rating scale assessment.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 671 S. Lewis, Waukegan, IL 60085
- Site Location: 600 10th Street, North Chicago, IL 60064

#### PADS Crisis Services – PADS Emergency Shelter

- 2008 ESG: \$21,007; Total Program Cost: \$814,573
- 2007 SHP: \$136,251
- ESG Matching Sources include: Contributions, Special Events, Foundation and Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides emergency shelter and supportive services to 1,290 homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30. Supportive services to be provided include assessment of need, development of individual service plans, life skills

- training, food and hygiene supplies, and case management as well as shower, mail, and laundry facilities.
- ESG funds are designated for Essential Services (transportation costs).
- Proposed Program Outcomes:
  - 100% of clients served by the program will receive transportation to shelter sites.*
  - At least 50 clients will receive transportation to various places in the county to receive other services necessary to overcome homelessness.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 3001 Green Bay Rd, Building #5, North Chicago, IL 60064.
- Site Location: Scattered Site

Subrecipient/Project Sponsor	Total Project Cost (per year)	2007 SHP	2008 ESG
Catholic Charities	\$331,515		\$21,007
PADS Crisis Services	\$814,573	\$136,251	\$21,007
<b>TOTAL</b>	<b>\$1,146,088</b>	<b>\$136,251</b>	<b>\$42,014</b>

## 2.2 Transitional Housing

### Christian Outreach of Lutherans (COOL) – Scattered Site Transitional Housing

- 2007 SHP: \$41,234; Total Program Cost: \$56,868
- Provides transitional housing, up to 24 months, for 2 homeless families through 2 scattered-site housing units located in Zion. Supportive services to be provided include counseling, case management, life skills training, and budgeting training.
- SHP funds are designated for Leasing, Supportive Services, Operating Costs, and Administration.
- Proposed Project Outcomes:
  - To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 127 W Water St, Waukegan, IL 60085
- Site Locations: Scattered-site units are located in Waukegan

### Lake County Haven – Transitional Housing

- 2007 SHP: \$45,648; Total Program Cost: \$97,237
- Provides up to 2 years of transitional housing for 7 households of homeless women and children via 4 scattered site apartments and condominiums. Supportive services to be provided include case management, job skills training, budgeting, debt management, and employment assistance.
- SHP funds are designated for Leasing and Supportive Services.
- Proposed Project Outcomes:
  - To be determined.*

- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: Libertyville, IL 60048
- Site Locations: Scattered-site units

#### **Lake County Residential Development Corporation – SAFe Housing**

- 2007 SHP: \$82,766; Total Program Cost: \$124,865
- Provides 9 units of transitional housing, up to 24 months, for homeless women with children who are recovering from substance abuse addictions. Program contracts with Catholic Charities to provide on site supportive services including case management, parenting training, dispute resolution, and linkages to community resources for job readiness/job training.
- SHP funds are designated for Supportive Services, and Operational costs.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 1280 Blackburn Street, Gurnee, IL 60031
- Site Location: 1280 Blackburn Street, Gurnee, IL 60031

#### **PADS Crisis Services – Safe Haven**

- 2007 SHP: \$224,648; Total Program Cost: \$414,275
- Provides 15 low-demand "Safe Haven" housing units for chronically homeless persons who suffer from severe mental illness and/or chronic substance abuse. PADS, IPLUS, and the Lake County Health Department will provide case management, mental health assessment and treatment, psychiatric evaluation, primary health care, addictions treatment, housing assistance, and other supportive services at the level of intensity and pace set by the client.
- SHP funds are designated for Leasing, Supportive Services, and Operational costs.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 3001 Green Bay Rd - Building 5, North Chicago, IL 60064
- Site Location: 3001 Green Bay Rd - Building 5, North Chicago, IL 60064

#### **ROPE, Inc. – Primary Purpose Residential Program**

- 2008 ESG: \$21,007; Total Program Cost: \$82,661
- ESG Matching Sources include: Contributions, Foundation & Corporate Grants, Service Fees and the United Way.
- Provides transitional housing for homeless non-violent ex-offenders via a housing facility located in Waukegan. Supportive services to be provided include recovery services, mentorship, representative payee, food, clothing, and employment assistance.
- ESG funds are designated for Operational costs.

- Proposed Project Outcomes:
  - 85% of clients will become stable residents of the community and not fall prey to homelessness.*
  - 85% of clients with chronic addiction issues will remain drug and alcohol free during the course of the program.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency location: 1019 W. Pacific Avenue, Waukegan, IL 60085
- Site Location: 1019 W. Pacific Avenue, Waukegan, IL 60085

### Waukegan Township – Staben Center

- 2008 ESG: \$21,007; Estimated Total Program Cost: \$386,250
- ESG Matching Sources include: Contributions, Special Events, Foundation & Corporate Grants, and Other Governmental Fees and Grants.
- Provides 6 months of transitional housing for 90 homeless men via a 17-bed housing facility located in Waukegan. Supportive services to be provided include budget management, case management, life skills, and employment readiness as well as 6 months community case management after leaving the program.
- ESG funds are designated for Operational costs.
- Proposed Project Outcomes:
  - 57% of clients will increase their income within 60 days of entry to the program*
  - 61.5% of clients will acquire permanent housing at exit from the program*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency location: 149 South Genesee St, Waukegan, IL 60085
- Site Location: 424 South Avenue, Waukegan IL 60085

### Waukegan Township – Staben House

- 2007 SHP: \$184,940; Total Program Cost: \$393,110
- Provides transitional housing, up to 24 months, to homeless women and children. Supportive services to be provided include budget management, case management, employment readiness, and life skills.
- SHP funds are designated for Supportive Services and Operational Costs.
- Proposed Program Outcomes:
  - To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency Location: 149 South Genesee St, Waukegan, IL 60085
- Site Location: 3000 Grand Ave, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost (per year)	2007 SHP (per year)	2008 ESG
Christian Outreach of Lutherans	\$56,868	\$41,234	

Lake County Haven	\$97,237	\$45,648	
LCRDC	\$124,865	\$82,766	
PADS Crisis Services, Inc.	\$414,275	\$224,648	
ROPE, Inc.	\$82,661		\$21,007
Waukegan Township	\$386,250		\$21,007
Waukegan Township	\$393,110	\$184,940	
<b>TOTAL</b>	<b>\$1,555,266</b>	<b>\$579,236</b>	<b>\$42,014</b>

## 2.3 Permanent Supportive Housing

### Catholic Charities – Critical Time Intervention with Representative Payee

- 2007 SHP: \$56,700; Total Program Cost: \$70,605
- Provides Critical Time Intervention (CTI) Case Management services for chronically homeless persons in the Shields Township Shelter Plus Care program. The program will provide intensive case management services during the critical 9-month transition period from the streets and emergency shelter into permanent housing. Beyond this transition period, clients will receive ongoing, less intensive case management services.
- SHP funds are designated for Supportive Services and Administration.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 671 South Lewis, Waukegan, IL 60085
- Site Locations: Scattered-site units throughout Lake County

### Catholic Charities – Supportive Services for the Homeless

- 2007 SHP: \$45,507; Total Program Cost: \$56,342
- Provides housing counseling services to move 25 homeless persons from emergency or transitional housing into permanent housing. Supportive services provided include budget counseling, financial advocacy, coordination with mainstream resources, and follow-up services.
- SHP funds are designated for supportive services.
- Proposed Program Outcomes:
  - *To be determined*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 671 S Lewis Avenue, Waukegan, IL 60085
- Site Location: 671 S Lewis Avenue, Waukegan, IL 60085

### Independence Center – Permanent Housing

- 2007 SHP: \$58,184; Total Program Cost: \$75,162
- Provides renewal funding to maintain 8 units of permanent supportive housing for homeless persons with mental illness. Supportive services to be provided include vocational training, case management, life skills training, money management, and a variety of other services to assist residents to maintain self-sufficiency.

- SHP funds are designated for Supportive Services, Operational Costs, and Administration.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 2025 Washington St, Waukegan, IL 60085
- Site Locations: 813, 815, 817, & 819 Indiana, Waukegan, IL 60085

#### **I-PLUS – Critical Time Intervention with Representative Payee**

- 2007 SHP: \$12,805; Total Program Cost: \$19,220
- Provides Representative Payee services for chronically homeless persons in the Shields Township Shelter Plus Care program. The program will receive each client's benefit check and work with the client to pay rent, utilities, and other bills necessary to maintain permanent housing and independent living.
- SHP funds are designated for Supportive Services.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 3001 Green Bay Road, Building #9, Room 130, North Chicago, IL 60064
- Site Locations: Scattered-site units throughout Lake County

#### **Lake County Health Department & Community Health Center – S+C One**

- 2007 Shelter Plus Care: \$134,784; Estimated Total Program Cost: \$269,568
- S+C Matching funds are comprised of in-kind services.
- Provides 13 units of permanent supportive housing for chronically homeless individuals who are disabled due to chronic mental illness, many of whom also have a history of chronic substance abuse. Supportive services to be provided include intensive case management, life skills training, medication monitoring, substance abuse services, primary care services, transportation assistance, and representative payee services.
- Shelter Plus Care funds are designated for rental subsidies.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 3012 Grand Avenue, Waukegan, IL 60085
- Site Locations: Scattered-site units throughout Lake County

#### **Prairie State Legal Services – Access to Housing Project (Homeless Prevention Program)**

- 2008 ESG: \$23,742; Total Program Cost: \$103,830

- ESG Matching Sources include: Foundation & Corporate Grants, Other Governmental Fees & Grants, and the United Way.
- Provides legal advice and representation to 75 low/moderate income residents of Lake County who are homeless, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing. Services will include representing persons illegally denied housing in violation of Fair Housing Laws, persons wrongly denied permanent housing or housing subsidies, and persons denied permanent housing for reasons which can be overcome with legal assistance.
- ESG funds are designated for Homeless Prevention Activities.
- Proposed Program Outcomes:
  - *Ten households will secure housing or a housing subsidy which has been denied wrongfully.*
  - *Achieve a significant legal objective for 15 homeless Lake County individuals or families, which will generally be obtaining the means to secure permanent housing.*
  - *Achieve a significant legal objective for 12 formerly homeless families or individuals in matters which impact their ability to sustain their housing, and objectives will address an issue which threatens to cause the family to lose permanent housing.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 325 W. Washington #100, Waukegan, Illinois 60085
- Site Location: 325 W. Washington #100, Waukegan, Illinois 60085

### Prairie State Legal Services – Legal Advocacy for Housing Options

- 2007 SHP: \$50,000; Total Program Cost: \$61,905
- Provides legal advice and representation to homeless residents of Lake County, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing.
- SHP funds are designated for Supportive Services.
- Proposed Program Outcomes:
  - *To be determined*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 325 W. Washington #100, Waukegan, Illinois 60085
- Site Location: 325 W. Washington #100, Waukegan, Illinois 60085

Subrecipient/Project Sponsor	Total Project Cost (per year)	2007 SHP	2007 S+C	2008 ESG
Catholic Charities	\$70,605	\$56,700		
Catholic Charities	\$56,342	\$45,507		
Independence Center	\$75,162	\$58,184		
I-PLUS	\$19,220	\$12,805		
Lake County Health Department	\$267,000		\$133,500	



Prairie State Legal Services	\$103,830			\$23,742
Prairie State Legal Services	\$61,905	\$50,000		
<b>TOTAL</b>	<b>\$1,153,264</b>	<b>\$223,196</b>	<b>\$133,500</b>	<b>\$23,742</b>

## **2.4 Group Homes for the Mentally Ill**

- 2008 funds were not allocated for group homes for the mentally ill.

## **2.5 Homeless Outreach**

- 2008 funds were not allocated for homeless outreach.

### 3.0 Lake County Community Development Activities

#### Lake County Administrator – Urgent Needs Grant Program

- 2008 CDBG: \$59,399; Total Project Cost: \$100,000
- Provision of safe drinking water or disposal of wastewater in emergency situations in Lake County.
- Future CDBG applications for use of these funds, throughout the program year, will determine whether the individual project funds a **High Priority** need or a **Medium Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- Locations to be Determined.

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Lake County Administrator	\$100,000	\$59,399
<b>TOTAL</b>	<b>\$100,000</b>	<b>\$59,399</b>

### 3.1 Public Infrastructure

#### 3.1.1 Roadway Improvements

##### Antioch Township – Drainage & Roads

- 2008 CDBG: \$73,000; Total Project Cost: \$166,000
- Installation of 1,015 LF of roadway surface and 510 LF of storm sewer and culverts on Park, Shannon and Woodbine Avenues.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8608.05/BG1; 338 LMI 38%

##### Avon Township – Drainage & Roads

- 2008 CDBG: \$73,000; Total Project Cost: \$543,000
- Installation of 1,450 LF of roadway surface with storm sewers and curb and gutter on Lake Shore Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8613.01/BG2; 779 LMI 45%

##### Fox Lake – Drainage & Roads

- 2008 CDBG: \$73,000; Total Project Cost: \$131,800
- Installation of 1,685 LF of new roadway surface on Highview Avenue.

- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8609.03/BG1; 22 LMI 41%

#### **Grant Township - Drainage & Road**

- 2008 CDBG: \$73,000; Total Project Cost: \$525,700
- Construction of approximately 3,100 LF of concrete curb and 1,550 LF of roadway surface on Lake Shore Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8609.05/BG2; 64 LMI 64% (income survey)

#### **Lindenhurst - Roads**

- 2008 CDBG: \$48,000; Total Project Cost: \$124,750
- Installation of 550 LF of storm sewer and appurtenances and 700 LF of new roadway surface on Elmwood Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.

CT8610.08/BG1; 38 LMI 39%

#### **Park City - Roads**

- 2008 CDBG: \$73,000; Total Project Cost: \$200,000
- Installation of 1,575 LF of roadway on Dorsett Court and Harwick and Devonshire Lanes.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.

CT8615.04/BG2; 19 LMI 47%

#### **Round Lake – Drainage & Roads**

- 2008 CDBG: \$73,000; Total Project Cost: \$250,000
- Installation of 1,500 LF of roadway surface with curb and gutter and drainage improvements on Cedarcrest Court and Alpine Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.

CT8614.03/BG2; 29 LMI 49%

#### **Wauconda Township - Roads**

- 2008 CDBG: \$58,000; Total Project Cost: \$93,750

- Rehabilitate and upgrade 2,600 LF of residential streets within the Williams Park Subdivision consisting of portions of Houston and Maple Streets.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8642.04/BG2; 108 LMI 74% (income survey)

### **Zion - Roads**

- 2008 CDBG: \$73,000; Total Project Cost: \$659,000
- Rehabilitate and resurface approximately 9,400 LF on 13 residential streets in two neighborhoods.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.

CT8605.00/BG1,2&3; 194 LMI 61%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Antioch Township	\$166,000	\$73,000
Avon Township	\$543,000	\$73,000
Fox Lake	\$131,800	\$73,000
Grant Township	\$525,700	\$73,000
Lindenhurst	\$124,750	\$48,000
Park City	\$200,000	\$73,000
Round Lake	\$250,000	\$73,000
Wauconda Township	\$93,750	\$58,000
Zion	\$659,000	\$73,000
<b>TOTAL</b>	<b>\$2,694,000</b>	<b>\$617,000</b>

### **3.1.2 Sanitary Sewer System Improvements**

#### **Antioch - Sanitary Sewer**

- 2008 CDBG: \$73,000; Total Project Cost: \$220,000
- Installation of a new lift station with new pumps, valve vault, controls and an emergency stand-by generator on Lake Street, near Tiffany Road.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8608.06/BG1; 132 LMI 39%

#### **Round Lake Park – Sanitary Sewer**

- 2008 CDBG: \$73,000; Total Project Cost: \$189,000
- Rehabilitation of 2,900 LF of sanitary sewer main and 9 manholes on Ravine, Knollwood and Lake Shore Drives.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.

- CT8614.04/BG1; 99 LMI 59%

#### **Wauconda - Sanitary Sewer**

- 2008 CDBG: \$73,000; Total Project Cost: \$238,000
- Repair/replacement of approximately 40 sanitary sewer and segment repairs in income eligible downtown areas.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8642.06/BG1; 124 LMI 49%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Antioch	\$220,000	\$73,000
Round Lake Park	\$189,000	\$73,000
Wauconda	\$238,000	\$73,000
<b>TOTAL</b>	<b>\$647,000</b>	<b>\$219,000</b>

### **3.1.3 Water Supply System Improvements**

#### **Beach Park – Watermain**

- 2008 CDBG: \$73,000; Total Project Cost: \$285,068
- Installation of 2,550 LF of 8 inch PVC watermain and 7 fire hydrants in Maplewood Manor and Green Bay Terrace Subdivisions.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8601.01/BG1 43 LMI 37% (income survey)

#### **Highwood – Watermain**

- 2008 CDBG: \$73,000; Total Project Cost: \$410,000
- Installation of approximately 1,600 LF of 12 inch watermain and appurtenances on Green Bay Road from Ashland Avenue to 500' north of Euclid Avenue
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8652.00/BG1; 93 LMI 46%

#### **Island Lake – Watermain**

- 2008 CDBG: \$73,000; Total Project Cost: \$537,000
- Installation of 2,835 LF of 8 inch and 6 inch watermain and appurtenances on Greenleaf Avenue, Forest, Judith and Channel Drives, Carol Circle and Ridge Road.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8642.03/BG2; 62 LMI 46%

**Lake County Public Works – Watermain**

- 2008 CDBG: \$73,000; Total Project Cost: \$1,497,920
- Installation of 2,456 LF of 8 inch watermain on Battershall Road, Orchard and Thornapple Lanes in Wildwood.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8616.07/BG1; 93 LMI 47%

**Lake Villa – Watermain & Roads**

- 2008 CDBG: \$58,000; Total Project Cost: \$102,000
- Reconstruction of 444 LF of watermain and 110 LF of roadway improvements on Oakwood Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8610.11/BG1; 30 LMI 41%

**Round Lake Heights – Watermain**

- 2008 CDBG: \$73,000; Total Project Cost: \$213,790
- Construction of 750 LF of watermain and appurtenances on Tomahawk Trail and Brentwood Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8613.01/BG1; 163 LMI 44%

**Winthrop Harbor - Watermain**

- 2008 CDBG: \$58,000; Total Project Cost: \$314,000
- Replacement of approximately 1,200 LF of watermain on 7th Street, between Sheridan Road and Ravine Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8601.03/BG1; 62 LMI 45%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Beach Park	\$285,068	\$73,000
Highwood	\$410,000	\$73,000
Island Lake	\$537,000	\$73,000
Lake County Public Works	\$1,497,920	\$73,000
Lake Villa	\$102,000	\$58,000
Round Lake Heights	\$213,790	\$73,000
Winthrop Harbor	\$314,000	\$58,000

<b>TOTAL</b>	<b>\$3,359,778</b>	<b>\$481,000</b>
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### 3.1.4 Drainage System Improvements

#### Mundelein – Drainage & Roads

- 2008 CDBG: \$73,000; Total Project Cost: \$364,132
- Installation of 115 LF of sanitary sewer, 465 LF of storm sewer and curb and gutter and 1,500 LF of roadway reconstruction on Ray's Lane.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8640.02/BG4; 113 LMI 58%

#### Round Lake Beach - Drainage

- 2008 CDBG: \$73,000; Total Project Cost: \$699,000
- Construction of a stormwater pump station on Oakwood Drive between Lotus and Walnut.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8613.03/BG2; 145 LMI 55%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Mundelein	\$364,132	\$73,000
Round Lake Beach	\$699,000	\$73,000
<b>TOTAL</b>	<b>\$1,063,132</b>	<b>\$150,000</b>

## 3.2 Responding to Basic Needs

### 3.2.1 Meet Basic Food and Nutrition Needs

#### Christian Outreach of Lutherans – C.O.O.L. Food Pantry

- 2008 Lake County CDBG: \$34,352; Total Program Cost: \$225,950
- Matching sources include Contributions, Special Events, Foundation & Corporate Grants, and the United Way.
- The program supplies food for 12 balanced meals (4 days) to 10,335 unduplicated clients, as well as food for specialty diets (cardiac and diabetic patients) to promote health and reduce medical bills. Also supplied are baby items, ethnic foods, and foods for those with allergies.
- CDBG funds are designated for salaries and payroll taxes for the Pantry Coordinator and Pantry Assistant, and for occupancy.
- Proposed Program Outcomes:
  - *4,400 households will report having their food needs met through the food pantry increasing the accessibility of a suitable living environment.*

- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 127 W. Water St, Waukegan, IL 60085
- Site Location: 123 W. Water Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Christian Outreach of Lutherans	\$225,950	\$34,352
<b>TOTAL</b>	<b>\$225,950</b>	<b>\$34,352</b>

### 3.2.2 Meet Basic Healthcare Needs

#### HealthReach – Medical Program

- 2008 Lake County CDBG: \$34,352; Total Program Cost: \$537,962
- Matching sources include Contributions, Foundation & Corporate Grants, Program Service Fees, and the United Way.
- Provides non-emergency primary medical care (doctor visits) to 4500 clients in its clinics operating under the "free clinic" model of care. It is provided to medically uninsured low-income persons in Lake County, including the homeless and immigrant populations. Medical services provided on-site include: General family medicine; prescription pharmaceutical delivery; pediatric medicine, pediatric dentistry; cardiology; endocrinology; gynecology; ophthalmology; orthopedics; neurology; urology; lab services and phlebotomy; dietetics counseling; psychological and behavior modification counseling; referral case management and follow-up services; and certified medical interpreting (English and Spanish).
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *480 adult patients will receive age and family history appropriate cancer screening exams or tests and will thereby benefit from early diagnosis of cancer.*
  - *300 children will receive oral health exams and teeth cleaning procedures.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 1800 Grand Avenue, Waukegan, IL 60085
- Site Location: 1800 Grand Avenue, Waukegan, IL 60085; 111 N. Seymour Ave, Mundelein, IL 60060

Subrecipient/Project Sponsor	Total Project Cost	CDBG
HealthReach	\$537,962	\$34,352
<b>TOTAL</b>	<b>\$537,962</b>	<b>\$34,352</b>

### 3.2.3 Meet Basic Transportation Needs

- 2008 funds were not allocated for Basic Transportation Needs.



### 3.2.4 Assistance Acquiring and Managing Financial Benefits

- 2008 funds were not allocated for Assistance Acquiring and Managing Financial Benefits.

## 3.3 Individual / Family Self-Sufficiency

### 3.3.1 Behavioral Health

#### **CREW, Inc. – Substance Abuse Counseling**

- 2008 Lake County CDBG: \$33,353; Total Program Cost: \$244,500
- Matching sources include Foundation & Corporate Grants, Other Governmental Fees & Grants, and Program Service Fees.
- Provides Level I & Level II outpatient substance abuse treatment for 500 adults and adolescents. Education for alcohol and/or other substance abuse issues is also provided.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *200 clients will successfully complete the program.*
  - *50 clients who complete treatment will remain abstinent from alcohol and drugs for 12 months of date of discharge.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 2800 Sheridan Road, Zion, IL 60099
- Site Location: 2800 Sheridan Road, Zion, IL 60099

#### **Family Service: Prevention Education & Counseling – Latino Individual and Family Behavioral Health**

- 2008 Lake County CDBG: \$34,352; Total Program Cost: \$165,154
- Matching sources include Contributions, Special Events, Foundation & Corporate Grants, and Program Service Fees.
- Targets behavioral health needs of 476 Latino children, youth, adults and seniors experiencing social, emotional, and/or psychological issues. This is an expansion of an existing program in order to reach clients in western Lake County, and also open a fifth office site in Lake Villa. Services provided include: family life education; referral and linkage; client advocacy; case management; and counseling services.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *85% of clients will rate their overall service as "excellent" or "good" on a satisfaction survey that at least 12% of clients terminating service will complete and return the survey.*
  - *No more than 15% of client objectives will remain unchanged and 5% of client objectives will be rated lower than the initial rating at the start of counseling.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 777 Central Avenue, Highland Park, IL 60035
- Site Location: Various locations throughout Lake County

### **LaCASA/Zacharias Center – Sexual Assault Treatment/Children’s Counseling Program**

- 2008 Lake County CDBG: \$28,353; Total Program Cost: \$223,397
- Matching sources include Contributions, Special Events, Foundation & Corporate Grants, Other Governmental Fees & Grants, and the United Way.
- Provides a client-centered service for 90 children, 3-17 years old, who have been sexually assaulted or abused. Exposure to sexual trauma can lead to risk-taking, delinquent and self-destructive behaviors among children. The program also provides non-offending loved ones and caretakers with prevention education, counseling, and advocacy services. Spanish-speaking services are available.
- CDBG funds are designated for staff salaries, benefits and payroll taxes, supplies, telephone and fax, and occupancy.
- Proposed Program Outcomes:
  - *85% of child survivors of sexual assault/abuse will report a decrease in personal trauma symptoms related to the abuse within six months of beginning service.*
  - *85% of child survivors of sexual assault/abuse will report an improvement in their personal interactions and personal relationships within six months.*
  - *50% will report diminished use of negative coping mechanisms within six months.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for abused children.
- Agency Location: 4275 Old Grand Avenue, Gurnee, IL 60031
- Site Location: 4275 Old Grand Avenue, Gurnee, IL 60031

### **Lake County Residential Development Corporation (LCRDC) – Mary Pat Maddex Place**

- 2008 Lake County CDBG: \$25,000; Total Program Cost: \$323,056
- Matching sources include Contributions, Special Events, Other Governmental Fees & Grants, and the United Way.
- Provides transitional housing, up to 24 months, for 51 homeless women and children through a 9-unit apartment building located in Gurnee. Clients must have DCFS involvement. On site supportive services including case management, individual and family counseling, parenting and recovery groups, and employment services are provided by Catholic Charities.
- CDBG funds are designated for professional fees.
- Proposed Program Outcomes:
  - *75% of clients will maintain their sobriety throughout the reporting year.*
  - *75% of clients will secure a stable source of income.*
  - *65% of clients will secure permanent housing.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 1280 Blackburn Street, Suite 301, Gurnee, IL 60031
- Site Location: 1280 Blackburn Street, Suite 301, Gurnee, IL 60031

### Nicasa – Round Lake Adult Counseling Services

- 2008 Lake County CDBG: \$25,000; Total Program Cost: \$671,050
- Matching sources include Special Events, Other Governmental Fees & Grants, and Program Service Fees.
- Provides substance abuse counseling to help 2000 clients to abstain from abusing alcohol or drugs. Many clients were mandated to receive a drug and alcohol evaluation from sources such as the courts, probation, or DCFS. Services include Alcohol and Other Drug Addiction (AODA) risk reduction education, and moderate, significant, and high-risk levels of outpatient treatment.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *95% of clients who participate in Risk Reduction will have a post-test score of 75% or higher, showing improved knowledge of alcohol and other drug use.*
  - *80% of clients in Moderate, Significant, and High Risk groups who have tested positive on a toxicology screening will achieve abstinence.*
  - *90% of clients in Moderate, Significant, and High Risk outpatient treatment groups will demonstrate no substance use for at least one month prior to their completion of the program.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 31979 N. Fish Lake Road, Round Lake, IL 60073
- Site Location: 31979 N. Fish Lake Road, Round Lake, IL 60073

Subrecipient/Project Sponsor	Total Project Cost	CDBG
CREW, Inc.	\$244,500	\$33,353
Family Service	\$165,154	\$34,352
LaCASA/Zacharias Center	\$223,397	\$28,353
LCRDC	\$323,056	\$25,000
Nicasa	\$671,050	\$25,000
<b>TOTAL</b>	<b>\$1,627,157</b>	<b>\$146,058</b>

### 3.3.2 Prevention Activities for Youth

- 2008 funds were not allocated for Prevention Activities for Youth.

### 3.3.3 Case Management, Information and Referral Services

#### Lake County - Homeless Management Information System (HMIS)

- 2007 SHP: \$15,000; Estimated Total Program Cost: \$22,200
- Maintain a web-based computer system known as ServicePoint that tracks demographic information about homeless clients as well as data about services provided. The HMIS also includes a searchable Information & Referral component listing detailed information about homeless service agencies and other social service programs in Lake County. Participating agencies can conduct joint service planning and automated referrals through the HMIS as well as a variety of client and service reports.
- SHP funds are designated for HMIS costs.
- Proposed Project Outcomes:
  - *To be determined.*

- This project funds a **Medium Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 18 N County Street- 6<sup>th</sup> Floor, Waukegan, IL 60085
- Site Location: Scattered Sites

### **Lake County Sheriff's Office – Inmate Reentry Services**

- 2008 Lake County CDBG: \$34,352; Total Program Cost: \$249,093
- Matching Sources include Other Governmental Fees and Grants.
- Program provides individual inmate assessment, case management and referral to a wide variety of social and community services, so that 1200 ex-offenders can successfully reintegrate into society. At the time of discharge, they are linked to agencies and services for housing, jobs, behavioral health and skills training services in the community.
- CDBG funds are designated for salaries for a part-time reentry specialist positions.
- Proposed Program Outcomes:
  - *75% of 1200 inmates with identified reentry needs will report they feel better prepared for reintegration to the community.*
  - *75% of 1200 inmates with identified reentry needs will report they have developed reentry goals and action plans.*
  - *80% of 360 inmates with identified reentry needs will report that the programs have resulted in their improvement in their ability to adjust to successful community living after release from custody.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the Low/Mod Income clientele criteria.
- Agency Location: 20 S. County Street, Waukegan, IL 60085
- Site Location: 20 S. County Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost (per year)	2007 SHP (per year)	2008 CDBG
Lake County	\$22,200	\$15,000	
Lake County Sheriff's Office	\$249,093		\$34,352
<b>TOTAL</b>	<b>\$271,293</b>	<b>\$15,000</b>	<b>\$34,352</b>

## **3.4 Enhancement of Economic Opportunities**

### **3.4.1 Job Creation**

- 2008 funds were not allocated for Job Creation.

### **3.4.2 Basic Employment Skills**

#### **Lake County Center for Independent Living**

- 2007 Lake County CDBG: \$25,000; Total Program Cost: \$98,161
- Matching sources include: contributions, special events, foundation and corporate grants, United Way, and other governmental grants.
- Provision of employment readiness skills to persons with disabilities, including: basic/advanced computer skills, basic business etiquette, interviewing and preparation

- techniques, and provision of assistive/adaptive technology that will enable participants to compete in the job market.
- Lake County Center for Independent Living (LCCIL) proposes to provide training to 75 people with disabilities (45 youth and 30 adults).
  - Proposed Program Outcomes:
    - 75% of participants becoming proficient in the use of Microsoft Office Suite.
    - 80% developing a comprehensive cover letter and resume.
    - 75% learning effective job interview skills.
    - 75% demonstrating competency in the use of typical office equipment.
  - This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2000 – 2004 Housing and Community Development Consolidated Plan.
  - Funds are awarded based on presumed benefit criteria for severely disabled clientele.
  - Agency Location: 377 Seymour Avenue, Mundelein, IL 60060

#### **Northpointe Resources Inc. – Off-Site Training Program**

- 2008 Lake County CDBG: \$25,000; Total Project Cost: \$140,714
- Additional funding sources: Contributions and program service fees.
- Occupational skills training for individuals who will be completing or have recently completed their formal education and desire competitive employment. 16 persons are expected to complete training under this program.
- Proposed Program Outcomes:
  - Of 25 individuals attending Occupational Skills Training (OST) class, 16 will graduate and obtain gainful employment.
  - 16 individuals who complete OST will increase their ability to obtain a job.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on presumed low-income clientele criteria.
- Agency Location: 3441 Sheridan Road, Zion IL 60099

#### **Youthbuild Lake County – Youthbuild Program**

- 2008 Lake County CDBG: \$34,352; Total Program Cost: \$704,064
- Additional funding sources: contributions, special events, foundation and corporate grants, other governmental grants, and United Way.
- Educational and job training program for young adults aged 16-24, the majority of whom are ex-offenders. For 2008-2009, Youthbuild Lake County (YBLC) proposes to enroll 20-25 young persons in a nine-month program. CDBG funds are to be used to pay part of the salary of the agency's Program Manager.
- Proposed Program Outcomes:
  - 80% of YBLC graduates will complete at least one of the following: GED Certification; increase their skills by at least two grade levels; earn a Model Office Certificate; earn a Home Builders Institute Certification.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod Income clientele criteria.

- Agency Location: 3001 N. Green Bay Road, North Chicago IL 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Lake County Center for Independent Living	\$98,161	\$25,000
NorthPointe Resources Inc.	\$140,714	\$25,000
Youthbuild Lake County	\$704,064	\$34,352
<b>TOTAL</b>	<b>\$942,939</b>	<b>\$84,352</b>

### 3.4.3 Dependent Care Services in Support of Employment

- 2008 funds were not allocated for Dependent Care Services in Support of Employment.

## 3.5 Administration and Planning

### 3.5.1 Program Administration and Planning

#### Lake County Department of Planning, Building, and Development – CDBG Program Administration

- 2008 Lake County CDBG – Administration Funds: \$444,386
- These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the 2005 – 2009 Housing and Community Development Consolidated Plan and its respective program needs statements, goals, and strategies.

#### Lake County Department of Planning, Building, and Development – HOME Program Administration

- 2008 Lake County Consortium HOME – Administration Funds: \$162,552
- These funds will be used primarily for the general management, oversight and coordination of the HOME Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective HOME Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.

- These funds will also be used to distribute and provide program advocacy regarding the 2005 – 2009 Housing and Community Development Consolidated Plan and its respective program needs statements, goals, and strategies.

#### **Lake County Department of Planning, Building, and Development – ESG Program Administration**

- 2008 Lake County ESG – Administration Funds: \$5,670
- These funds will be used primarily for the general management, oversight and coordination of the Emergency Shelter Grant Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the 2005 – 2009 Housing and Community Development Consolidated Plan and its respective program needs statements, goals, and strategies.

#### **Affordable Housing Corporation of Lake County**

- 2008 Lake County Consortium HOME – Administration Funds: \$9,464
- These funds will be used for HUD-required compliance inspections of existing HOME-funded rental projects and inspections related to the PY2008 HOME funded NorthPointe Resources project.

### **3.5.2 Education, Advocacy and Outreach**

#### **Affordable Housing Corporation of Lake County**

- 2008 Lake County CDBG – Administration Funds: \$50,018
- These funds will be used to provide administrative support to the Lake County Affordable Housing Commission, whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing.

## 4.0 North Chicago Community Development Activities

### 4.1 Public Facilities

#### 4.1.1 Recreation Facilities

- 2008 funds were not allocated for recreation facilities.

#### 4.1.2 Senior Centers

- 2008 funds were not allocated for senior centers.

#### 4.1.3 Community Safety Equipment

##### Project 08-2.1: Home Security Program

- 2008 North Chicago CDBG: \$5,000; Total Program Cost \$5,000
- Leverage: All CDBG Funding
- Administered by the North Chicago Police Department with oversight by the Community Development and Planning Department, this project will pay for the installation for an alarm system in owner occupied housing units. This project will benefit low to moderate income residents throughout the community.
- Proposed Project Outcomes:
  - *Increase safety services to residents throughout the community.*
  - *Improvement to their sense of security with in the community.*
  - *Increase awareness and maintenance of homeownership throughout the community.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide.

##### Project 08-2.2: Fire Safety Prevention Program

- 2008 North Chicago CDBG: \$5,000; Total Program Cost \$5,000
- Leverage: All CDBG Funding
- Administered by the North Chicago Fire Department with oversight by the Community Development and Planning Department, this project will pay for the direct cost and installation of smoke and/or carbon monoxide detectors in owner occupied housing units for low to moderate income families throughout the community.
- Proposed Project Outcomes:
  - *Increase fire safety for residents throughout the community.*
  - *Decrease the incidents of death and injuries caused by fire & carbon monoxide.*
  - *Improve the awareness of fire & carbon monoxide related issues.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide.

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Community Development & Planning	\$5,000	\$5,000



Community Development & Planning	\$5,000	\$5,000
<b>TOTAL</b>	<b>\$10,000</b>	<b>\$10,000</b>

## 4.2 Infrastructure Improvement

### 4.2.1 Public Facilities Improvements

#### Project 08-3.1: Street & Alley Improvement Program

- 2008 North Chicago CDBG: \$50,000; Total Program Cost: \$575,000
- Leverage: State Motor Fuel Tax Funds
- Administered by the City Engineer and the Department of Community Development and Planning, this program will pay for the direct cost of engineering, removal, and resurfacing of streets and alleys in areas designated as primarily low to moderate income. It will include the following streets: Wadsworth Avenue, Elizabeth Avenue, Dugdale Avenue, and Wallace Avenue.
- Proposed Project Outcomes:
  - *The reconstruction and resurfacing of streets and alleys located in low to moderate-income areas throughout the community.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide.

#### Project 08-3.2: Sidewalk Program

- 2008 North Chicago CDBG: \$6,000; Total Program Cost: \$12,000
- Leverage: Residential and Business matching funds
- Administered by the Street Department and the Department of Community Development and Planning, this program will pay for the direct cost of engineering, removal, and construction of sidewalks in areas designated as primarily low to moderate income. In addition, this program will address trip hazards giving preferential consideration in addressing these improvements. Residents of owner-occupied homes will pay for 50% of the total cost of the project with CDBG funding covering the remaining cost. However, landlords/business owners will pay 75% of the project with CDBG funding covering the remaining cost.
- Proposed Project Outcomes:
  - *The reconstruction of sidewalks in low to moderate-income areas.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide.

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Community Development & Planning	\$575,000	\$50,000
Community Development & Planning	\$12,000	\$6,000
<b>TOTAL</b>	<b>\$587,000</b>	<b>\$56,000</b>

#### 4.2.2 Handicap Accessibility Improvements

- 2008 funds were not allocated for handicap accessibility improvements.

### 4.3 Public Services

#### 4.3.1 Health Services

- 2008 funds were not allocated for health services.

#### 4.3.2 Substance Abuse Services

##### **Project 08-4.55: Community Resources for Education & Wellness, Inc.**

- 2008 North Chicago CDBG: \$6,919; Total Program Cost: \$236,345
- Leverage: Foundations & Corporate Grants, Other Governmental Fees & Grants, Program Service Fees
- CREW Substance Abuse Counseling Program provides substance abuse counseling for adults, ages 18 and over and adolescents, age 12-17, in need of Level I & II Outpatient treatment and/or education for alcohol and/or other substance abuse issues.
- Proposed Program Outcomes:
  - *500 clients will successfully complete all substance abuse programs (Level I & II).*
  - *50 clients will successfully complete intensive outpatient program treatment & remain abstinent from alcohol and drugs for 12 months from date of discharge.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 2800 Sheridan Road, Zion, Illinois 60099

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Community Resource for Education & Wellness, Inc.	\$236,345	\$6,919
<b>TOTAL</b>	<b>\$236,345</b>	<b>\$6,919</b>

#### 4.3.3 Youth Services

##### **Project 08-4.27: Boys & Girls Club of Lake County**

- 2008 North Chicago CDBG: \$5,000; Total Program Cost: \$1,397.825
- Leverage: Contributions, Special Events, Foundation & Corporate Grants, Other Governmental Fees & Grants, Membership Dues, Program Service Fees, Investment Revenue, Miscellaneous, Other United Ways, United Way of Lake County
- YouthREACH utilizes modified case management to directly serve children ages 6 – 18 through core areas of the Club. The program begins on July 1<sup>st</sup> of each year, ending June 30<sup>th</sup> and now targets at least 2,000 at risk youth. Club staff works with schools, parents, juvenile probation and other agencies to help youth achieve success in a safe, healthy and supportive environment by achieving better grades, fewer school absences, and fewer incidents of behavior problems.
- Proposed Program Outcomes:
  - *3000 kids will have access to the program. At least 10% will be case managed of those 80% will increase in grades, 60% will improve behavior.*

- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 724 S. Genesee Street, Waukegan, Illinois 60085

#### **Project 08-4.28: Youthbuild Lake County**

- 2008 North Chicago CDBG: \$5,000; Total Program Cost: \$508,612
- Leverage: Contributions, Foundation & Corporate Grants, Other Governmental Fees & Grants, Miscellaneous
- Youthbuild Lake County provides education and job training programs to low-income youth, ages 17-24. 100% of our trainees are residents of Lake County. The program has five key components: academics, construction, life skills/leadership, job readiness, and counseling services. YBLC helps our trainees earn their GED, learn basic employment and job readiness. The trainees learn construction skills helping to build low-income housing to help other in our community. For 2008-2009, YBLC proposes to enroll 20-25 young people in this nine-month program.
- Proposed Program Outcomes:
  - *80% of graduates will enhance their basic skills by receiving at least one of the following: model office certificate, home builder's institute certificate, job readiness course completion certificate thereby increasing their basic employment skills and economic opportunities.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3001 N. Green Bay Road, Building #1, 3<sup>rd</sup> Floor, North Chicago, IL 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Boys & Girls Club of Lake County	\$1,397,825	\$5,000
Youthbuild Lake County	\$508,612	\$5,000
<b>TOTAL</b>	<b>\$1,906,437</b>	<b>\$10,000</b>

#### **4.3.4 Parental Supportive Services**

##### **Project 08-4.12: Catholic Charities Family Self-Sufficiency Program**

- 2008 North Chicago CDBG: \$5,000; Total Program Cost: \$307,558
- Leverage: Contributions, Special Events, Foundations & Corporate Grants, Other Governmental Fees & Grants, United Way of Lake County
- This program provides comprehensive, case management and employment services to low income, single-parent families in North Chicago and Lake County. Participants are assisted to meet basic needs including food, income and safe housing. Services include assessments, referrals, individual service planning, advocacy, counseling, parenting training, financial assistance to maintain stable housing and increase employable skills; and, job readiness, placement and retention services allowing families to obtain and maintain self sufficiency.
- Proposed Project Outcomes:
  - *65% of clients will be employed this year within 90 days of opening a case.*

- *70% of clients will maintain their employment for longer than six months.*
- *50% of clients will advance their employment by completing college courses, a certification program, or ESL classes, job readiness training, or GED classes to update their skills.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 671 South Lewis Avenue, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Catholic Charities	\$307,558	\$5,000
<b>TOTAL</b>	<b>\$307,558</b>	<b>\$5,000</b>

#### 4.3.5 Early Childhood Intervention

- 2008 funds were not allocated for early childhood intervention.

#### 4.3.6 Senior Services

##### Project 08-4.15: Waukegan Township - Home Sweet Home

- 2008 North Chicago CDBG: \$5,000; Total Program Cost: \$4,275,500
- Leverage: Contributions, Other Governmental Fees & Grants
- The Home Sweet Home is a “no-cost” lawn maintenance and snow removal program designed to assist older adults in remaining self-sufficient. Home Sweet Home provides year-round yard maintenance service to Waukegan Township residents, age 55 or older. Lawns are maintained in the summer, leaves are raked and removed in the fall, and snow is shoveled in the winter. Waukegan Township does not charge a fee for this service. This activity will be located in Waukegan Township of the City of North Chicago.
- Proposed Project Outcomes:
  - *95% of the seniors served will remain in their own homes.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Location: 149 South Genesee Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Waukegan Township	\$4,275,500	\$5,000
<b>TOTAL</b>	<b>\$4,275,500</b>	<b>\$5,000</b>

#### 4.3.7 Basic Needs Assistance

##### Project 08-4.13: I-PLUS

- 2008 North Chicago CDBG: \$5,000; Total Program Cost: \$146,650
- Leverage: Contributions, Special Events, Foundations & Corporate Grants, Other Governmental Fees & Grants, Program Service Fees, United Way of Lake County
- I-PLUS provides payeeship services to the chronically homeless mentally ill, drug/alcohol dependent clients, who have spent years in the shelter systems. I-PLUS is

a “housing first” “harm reduction” model. We receive the clients’ disability checks, get them off the streets, out of shelters and into housing and into treatment. We accomplish this with the use of their own disability checks.

- Proposed Program Outcomes:
  - *90% of the 150 clients will be placed in stable permanent housing and remain there for at least a year.*
  - *60% of our clients will be treatment compliant within 90 days of I-PLUS receiving their benefits and being stabilized in housing.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3001 Green Bay Road, Building #9, Veterans Administration Campus, North Chicago, Illinois 60064

#### **Project 08-4.14: PADS Crisis Services, Inc.**

- 2008 North Chicago CDBG: \$10,000; Total Program Cost: \$1,703,041
- Leverage: Contributions, Special Events, Foundation & Corporate Grants, Other Governmental Fees & Grants, Investment Revenue, Other United Way, United Way of Lake County
- PADS Weekend and Holiday Project is a point of emergency shelter for homeless individuals and families. Clients receive shelter, meals, supportive services, and/or basic necessities; PADS is open 365 days a year. In FY2007, our “Weekend and Holiday Project” served 1,290 unduplicated individuals. We are requesting funding to allow PADS to continue to be open on weekends and holidays. This will allow PADS to continue to help homeless individuals have a safe place to be when other services are closed and to make positive life changes.
- Proposed Project Outcomes:
  - *100% of clients served by this program will be in a safe environment and off the streets of the community.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Location: 3001 Green Bay Road, Building #5, North Chicago, IL 60064

#### **Project 08-4.18: Emmanuel Faith Bible Christian Center - Food Pantry**

- 2008 North Chicago CDBG: \$5,000; Total Program Cost: \$89,978
- Leverage: Other Governmental Fees & Grants
- The Community Outreach Program of Emmanuel Faith Bible Christian Center provides basic needs such as food and clothing, in addition to providing: application assistance for IDHS services i.e., food stamps. Referrals information for additional resources and judicial intervention i.e., providing assistance to individuals in the Lake County Court System with a means to satisfy court-appointed community service hours. Food and clothing pantry hours of operation are Thursday evenings, from 5:30 p.m. to 7:30 p.m. This program is designed to give foods to the clients that adhere to the Food Guide Pyramid. This program works as a liaison for the Department of Human Services encouraging people coming to the pantry to apply for food stamp assistance.
- Proposed Program Outcomes:

- *The pantry program will supply enough food to sustain 10,000 clients in 3,000 households, 4 days per month.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1840 Lincoln Street, North Chicago, Illinois 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
I-PLUS	\$146,650	\$5,000
PADS Crisis Services	\$1,703,041	\$10,000
Emmanuel Faith Bible Christian Center	\$89,978	\$5,000
<b>TOTAL</b>	<b>\$1,939,669</b>	<b>\$20,000</b>

## 4.4 Economic Development

### 4.4.1 Real Estate Development

#### Project 08-2.3: Façade Improvement Program

- 2008 North Chicago CDBG: \$75,000; Total Program Cost: \$150,000
- Leverage: Private Owners Funds
- Administered by the Department of Community Development and Planning, this program provides grants, installment loans and deferred loans to new or existing businesses to improve and rehabilitate deteriorating commercial and industrial building exteriors.
- Proposed Project Outcomes:
  - *The completion of 2 façade rehab projects.*
  - *The completion of 2 conceptual drawings for designated façade rehab projects.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064
- Site Location: Sheridan Road

#### Project 08-2.7: Economic Development Special Project

- 2008 North Chicago CDBG: \$26,000; Total Project Cost: \$5,200,000
- Leverage: Loans, State Grants, Federal Grants
- Administered by the Department of Community Development and Planning, this activity will provide operating revenue and fund debt service for Grant Place, a new 22,000 square foot retail center in downtown North Chicago. Other expenses for this activity will include predevelopment financing, real estate commissions, tenant build outs, and all costs associated with construction expenses.
- Proposed Project Outcomes:
  - *Improve the downtown image in order to attract new businesses.*
  - *Increase community pride by developing new economic development atmosphere.*
  - *The completion of a new 22,000 square foot retail shopping center.*

- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064
- Site Location: 1801 Sheridan Road

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Façade Improvement Program	\$150,000	\$75,000
Economic Development Special Project	\$5,200,000	\$26,000
<b>TOTAL</b>	<b>\$5,350,000</b>	<b>\$101,000</b>

#### 4.4.2 Business Education

- 2008 funds were not allocated for business education.

### 4.5 Planning and Administration

#### 4.5.1 Planning and Administration

##### Project 08-5.0: General Administration

- 2008 North Chicago CDBG – Administration Funds: \$40,877
- This activity will pay the direct costs related to planning and execution of community development activities in North Chicago including program staff and operating expenses.
- Other expenses under this activity include: publication and legal notice fees, single audit expenses, necessary equipment and supplies, training and educational expenses, and professional services.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

##### Project 08-5.1: SER/Jobs for Progress - Fair Housing Center

- See Goal Section #1.6, beginning on page 40 of this document, regarding North Chicago's CDBG funding for this project ("Fair Housing").

## 5.0 Waukegan Community Development Activities

### 5.1 Public Facilities

#### 5.1.1 Downtown Revitalization Area

##### City of Waukegan – Downtown Streetscape Program

- 2008 Waukegan CDBG: \$184,969; Total Program Cost: \$246,626
- Leverage sources: City Budget
- Program Description: Replace existing sidewalks, lighting and landscaping to create a safer more aesthetically appealing pedestrian environment and improve the economic viability of the downtown and eliminate slum and blight.
- Use of CDBG Funds: material & labor
- Proposed Program Outcomes:
  - *Enhance the pedestrian experience in downtown; thereby, supporting and improving the economic viability of the retail experience, and eliminate slum & blight.*
- In PY2008, the program proposed to serve approximately 350,000 people.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085
- Site Location: On Washington Street from Genesee Street west to County Street, as well as street lights at the intersections of Washington Street with Martin Luther King, Jr. Avenue and West Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan/Downtown Streetscape	\$246,626	\$184,969
<b>TOTAL</b>	<b>\$246,626</b>	<b>\$184,969</b>

#### 5.1.2 Infrastructure Improvements

##### City of Waukegan Public Works Dept. - Sidewalk Replacement Program

- 2008 Waukegan CDBG: \$110,000; Total Program Cost: \$400,000, city will operate additional sidewalk replacement program throughout the community.
- Leverage sources: City of Waukegan's Public Works budget
- Program Description: Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG (approximately 5000 lineal feet).
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
  - *Increased pedestrian safety on public sidewalks*
- In PY2008, the program proposed to serve direct benefit to 500 households, 100% in target area (community as a whole/people generally).
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1700 N. McAree Road, Waukegan, IL 60085
- Site Location: Target areas throughout the City of Waukegan, IL 60085



### **Waukegan Housing Authority – Rehab Senior/Disabled Building**

- 2008 Waukegan CDBG: \$35,000; Total Program Cost: \$83,320
- Leverage Sources: Housing Authority Capitalization Funds
- Program Description: Replace existing hallway corridor floor tiles with new tile on 8-1/2 floors, repaint and repair passenger elevator doors, replace existing stairwell doors with fire proof doors and replace interior first floor entrance door with improved handicapped assessable door at Ravine Terrace Home.
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
  - *Improve senior and handicapped safety and improve their self esteem.*
- In PY 2008, the program proposed to serve 99 Clients.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 215 South Martin Luther King, Jr. Avenue, Waukegan, IL 60085
- Site Location: 200 S. Martin Luther King Jr. Avenue Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan/Sidewalks	\$400,000	\$110,000
Waukegan Housing Authority	\$83,320.	\$35,000
<b>TOTAL</b>	<b>\$483,320</b>	<b>\$145,000</b>

### **5.1.3 Recreational and Service-Oriented Facilities**

- 2008 funds were not allocated for Recreational and Service-Oriented Facilities

### **5.1.4 Accessibility for People with Disabilities**

#### **Friends of the Historic Genesee Theatre – ADA Upgrade**

- 2008 Waukegan CDBG: \$8,000; Total Program Cost: \$12,178
- Leverage sources: Corporation Funds, donations from Founders Circle Members, and charitable contributions.
- Program Description: Equip the Theatre with ADA compliant automatic door operators at the box office entrance, southwest all weather lobby entrance, and administrative office entrance.
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
  - *To provide convenient accessibility to theatre for staff and patrons with disabilities.*
- In PY2008, the program proposed to serve direct benefit to 400-640 ADA clients.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 203 N. Genesee Street, Waukegan, IL 60085
- Site Location: 203 N. Genesee Street, Waukegan, IL 60085

#### **Nicasa Waukegan Office Renovations – ADA Upgrade**

- 2008 Waukegan CDBG: \$10,000; Total Program Cost: \$20,400

- Leverage sources: Local Corporation Funds, and assistance from Youth Conservation Corps, Inc.
- Program Description: Install 1 ramp to main entrance, 1 restroom remodeled for ADA compliance, remove a wall and widen a hallway to provide direct ADA compliant access to restroom, group counseling room and individual counseling office, 3 new doors with ADA compliant hardware, reroute telephone wiring to accommodate the remodeled area, repaint walls and re-carpet floor as walls and doors are moved/removed.
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
  - *Provide accommodating accessibility for youth and adult substance abuse treatment service, including DUI and SOS services, gambling intervention and parent education programming. Services made available predominately to Waukegan residents with disabilities.*
- In PY2008, the program proposed to serve direct benefit to 2936 clients per year.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 31979 N. Fish Lake Road, Round Lake, IL 60073
- Site Location: 1113 Greenwood Avenue, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Friends of Historic Genesee Theatre	\$12,178	\$8,000
Nicasa	\$20,400	\$10,000
<b>TOTAL</b>	<b>\$32,578</b>	<b>\$18,000</b>

## 5.2 Public Services

### 5.2.1 Youth Services

#### A Safe Place – Residential Children's Services

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$633,300
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees and grants, investment revenue, miscellaneous, and United Way Funding.
- Program Description: Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling and advocacy services for children victimized by domestic violence. Use various therapeutic techniques to help children deal with what they have been through and help them to find new healthier ways to live.
- Use of CDBG Funds: salaries, payroll taxes and benefits.
- Proposed Program Outcomes:
  - *70% of clients in the "the residential children's program will have the knowledge of new steps to take and resources to rely on in the event that they witness a new incident of domestic violence.*
  - *95% of school age children in the residential program will benefit from not having their schooling interrupted any longer than one week when leaving an abusive home.*
- This program funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- In PY2008, the program proposes to serve 59 at-risk Waukegan youth.
- Agency and site location suppressed.

### **Boys & Girls Club-Youth REACH**

- 2008 Waukegan CDBG: \$23,043; Total Program Cost: \$732,194
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees & grants, membership dues, program service fees, Investment Revenue, Miscellaneous, and United Way Funding.
- Program Description: Utilizes modified case management to guide children ages 6-18 to help them achieve success and independence in a safe, healthy and supportive environment.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *3000 youth will have access to program. At least 10% at-risk youth will be case managed of which 85% will increase grade point average,*
  - *75% will improve their behavior.*
- In PY2008, the program proposes to serve 3400 at-risk Waukegan youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 724 S. Genesee Street, Waukegan, IL 60085
- Site location: 724 S. Genesee Street, Waukegan, IL 60085

### **CASA of Lake County-Court Appointed Special Advocate Training**

- 2008 Waukegan CDBG: \$8,000; Total Program Cost: \$626,000
- Leverage sources: contributions, special events, foundation & corporate grants, Investment Revenue, and United Way Funding.
- Program Description: Recruit, train and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *95% of the children served by CASA Program this year will not experience abuse & neglect.*
  - *25% of the children served by CASA Program this year will achieve permanency.*
- In PY2008, the program proposes to serve 175 abused Waukegan youth
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 1020 Milwaukee, Suite. 312, Deerfield, IL 60015
- Site location: various court and residential locations

### **LaCASA – Sexual Assault Victim Advocacy**

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$276,980
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees and grants, program service fees, investment revenue, and United Way Funding.

- Program Description: Provides 24-hour a day, 365 days a year, and crisis interventions services to survivors of sexual assault and abuse.
- Use of CDBG Funds: salaries, benefits
- Proposed Program Outcomes:
  - *85% of survivors responding to LaCASA's Medial Advocacy Questionnaire will be satisfied with the support/services provided by the medical advocate and feel confident that these services helped to enhance their healing process.*
- In PY2008, the program proposes to serve approx. 24 Child Survivors Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 4275 Old Grand Avenue, Gurnee, IL 60031
- Site location: 4275 Old Grand Avenue, Gurnee, IL 60031, and various courts, hospitals and residential locations.

### Youth Conservation Corps (YCC) – Youth Build

- 2008 Waukegan CDBG: \$7,500; Total Program Cost: \$635,500
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees & grants, and miscellaneous.
- Program Description: Provide low-income, unemployed high school dropouts, ages 16-24 with work experience, education and community involved development activities. (Clients will earn GED/HSD, while gaining work experience in the fields of construction, disaster preparedness education, environmental conservation, technology, finance/insurance, manufacturing and transportation/logistics.)
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *70% of clients will obtain employment that promotes economic self-sufficiency.*
  - *30% of clients will continue with post-secondary or secondary education.*
- The program proposes to serve 17 Waukegan at-risk youth in PY2008.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 221 N. Genesee Street, Waukegan, IL 60085
- Site location: 221 N. Genesee Street, Waukegan, IL 60085

### Youth Build Lake County – Youth Build

- 2008 Waukegan CDBG: \$8,000; Total Program Cost: \$704,064
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees & grants, United Way Funding.
- Program Description: Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills.
- Use of CDBG Funds: salaries and payroll taxes
- Proposed Program Outcomes:

- *80% of Graduates will enhance their basic skills by completing at least one of the following: a) Earn a GED certification; b) increase their skills by at least 2 grade levels; c) earn a Model Office Certificate; or d) earn an HBI certification.*
- In PY2008, the program proposes to serve 34 Waukegan at-risk youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 3001 N Green Bay Road, Bldg 1, 3<sup>rd</sup> FL, North Chicago, IL 60064
- Site location: 3001 N Green Bay Road, Bldg 1, 3<sup>rd</sup> FL, North Chicago, IL 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
A Safe Place	\$633,300	\$5,000
Boys & Girls Club	\$732,194	\$23,043
CASA of Lake County	\$626,000	\$8,000
LaCASA	\$276,980	\$5,000
Youth Conservation Corps (YCC)	\$635,500	\$7,500
Lake County Youthbuild	\$704,064	\$8,000
<b>TOTAL</b>	<b>\$3,608,038</b>	<b>\$56,543</b>

## 5.2.2 Senior Services

### Christ Church-ElderCare

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$88,568
- Leverage sources: contributions, special events, foundation & corporate grants, investment revenue, and United Way funding.
- Program Description: provides escorted transportation to medical appointments, friendly visits and grocery shopping aid to low income, homebound elders in an effort to help seniors remain self-sufficient.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *200 care clients (104 from Waukegan) will report that ECCC transportation to medical appointments, Friendly Visits, and grocery shopping helped them to remain connected to their healthcare providers and aided them in remaining connected to the outside world.*
- In PY2008, the program proposes to serve approx. 104 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 410 Grand Avenue, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community

### Waukegan Township-Home Sweet Home

- 2008 Waukegan CDBG: \$20,000; Total Program Cost: \$200,700
- Leverage sources: contributions, other governmental fees and grants
- Program Description: Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed.

- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *95% of the seniors served will remain in their own homes, while maintaining self-sufficiency.*
- In PY2008, the program proposes to serve approx. 589 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 149 S. Genesee Street, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Christ Church – Elder Care	\$88,568	\$5,000
Waukegan Township – Home Sweet Home	\$200,700	\$20,000
<b>TOTAL</b>	<b>\$289,268</b>	<b>\$25,000</b>

### 5.2.3 Basic Needs Assistance

#### Catholic Charities – Food Pantry

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$1,543,585
- Leverage sources: contributions, foundation and corporate grants, other Governmental Fees and Grants, and United Way funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries & Payroll Taxes
- Proposed Program Outcome:
  - *90% of clients receiving emergency food assistance will report that their immediate hunger needs are met.*
- In PY2008, the program proposes to serve approximately 2858 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 671 S. Lewis Avenue, Waukegan, IL 60085
- Site location: 671 S. Lewis Avenue, Waukegan, IL 60085

#### Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$225,950
- Leverage sources: contributions, special events, foundation and corporate grants, investment revenue, and United Way funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries
- Proposed Program Outcome:
  - *4400 households will report having their food needs met through the food pantry.*
- In PY2008, the program proposes to serve approximately 5734 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- Agency location: 127 W. Water Street, Waukegan, IL 60085
- Site location: 127 W. Water Street, Waukegan, IL 60085

### **Emmanuel Faith Bible Christian Center (EFBCC) – Food Pantry**

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$97,200
- Leverage sources: special events, foundation and corporate grants, and other governmental fees and grants.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries & payroll taxes
- Proposed Program Outcome:
  - *2383 households will report having their food needs met through the food pantry.*
- In PY2008, the program proposes to serve approximately 2383 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 1840 Lincoln Street, North Chicago, IL 60064
- Site location: 1840 Lincoln Street, North Chicago, IL 60064

### **Faith Food Pantry**

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$154,000
- Leverage sources: contributions and United Way Funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: specific assistance to individuals
- Proposed Program Outcome:
  - *100% of clients will obtain at each pantry visit sufficient food resources to be able to provide 4 days of nutritionally balanced meals for each household member.*
- In PY2008, the program proposes to serve approximately 3409 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 2801 W. 30<sup>th</sup> Street, Zion, IL 60099
- Site location: 2801 W. 30<sup>th</sup> Street, Zion, IL 60099

### **I-Plus – Representative Payee**

- 2008 Waukegan CDBG: \$5,000; Total Project Cost: \$144,000
- Leverage sources: contributions, special events, foundations & corporate grants, program service fees, government fees and grants and United Way Funding
- Program Description: Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients' basic needs are met, such as housing, food and utilities.
- Use of CDBG Funds: salaries
- Proposed Program Outcome:
  - *60% of clients formerly homeless will be treatment compliant within 90 days of I-Plus receiving their income.*
  - *90% of clients will be placed in stable permanent housing and remain there for at least a year.*

- *100% of clients will participate in community treatment, including 12 step programs within 6 weeks of being placed in housing. They will remain treatment compliant (with some periodic relapses) and remain compliant for 6 months or longer.*
- In PY2008, the program proposes to serve approx. 50 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 3001 Green Bay Road, North Chicago, IL 60064
- Site location: 3001 Green Bay Road, North Chicago, IL 60064

### **SER/Jobs for Progress – Fair Housing Center**

- See Goal Section #1.6, page 40 of this document, regarding Waukegan's CDBG funding for this project ("Fair Housing").

<b>Subrecipient/Project Sponsor</b>	<b>Total Project Cost</b>	<b>CDBG</b>
Catholic Charities – Food Pantry	\$1,543,585	\$5,000
Christian Outreach of Lutherans (COOL) – Food Pantry	\$225,950	\$5,000
Emmanuel Faith (EFBCC) – Food Pantry	\$97,200	\$5,000
Faith Food Pantry	\$154,000	\$5,000
I-Plus – Representative Payee	\$144,000	\$5,000
SER/Jobs for Progress – Fair Housing Center	\$131,780	\$12,000
<b>TOTAL</b>	<b>\$2,296,515</b>	<b>\$37,000</b>

## **5.2.4 Community Safety**

### **Waukegan Police Department – Community Oriented Policing Project**

- 2008 Waukegan CDBG: \$19,000; Total Program Cost: \$96,079
- Leverage sources: Waukegan Police Department Budget
- Program Description: Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low to moderate-income areas.
- Use of CDBG Funds: salaries and benefits
- Proposed Program Outcomes:
  - *Increase the environmental quality of apartments and homes.*
  - *Eliminate nuisance homes.*
  - *Reduce gang and drug activity.*
- In PY2008, program proposes to serve 11016 (8677L/M) neighborhood Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 13 N. Genesee, Waukegan, IL 60085
- Site location: Various sites in CDBG Designated Areas

### **Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services**

- 2008 Waukegan CDBG: \$5,000; Total Program Cost: \$249,093
- Leverage sources: LC CDBG, Other Governmental Fees & Grants



- Program Description: Provide extensive educational and behavioral health programs in cooperation with other jail programming such as individual inmate assessment, case management and referral to a wide variety of social and community services.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *75% of the 1200 inmates with identified reentry needs will report they feel better prepared for reintegration to the community, have developed reentry goals and action plans, and*
  - *80% of 360 with identified reentry needs who were enrolled in the jail reentry program dorm will report that because of these programs they have improved their ability to adjust to successful community living after release from custody.*
- In PY2008, program proposes to serve 197 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 20 S. County Street, Waukegan, IL 60085
- Site location: 20 S. County Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Waukegan Police Department (COPP)	\$96,079	\$19,000
Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services	\$249,093	\$5,000
<b>TOTAL</b>	<b>\$345,172</b>	<b>\$24,000</b>

### 5.2.5 Other Public Services

#### Prairie State Legal Services – Disability Advocacy Program

- 2008 Waukegan CDBG: \$ 5,000; Total Program Cost: \$84,895
- Leverage sources: United Way Funding and other governmental fees & grants.
- Program Description: Provides legal advice and representation to persons with disabilities who have been wrongfully denied or threatened with loss of disability benefits, Medicaid or housing benefits.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *14 households will obtain or retain a stable source of income based upon disability of a household member.*
  - *30 persons with disabilities will receive legal advice or brief services to enable them to understand the resources and programs available to them.*
  - *16 disabled residents will secure medical assistance benefits as a result of legal representation.*
- In PY2008, the program proposes to serve approx. 19 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 325 Washington Street, # 100, Waukegan, IL 60085
- Site location: 325 Washington Street, # 100, Waukegan, IL 60085

#### CREW (Community Resource for Education & Wellness) – Substance Abuse Treatment Program

- 2008 Waukegan CDBG: \$8,000; Total Program Cost: \$244,500

- Leverage sources: foundation & corporate grants, other governmental fees and grants, and program fees
- Program Description: A substance abuse Level 1 & Level 2 outpatient treatment program comprised of assessment, counseling, education and alternatives to substance abuse/alcohol and exposure to a 12-step program for adults and adolescents ages 12-17.
- Use of CDBG Funds: Professional fees
- Proposed Program Outcomes:
  - *200 will successfully complete their treatment plan of which 50 clients will remain abstinent from alcohol and drugs for 12 months from date of discharge.*
- In PY2008, program proposes to serve approx. 62 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 2800 Sheridan Road, Zion, IL 60099
- Site location: 2800 Sheridan Road, Zion, IL 60099

### **Literacy Volunteers of Lake County – Connecting Learners Program**

- 2008 Waukegan CDBG: \$ 5,000; Total Program Cost: \$75,000
- Leverage sources: United Way Funding and other governmental fees & grants.
- Program Description: will help illiterate residents to improve their basic literacy skills; thereby, enabling them to become more sufficient in their everyday lives. This is an adult program designed for participants that are reading at or below a sixth grade level.
- Use of CDBG Funds: salaries, payroll taxes
- Proposed Program Outcomes:
  - *200 illiterate adults will gain at least one-half (1/2) year in the reading level as measured on the Slossen Oral Reading Test (SORT) or the Test of Adult Basic Education (TABE), after 50 hours of instruction.*
- In PY2008, the program proposes to serve approx. 200 Waukegan residents.
- This project funds a **Medium Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 128 N. County Street, Waukegan, IL 60085
- Site location: 128 N. County Street, Waukegan, IL 60085

### **UMMA-Urban Muslim Minority Alliance – Education Empowerment**

- 2008 Waukegan CDBG: \$ 5,000; Total Program Cost: \$35,000
- Leverage sources: Contributions, and other governmental fees & grants.
- Program Description: will address three main areas of education that prevent the low-income community from being self sufficient. The areas are general education, computer literacy and financial literacy.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *75% of students enrolled in the education empowerment will successfully complete one class and enroll in an education career path.*
- In PY2008, the program proposes to serve approx. 95 Waukegan residents.
- This project funds a **Medium Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- Agency location: 130 Washington Street, Waukegan, IL 60085
- Site location: 130 Washington Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Literacy Volunteers of Lake County	\$75,000	\$5,000
Prairie State Legal Services	\$84,895	\$5,000
UMMA – Education Empowerment	\$35,000	\$5,000
CREW (Community Resource for Education and Wellness)	\$244,500	\$8,000
<b>TOTAL</b>	<b>\$439,395</b>	<b>\$23,000</b>

### 5.3 Code Enforcement

#### Code Enforcement Program

- 2008 Waukegan CDBG: \$50,000; Total Program Cost: \$125,496
- Leverage sources: Waukegan's City Budget
- Program Description: Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units and all other properties within the CDBG designated low to moderate income areas. Correct and prevent the existence of adverse conditions and promote public safety, health and welfare of neighborhood.
- Use of CDBG Funds: salaries, benefit and payroll taxes
- Proposed Program Outcomes:
  - *All addresses visited by inspector will be 100% within the target area ensuring and promoting public safety, health and welfare of clients.*
- In PY2008, program proposes to serve 11,500 Waukegan residents.
- Code Enforcement, as a component of housing rehabilitation activities, is a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085
- Site location: Various sites in CDBG Designated Areas

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan – Code Enforcement	\$125,496	\$50,000
<b>TOTAL</b>	<b>\$125,496</b>	<b>\$50,000</b>

### 5.4 Program Oversight and Administration

#### City of Waukegan CDBG - Program Administration

- 2008 Waukegan CDBG: \$220,725
- Leverage sources: all CDBG funding
- Program Description: general oversight and management of CDBG funds and activities
- Agency location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085

## 6.0 Anti-Poverty Strategy

The *2005-2009 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the Consolidated Plan provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the IMPACT project, which brings Information & Referral tools to the general public and provider community.

### Annual Goal

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

## 7.0 Monitoring Standards and Procedures

The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Community Development and Planning Department, and the City of Waukegan's Community Development Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Shelter Grant program, the Supportive Housing Program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the Consolidated Plan.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
2. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
3. Post-award conferences (either group or individual) held to outline federal regulatory compliance requirements and local government operational guidelines.
4. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
5. Workshops/Technical Assistance Sessions for all subrecipients prior to project implementation, to explain all applicable rules, regulations, and procedures.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded Subrecipients.
12. Preparation of annual reports covering all activities.

### Administration:

Community development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely draw downs of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and

- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1<sup>st</sup> of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather related constraints for housing and public facility projects; and
- quarterly progress reports from public service projects.

#### Summary of Performance Measurements:

The Lake County Consortium has established housing and homeless goals for New Construction, Rental Assistance, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

#### Housing Program Procedures:

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a "Lake County HOME/CDBG Housing Fund Management Guide" and its companion piece, "Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide." These would be distributed at a mandatory required attendance Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project Sponsor is assigned a staff person to provide

individualized technical assistance on the general administration of their Housing Fund project.

- All newly-completed housing projects, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by Lake County Affordable Housing Corporation's Rehabilitation Specialist or other qualified inspector.
- All HOME rental projects will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation's Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- During the project construction period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- The County will maintain records to monitor federal regulatory compliance during a rental project's post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

<u>Number of Units in Project</u>	<u>Frequency of Inspection</u>
1 to 4 units	Every 3 years
5 to 25 units	Every 2 years
26 or more units	Annually

#### Homeless Program Procedures:

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance & Monitoring Guide For Homeless Programs*. The guide is distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the Technical Assistance and Monitoring Guide to prepare homeless programs for the on site monitoring visits conducted by the Lake County Department of Planning, Building, & Development and the Continuum's Technical Assistance Committee who sends three members to conduct onsite peer monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals & families to achieve self-sufficiency
- Verify compliance with Federal Regulations and program requirements in order to maintain and expand funding
- Build grantee capacity & improving program performance to end homelessness in Lake County

All ESG funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this

section. Formal on-site monitoring of ESG funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Accordingly, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC) in conjunction with Lake County staff. For ESG funded projects, the TAC shall reference applicable portions of the *Technical Assistance & Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

#### CDBG Program Procedures:

All CDBG funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

- Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:
  - First-time CDBG Subrecipient/first CDBG award in the past three years
  - Award that exceeds 80% of the highest CDBG Public Service award
  - High level of staff and/or Chief Executive turnover/inexperience
  - History of repetitive CDBG Program compliance issues/violations
  - High Program/Project complexity

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a "high risk". Two risk factors warrant a rating of "moderate risk". If one or no risk factors are identified, the subrecipient is considered "low risk". Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a "high risk". Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all "high risk" subrecipients will receive an on-site monitoring during the upcoming Action Plan program year.

- North Chicago conducts on-site monitoring of all Public Service activities at least once a year.
- Waukegan conducts on-site monitoring of all Public Service activities at least once a year.



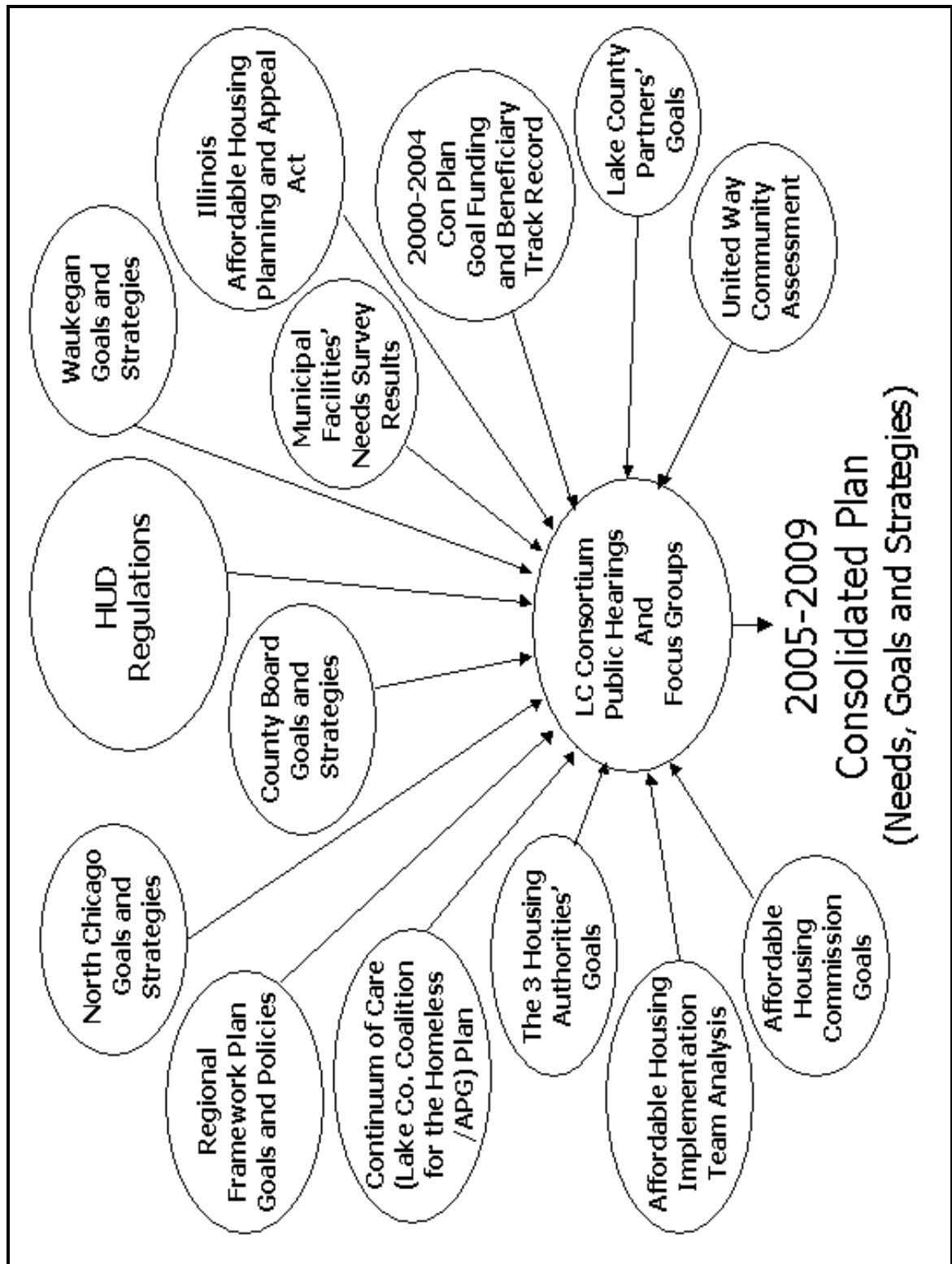
## 8.0 Institutional Structure and Coordination of Resources

This section of the *2008 Annual Action Plan* was originally included as Chapter 1, “Introduction”, to the *2005 – 2009 Housing and Community Development Consolidated Plan*. It is repeated here, basically verbatim (with limited exceptions and few recent updates), to identify the partners involved in the original development of the Consolidated Plan and to update the identification of the partners involved in the development of this Annual Action Plan and their responsibilities.

Significant changes since last year’s Action Plan include:

- The development, collection of input, and the implementation of Focus Group and Task Force recommendations to improve the Action Plan process.
- The addition of Township Supervisors as potential members of the Lake County Community Development Commission.
- The United Way of Lake County’s development of a single purpose electronic application and reporting process that did not include the Lake County Consolidated Application (This was a first-time attempt, pending future analysis and developments that may or may not include HUD funded programs).

The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens. To demonstrate the Consortium’s collaborative efforts within its network of housing and community development providers, we present the following bubble chart (Figure 1-1), which illustrates how extensively involved our partners are in the development of the *2005 – 2009 Housing and Community Development Consolidated Plan* and each *Annual Action Plan*.



## **Lake County Consortium**

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnership Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

## **Lake County**

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2005 – 2009 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

## **Lake County Board**

The Lake County Board consists of 23 elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at [www.co.lake.il.us/board](http://www.co.lake.il.us/board). The Board meets regularly on the

second Tuesday of each month in the Lake County Administration Building, 10<sup>th</sup> Floor. They also approve the *2005 – 2009 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

### **County Board Committees**

The County Board now has 7 standing Committees that meet on a regular scheduled basis. The Board also meets as a “Committee of the Whole” on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of 7 members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The former CEDC Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development and transportation infrastructure planning. The new HCS Committee now meets the first and second Tuesday's prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of 7 members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD funded or County funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesday's prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

### **County Board Commission Structure**

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2005 – 2009 Consolidated Plan* and each Annual Action Plan, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will also report to the Health and Community Services Committee.

### **Community Development Commission**

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities, as are necessary or appropriate,

under the US Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (5 County Board members; 5 Mayors, Village Presidents, or Township Supervisors; and 5 citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within the *2005 – 2009 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the Annual Action Plan and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, on-going grant oversight, and other related issues.

#### **Homeless Advisory Planning Group**

The Advisory Planning Group (APG) was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. The group is comprised of representatives from over 30 area organizations including: homeless service providers, housing developers, local governments, banks, homeless and formerly homeless individuals, and other non-profit and community organizations. The Homeless Advisory Planning Group functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The Homeless Advisory Planning Group envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual HUD SuperNOFA application.

#### **Affordable Housing Commission**

The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding housing issues and the HOME program. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and Realtors), and the general public. The work of the Affordable Housing Commission involves policymaking, legislative, and educational

activities. The Board of Directors/Executive Committee of the Commission previously reorganized its meeting schedule to the third Tuesday morning of every other month and the full Commission now meets the third Tuesday morning of every other month. Each is on an off-set rotating semi-monthly schedule. The Lake County Board created the Affordable Housing Commission on November 12, 1991. The term of the Affordable Housing Commission has been extended four times, in five-year increments since its inception, to December 11, 2011.

The Affordable Housing Commission's mission statement is:

*The Affordable Housing Commission is the advocate of the Lake County Board to the public for more affordable housing and collaborates with county government in setting public policy and priorities for affordable housing in Lake County.*

The Commission's vision statement is:

*The Affordable Housing Commission will be the leading agency in Lake County government in advocating and assisting county, township and municipal governments for more affordable housing.*

In December 1992, the Commission created, and currently maintains, a 501 (c)(3) nonprofit corporation, the Affordable Housing Corporation of Lake County. The work of the Corporation involves housing development and program implementation activities.

The Affordable Housing Corporation's mission statement is:

*The Affordable Housing Corporation initiates, implements and administers programs to make available more affordable housing in Lake County.*

The Corporation's vision statement is:

*The Affordable Housing Corporation will be a resource for those in need of affordable housing and those seeking to develop affordable housing in Lake County.*

### **Lake County Housing Authority**

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

### **City of North Chicago**

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizen's Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

**North Chicago City Council**

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *2005 – 2009 Consolidated Plan* and each Annual Action Plan prior to its submission to HUD.

**Citizen's Advisory Committee (CAC)**

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the CDBG funding levels for each activity in all five (5) program areas of the Community Development Block Grant (CDBG). These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

**North Chicago Housing Authority**

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority re-development plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

**City of Waukegan**

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

**Waukegan City Council**

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four year term. The City Council is comprised of nine aldermen, elected from within their own separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *2005 – 2009 Consolidated Plan* and each Annual Action plan prior to submission to HUD.

#### **Stakeholder Participation Panel**

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17.

#### **Waukegan Housing Authority**

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

#### **Coordination with Housing Authorities**

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2005 – 2009 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, the Deputy Director of the Lake County Housing Authority is a member of the Lake County Affordable Housing Commission (AHC). Neither Executive Director of the North Chicago or the Waukegan Housing Authorities are currently on the Affordable Housing Commission Board of Directors.

#### **Coordination with Other Assisted Housing Providers**

Representatives of the housing development industry, including assisted housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application, funding, and administration cycles. The Executive Director of the AHC and local government staff will join the County in these meetings.

#### **Coordination with Private and Governmental Health, Mental Health, and Service Agencies**

Many health and social service agencies are participants in the Continuum of Care planning process and in the United Way application process/Lake County Consolidated



Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2005 - 2009 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

Periodic meetings are also held between staff from Consortium members and the United Way of Lake County. The Lake County Consortium previously developed a joint funding application form with the United Way of Lake County. It still holds various workshops with agencies in conjunction with that funding application process.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on an ongoing regular basis.

#### **Lake County Child and Adolescent Local Area Network (LAN)**

The State of Illinois established the formation of Local Area Networks (LAN's) to better coordinate services for children and adolescents. The LAN's originally involved bringing together the Department of Children and Family Services, Illinois State Board of Education, Department of Mental Health, and Department of Alcohol and Substance Abuse. Currently LAN members and participants include schools, community members, social service agencies, state agencies and parents.

The LAN's are voluntary, inclusive and community-based bodies with the expressed purpose of improving the welfare of children. They were created to increase collaboration and communication between mental health, child protection and education providers, making services to children and adolescents more efficient and effective.

LAN's create their own community-based systems of care for children and adolescents, who are experiencing severe emotional and behavioral difficulties. These children are at risk of being removed from their family, home, school and community. LAN's incorporate community services with natural supports within that individual's family and community to achieve a positive set of outcomes. By providing more comprehensive and collaborative services, LAN's have been successful in helping maintain at-risk children and adolescents in their homes and communities and avoiding unnecessary and costly residential placements.

The Lake County Local Area Network (LAN 35) meets monthly for service providers to share resources and collaborate services for the entire Lake County. Individual cases are coordinated within three separate more local councils.

## APPENDIX A: SUMMARY OF PUBLIC COMMENTS ON THE PROPOSED ANNUAL ACTION PLAN

Public hearings were held on the following dates to comply with HUD citizen participation requirements:

### LAKE COUNTY CONSORTIUM / LAKE COUNTY

**January 23, 2008 - Lake County Administration Building, Waukegan, IL:** Lake County Community Development Commission, Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the Draft 2008 Annual Action Plan for review and comment.

#### **PUBLIC COMMENTS:** Public comments were received as follows:

- Ms. Patricia White, of ROPE, Inc., expressed her appreciation and excitement over receiving a funding recommendation, after 2 years without a recommendation. Ms. White also gave thanks for the technical support received in order to prepare the application and for the Commission's support, especially Commissioners Nixon and Cunningham.
- Ms. Claress Pettengill, of NorthPointe Resources, was thrilled to receive funding recommendations for an HOME grant to help rehabilitate some of NorthPointe's housing facilities and a CDBG grant to be used for the agency's employment program for developmentally disabled clients. Ms. Pettengill voiced appreciation of Staff's continuing efforts to improve and refine the application and review process, especially the scoring information provided in the letter sent by Staff this year. This information will help NorthPointe's grant writers with future applications.
- Ms. Lori Harper, of HealthReach, thanked the CDC for continued funding, stating that the Mundelein clinic had been reopened, allowing more uninsured patients to receive health care. Twenty-six PADS children recently received pediatric dental care at that facility. The new pharmacy was opened in Libertyville. HealthReach's ophthalmology clinic will soon expand into optometry.
- Ms. Lori Deere, of YWCA Lake County, asked for funding reconsideration for its program to train clients how to handle their financial affairs and access a variety of assistance throughout the community. These services are also offered at the Lake County Correctional facility, to help women restructure their lives once they are released.
- Ms. Rosa Reyes-Prosen, the Executive Director of SER – Jobs for Progress, thanked the CDC for its vote of confidence evidenced through the recent funding recommendation. Ms. Reyes-Prosen also thanked Patricia Konicki for her efforts as the Program Director of the Fair Housing Center.
- Mr. Rick Riddle, of the Lake County Sheriff's Office, thanked the Consortium for a favorable funding recommendation for the Jail's program to prepare offenders to return to the general population. Jail staff, along with hundreds of volunteers, help these individuals prepare to succeed upon release.

- Ms. Mickie O'Kerns, of YouthBuild Lake County, thanked the CDC, informing them of a recent interview with a new YouthBuild graduate who has secured a job that will allow her to support her children without any public assistance or subsidized housing.
- Ms. Kelli Brooks, of the Lake County Center for Independent Living, thanked the CDC for the funding recommendation and for the new letter sent by CD Staff to explain the agency's Review Panel scores.
- Chairman Gerald Dietz informed the audience that all applicants received a letter stating if the agency received a funding recommendation, along with the scoring information. Those agencies recommended for funding were also told of the proposed dollar amount of their award, contingent on actual federal funding levels. Once the final PY2008 Annual Action plan is approved, the agencies will receive a letter informing them of the exact award amounts.
- Mr. Silas Herndon, of Waukegan Township's Staben Center, offered thanks to the CDC for a funding recommendation, adding that Staben Center provides for the basic needs of its clients.
- Ms. Melissa Albach, of A Safe Place, read a letter from Ms. Phyllis DeMott, the agency's Executive Director, requesting funding reconsideration for the ESG Program. Ms. DeMott described A Safe Place's program to provide services to the survivors of domestic violence, including emergency shelter. The requested funds would have been used to renovate the emergency shelter building. Ms. DeMott thanked the City of Waukegan for its CDBG funding recommendation.
- Mr. John Rivers, of CREW, Inc., thanked the Consortium for each member's funding recommendations. Mr. Rivers appreciated the letter stating the scoring system, which indicated that CREW's application had the second highest score.
- Mr. Richard Blackwell, of 24 O.U.R. House, stated that the agency had applied for the second year without a funding recommendation, but would continue trying to receive a grant. Chairman Dietz reminded Mr. Blackwell to speak with CD Staff for technical assistance with future applications.
- Ms. Lisa Tapper, of the Affordable Housing Corporation (AHC), thanked the CDC for its ongoing support of AHC's staff and the Affordable Housing Commission.
- Mr. Cedric Lee, of PADS, thanked the CDC and the community for the funding assistance and support of the PADS program. Mr. Lee also appreciated the improvements made to the application and review process.
- Chairman Dietz said that he has been a member of the Executive Committee for about twelve years and stated that this year was the most productive and easiest year to date. With minor adjustments, the Executive Committee approved each Review Panel's original recommendations.
- Commissioner Tom Hyde stated he noted that many agencies had offered positive comments on the new scoring information that had been added to the funding-recommendation letter. This information should be useful to gauge an agency's strengths and weaknesses for program

improvements and future grant-writing purposes. Commission Hyde added that CD Staff had worked hard to provide this feedback and improve the whole process.

- Commissioner Evelyn Alexander stated that one agency had voiced concern that the Review Panelists' questions were not always relevant to the agency's program. Commissioner Alexander assured the agencies that when a panel member asked a question, the agency representatives might not understand the relevance, but there was always a purpose for any question.
- Commissioner Audrey Nixon stated that this year's application and review process offered many improvements, and that she had received positive feedback from applicants. Commissioner Nixon acknowledged that not all agencies could be funded due to continued reductions in federal funding, but she encouraged the agencies to continue applying.
- Commissioner Mary Cunningham thanked the Staff for the improvements made in the review process, especially the addition of the scores to the funding letters, as this would help the agencies with future applications. Commissioner Cunningham recognized all of the agencies' efforts on behalf of the County's citizens, adding that Staff was always available to offer technical assistance, which she encouraged the agencies to utilize.
- Commissioner Lenny Krapf agreed with Commissioner Cunningham, stressing Staff's professionalism and efforts on behalf of the community.
- Commissioner Vincent Donofrio said that it was a pleasure working with the CDC and Staff, affirming that the application and review process had been improved.
- Mr. Ezell Robins, Director of Community Development for the City of Waukegan, stated that the reduced level of federal funding had caused the City to reduce the grant dollars to the recipient agencies, which would be notified shortly.
- Mr. Joel Williams, Lake County Planner, encouraged the agencies to contact Staff with any questions they may have about the application process or for any assistance they may need.
- Mr. Karl Molek, Lake County Senior Planner, thanked the agencies for all of their public service work, stating that Staff would continue its efforts to distribute federal funds into the community.
- Mr. Witkowski, Deputy Director of Lake County Community Development, stated that there would be a second round of HOME funding, with \$234,874 available for the County's three CHDO-certified agencies. All housing agencies will receive notification within the near future pertaining to Lake County's Affordable Housing Program, which will soon be accepting applications.

**February 27, 2008 - Lake County Administration Building, Waukegan, IL:** Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the 2008 Annual Action Plan for final review, comment, and approval.

**PUBLIC COMMENTS:** Public comments were received as follows:

- Lake County staff provided additional comments during the Public Hearing and the Public Comment portions of the February 27<sup>th</sup> meeting. The following significant changes, as previously approved at the January 23<sup>rd</sup> meeting by the Community Development Commission's Executive

Committee and by the Community Development Commission, will be shown in the final 2008 Annual Action Plan that is approved by the Lake County Board and submitted to HUD:

- Changes and adjustments necessary to describe revised funding amounts due to changes made by the Cities of North Chicago and Waukegan for their CDBG programs and by the Executive Committee and the Community Development Commission for Lake County programs (due to changes in HUD funding allocations and local jurisdictions program income amounts).
  - occasional, yet minor, word changes or additional words were inserted to standardize/clarify project descriptions, phrases, content, sentence structure, past/present tense, specific name of the HUD funded program, or other funding sources throughout the Action Plan;
  - material changes or additions deemed necessary by Lake County, North Chicago, Waukegan, or HUD staff;
  - the inclusion of the final Funding Recommendation pie charts and required project location maps;
  - Clarification and/or adjustments to a limited number of project descriptions, outcomes, and objectives in Section 4.0 North Chicago Community Development Activities;
  - the inclusion of updated and finalized information in the Performance Measurement Table in Appendix D; and
  - the inclusion of updated and finalized information in the Cross-Reference Table identifying HUD Requirements and the contents of Lake County Consortium's 2008 Annual Action Plan.
- Ms. Jeanine Gavagan, of the Lake County Center for Independent Living, thanked the CDC for the CDBG funding recommendation for the agency's employment readiness program for its disabled clients.
  - Chairman Dietz asked if there were any other public comments, to which there was no response.
  - At the closing of the Public Hearing, Mr. Witkowski informed the Commission of the proceedings of the Executive Committee meeting, which occurred prior to the full Commission meeting. The Executive Committee did not propose any further alterations to the *Draft* 2008 Annual Action Plan.

**OTHER CORRESPONDENCE:** Other correspondence received by Lake County Community Development staff and the Cities of North Chicago and Waukegan, during the thirty-day public comment period, included the following.

The following individuals submitted written correspondence to Lake County regarding the Community Development Commission's funding recommendations:

- Ms. Cindy Harger, Executive Director of the Lake County Haven, sent a letter requesting funding reconsideration, as the Haven is the only transitional homeless shelter in Lake County outside the Cities of North Chicago and Waukegan. The Haven strives to stave off recurrent homelessness.
- Ms. Phyllis DeMott, Executive Director of A Safe Place, sent a letter requesting a reconsideration of the agency's application for ESG funds to repair its emergency shelter building. Ms. DeMott stated that A Safe Place is the only provider in Lake County of emergency shelter exclusively for domestic violence victim-survivors and their children.

- Ms. Diane Weber, Executive Director of COOL, sent a letter thanking the CDC for the agency's funding recommendation, and expressed disappointment in receiving approximately \$16,000 less than the \$50,000 requested. Ms. Weber expressed her hope that more funds would be allocated to basic needs in the future; explaining that the basic needs of food, shelter, and medical care must come before all other social services. Ms. Weber wrote that nearly half of COOL's clients are children, who need good nutrition in order to do well in school and to help prevent illness. As a small non-profit, the agency does not qualify for national or larger corporate grants, thereby increasing the need for local government support and community donations, which continue to decrease.
- Ms. Claress Pettengill, Vice President of Development for NorthPointe Resources, sent a letter thanking the CDC for the recommendations for HOME funds to rehabilitate the agency's group homes and for CDBG funds to support its Off-site Employment Program. The funds will be used to continue providing quality programs and services to individuals with disabilities as they pursue their goals to live independent and productive lives.

The following individuals submitted written correspondence to North Chicago regarding North Chicago's funding recommendations:

- No written correspondence was submitted to North Chicago.

The following individuals submitted written correspondence to Waukegan regarding Waukegan's funding recommendations:

- No written correspondence was submitted to Waukegan.

## **NORTH CHICAGO**

**January 23, 2008 - Lake County Administration Building, Waukegan, IL:** Joint Public Hearing with Lake County and the City of Waukegan presenting the Draft 2008 Annual Action Plan for review and comment.

**PUBLIC COMMENTS:** North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

**February 11, 2008 - North Chicago, IL:** North Chicago City Council Meeting to present, review and approve the funding recommendations and narrative statements for the North Chicago component of the 2008 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the North Chicago City Council Meeting regarding the North Chicago component of the 2008 Annual Action Plan.

**February 18, 2008 – North Chicago, IL:** North Chicago City Council Meeting to approve the 2008 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the North Chicago City Council Meeting regarding the 2008 Annual Action Plan.

**February 27, 2008 - Lake County Administration Building, Waukegan, IL:** Final Joint Public Hearing with Lake County and the City of Waukegan presenting the 2008 Annual Action Plan for final review, comment, and approval.

**PUBLIC COMMENTS:** North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

## **WAUKEGAN**

**December 3, 2007 - Waukegan, IL:** Waukegan City Council Meeting to present, review, and approve the funding recommendations and narrative statements for the Waukegan component of the 2008 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the Waukegan City Council Meeting regarding the Waukegan component of the 2008 Annual Action Plan.

**January 23, 2008 - Lake County Administration Building, Waukegan, IL:** Joint Public Hearing with Lake County and the City of North Chicago presenting the Draft 2008 Annual Action Plan for review and comment.

**PUBLIC COMMENTS:** Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

**February 27, 2008 - Lake County Administration Building, Waukegan, IL:** Final Joint Public Hearing with Lake County and the City of North Chicago presenting the 2008 Annual Action Plan for final review, comment, and approval.

**PUBLIC COMMENTS:** Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

**March 3, 2008 - Waukegan, IL:** Waukegan City Council Meeting to approve the 2008 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the Waukegan City Council Meeting regarding the 2008 Annual Action Plan.

## APPENDIX B: LAKE COUNTY RESALE AND RECAPTURE GUIDELINES FOR HOMEBUYERS

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

### Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

<u>HOME amount per unit</u>	<u>Minimum Period of Affordability</u>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine based upon the type of subsidy, form of ownership and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

### Resale Provisions

- A) Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
- Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
  - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer **and** a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
  - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer **and** it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.
- B) Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units “designated as affordable units” shall meet the following criteria:
- The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.
  - The sales price must be “affordable” to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household's



maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.

- Net proceeds from the sale must provide the original homebuyer, now the home seller, a “fair return” on their investment (including any downpayment and capital improvement investment made by the owner since purchase).

- C) Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

### Recapture Provisions

- A) Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase price assistance.
- B) Methods – The recapture option allows Lake County to recapture the entire HOME subsidy if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
- The homebuyer may sell the property to any willing buyer.
  - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy to Lake County that the buyer received when he/she originally purchased the home.
- C) Enforcement Mechanisms - Recapture provisions shall be enforced through mortgage documents or other similar mechanisms filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.
- D) Amount of Repayment – Lake County requires full repayment of the direct HOME subsidy when resale occurs during the period of affordability.
- E) Sharing of Net Proceeds – When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:

$$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$

- F) Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a “Release” document with the Lake County Recorder of Deeds to release the original

HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.

- G) Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

#### Communication of Resale/Recapture Provisions

HOME Resale and Recapture provisions are conveyed to the public and potential applicants during the application process through the Housing Fund Program Guidelines. In addition, funded project sponsors who administer homebuyer programs are informed of HOME Resale and Recapture provisions verbally at a Post-Award Conference and in writing in the Housing Fund Management Guide, which is provided to each project sponsor. Finally, the applicable Resale or Recapture provisions are incorporated into all HOME contract documents for homeownership projects/programs.

## **APPENDIX C: RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN**

As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low and moderate income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

### **I. STEPS TO BE TAKEN TO MINIMIZE THE DISPLACEMENT OF PERSONS FROM THEIR HOMES**

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- A. Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- B. Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- C. Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- D. When a property must be acquired quickly for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- E. The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- F. Temporary displacements will be for as brief a period of time as is possible.
- G. Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.

- H. In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- I. The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- J. If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- K. All persons to be displaced as a result of a federally funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

## **II. STEPS TO BE TAKEN WHEN LOW OR MODERATE INCOME HOUSING UNITS ARE DEMOLISHED OR CONVERTED TO ANOTHER USE, AS A DIRECT RESULT OF ACTIVITIES ASSISTED WITH CDBG AND/OR HOME FUNDS**

### **A. ONE-FOR-ONE REPLACEMENT COMPONENT**

Lake County will replace all occupied and vacant habitable low/moderate income dwelling units demolished or converted to a use other than as low/moderate income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;

4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate income dwelling unit for at least 10 years from the date of initial occupancy.

## **B. RELOCATION ASSISTANCE COMPONENT**

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate income household displaced by the demolition of housing or by the conversion of a low/moderate income dwelling unit to another use as a direct result of CDBG and/or HOME assisted activities. The low/moderate income household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:
  - a. Reimbursement of the actual reasonable moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.
- OR**
- b. A fixed moving expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.
3. Persons are eligible to receive one of the following two forms of replacement housing assistance:
  - a. Each person must be offered rental assistance equal to 42 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates whichever costs less). All or a portion of this assistance may be offered through a certificate or housing voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a

Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

**OR**

- b. If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 42 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.
4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

**III. STEPS TO BE TAKEN WHEN RELOCATION OCCURS FROM ACQUISITION, REHABILITATION OR DEMOLITION ACTIVITIES UTILIZING COMMUNITY DEVELOPMENT BLOCK GRANT AND/OR HOME INVESTMENT PARTNERSHIPS PROGRAM FUNDS (UNIFORM RELOCATION ACT)**

The Uniform Relocation Act applies to all federal or federally assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG or HOME funded activity, it becomes necessary to relocate persons they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

**A. PERMANENT DISPLACEMENT**

1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
  - a. Reimbursement of actual reasonable moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

**OR**

- b. A fixed moving expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
- 2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.
- 3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:
  - a. 180-Day Homeowner - Occupants
    - i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;
    - OR**
    - ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:
  - b. 90-Day Occupants (homeowners and tenants) A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:
    - i. A rental assistance payment computed in the following manner:
      - 1. the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe and sanitary unit the person actually moves into.
      - 2. the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
      - 3. line (1) minus line (2)
      - 4. forty-two (42) times the amount of line (3)

**OR**

- ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.
4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:
  - Rehabilitation of and/or additions to an existing replacement dwelling;
  - Construction of a new replacement dwelling;
  - Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

**B. TEMPORARY RELOCATION**

1. If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.
2. If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:
  - a. Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
  - b. Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
  - c. Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).
3. The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently displaced tenant the money due that tenant under these guidelines there will be no



further distribution of County loan money until the County determines that the money due the tenant has been paid.

## **GLOSSARY OF TERMS**

Comparable Replacement Dwelling - A dwelling unit that is:

1. Decent, safe and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
2. Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
3. Adequate in size to accommodate the occupants;
4. Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
5. In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
6. Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;
7. Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person - Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

1. 30 percent of the tenant household's average monthly gross income, or
2. The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.

A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation

1. All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
2. The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
3. The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

### **SUMMARY OF APPEALS PROCESS**

In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County 's CDBG or HOME Relocation Policy or Program should be directed to:

Deputy Director – Lake County Community Development Division  
Planning, Building & Development Department  
18 N. County Street – 6<sup>th</sup> Floor  
Waukegan, Illinois 60085  
(847) 377-2150

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

## APPENDIX D: PERFORMANCE MEASUREMENTS

### PERFORMANCE MEASURES FOR LAKE COUNTY

#### CHDO Reservation Set-aside Funds

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.0	CHDO Reservation Set-aside	\$234,874 HOME	Decent Housing	Availability	Pending May 1, 2008 Applications

#### CHDO Operating Funds

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.0	Habitat for Humanity of Lake County	\$25,082 HOME	Decent Housing	Availability	N/A
1.0	Lake County Residential Development Corp.	\$25,082 HOME	Decent Housing	Availability	N/A
1.0	Youthbuild Lake County	\$25,082 HOME	Decent Housing	Availability	N/A

#### New Construction

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.1	Affordable Housing Corporation / Carefree Development LLC	\$93,563 HOME	Decent Housing	Availability	38 Units
Total					38 Units

#### Tenant Based Rental Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.2	Maristella	\$94,115 HOME	Decent Housing	Affordability	107 Households
1.2	Thresholds	\$10,000 HOME	Decent Housing	Affordability	10 Households
Total					117 Households

#### Rehabilitation

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.3	Affordable Housing Corp. Rehab – Lake County	\$369,100 HOME/CDBG	Decent Housing	Affordability	25 Units
1.3	North Chicago Housing Rehabilitation	\$102,482 HOME	Decent Housing	Affordability	6 Units
1.3	North Chicago Emergency Housing Rehabilitation	\$50,000 NC - CDBG	Decent Housing	Affordability	5 Units
1.3	NorthPointe Resources	\$173,635 HOME	Decent Housing	Affordability	8 Group Homes (8 units)
1.3	Waukegan Housing Rehabilitation	\$517,621 HOME/CDBG	Decent Housing	Affordability	14 Units
Total					58 Units

### Homebuyer Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.4	Affordable Housing Corp. ADDI	\$13,104 ADDI	Decent Housing	Affordability	5 Units
1.4	Affordable Housing Corp. APM	\$150,000 HOME	Decent Housing	Affordability	50 Units
1.4	Affordable Housing Corp. North Chicago	\$90,000 HOME	Decent Housing	Affordability	10 Units
1.4	Affordable Housing Corp. Waukegan	\$119,567 HOME	Decent Housing	Affordability	20 Units
Total					85 Units

### Housing Counseling

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.5	Lake County PY08 CDBG/ESG/HOME funds were not specifically allocated towards a housing counseling program, although all first time homebuyers receive housing counseling services through the Affordable Housing Corporation.				

### Fair Housing

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.6	Fair Housing Center of Lake County	\$83,870 CDBG	Decent Housing	Availability	250 Households
Total					250 Households

### Emergency Shelter

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.1	Catholic Charities	\$21,007 ESG	Suitable Living Environment	Availability	28 Beds
2.1	PADS Crisis Services	\$21,007 ESG	Suitable Living Environment	Availability	42 Beds
2.1	PADS Crisis Services	\$136,251 SHP	Suitable Living Environment	Availability	(Included above)
Total					70 Beds

### Transitional Housing

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.2	Christian Outreach of Lutherans (COOL)	\$41,234 SHP	Suitable Living Environment	Availability	2 Units
2.2	Lake County Haven	\$45,648 SHP	Suitable Living Environment	Availability	4 Units
2.2	Lake County Residential Development Corporation	\$82,766 SHP	Suitable Living Environment	Availability	9 Units
2.2	PADS – Safe Haven	\$224,648 SHP	Suitable Living Environment	Availability	15 Units
2.2	ROPE, Inc.	\$21,007 ESG	Suitable Living Environment	Availability	10 Units
2.2	Waukegan Township Staben Center	\$21,007 ESG	Suitable Living Environment	Availability	17 Units
2.2	Waukegan Township Staben House	\$184,940 SHP	Suitable Living Environment	Availability	6 Units
Total					63 Units

### Permanent Supportive Housing

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.3	Catholic Charities Supportive Services	\$45,507 SHP	Decent Housing	Availability	25 Households
2.3	Catholic Charities CTI-RP	\$56,700 SHP	Decent Housing	Availability	15 Households
2.3	Independence Center	\$58,184 SHP	Decent Housing	Availability	8 Units
2.3	IPLUS CTI-RP	\$12,805 SHP	Decent Housing	Availability	15 Households
2.3	Lake County Health Dept.	\$134,784 S+C	Decent Housing	Availability	13 Units
2.3	Prairie State Legal Services	\$50,000 SHP	Decent Housing	Availability	49 Households
2.3	Prairie State Legal Services	\$23,742 ESG	Decent Housing	Availability	75 Households

Total	179 Households / 21Units
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### Group Homes for the Mentally Ill

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.4	Lake County PY08 CDBG/ESG/HOME funds were not allocated towards group homes for the mentally ill. However, several group homes have been funded with HOME dollars in previous years.				

### Homeless Outreach

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.5	Lake County PY08 CDBG/ESG/HOME funds were not specifically allocated towards homeless outreach. However, PADS Crisis Services conducts homeless outreach activities as part of their emergency shelter program funded with PY2008 ESG funds.				

### Urgent Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.0	Lake County Administrator – Urgent Needs	\$59,399 CDBG	Suitable Living Environment	Sustainability	T.B.D

### Roadway Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.1	Antioch Township – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,015 LF of Roadway
3.1.1	Avon Township – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,450 LF of Roadway
3.1.1	Fox Lake – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,685 LF of Roadway
3.1.1	Grant Township – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,550 LF of Roadway
3.1.1	Lindenhurst - Roads	\$48,000 CDBG	Suitable Living Environment	Sustainability	700 LF of Roadway
3.1.1	Park City - Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,575 LF of Roadway
3.1.1	Round Lake – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,500 LF of Roadway
3.1.1	Wauconda Township - Roads	\$58,000 CDBG	Suitable Living Environment	Sustainability	2,600 LF of Roadway
3.1.1	Zion - Roads	\$73,000	Suitable Living	Sustainability	9,400 LF

		CDBG	Environment		of Roadway
Total					21,475 LF of Roadway

### Sanitary Sewer System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.2	Antioch – Sanitary Sewer	\$73,000 CDBG	Suitable Living Environment	Sustainability	1 Lift Station
3.1.2	Round Lake Park – Sanitary Sewer	\$73,000 CDBG	Suitable Living Environment	Sustainability	2,900 LF of Sewer
3.1.2	Wauconda – Sanitary Sewer	\$73,000 CDBG	Suitable Living Environment	Sustainability	40 Sewer Repairs
Total					2,900 LF & 40 Repairs

### Water Supply System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.3	Beach Park – Watermain	\$73,000 CDBG	Suitable Living Environment	Sustainability	2,550 LF of Watermain
3.1.3	Highwood – Watermain	\$73,000 CDBG	Suitable Living Environment	Sustainability	1,600 LF of Watermain
3.1.3	Island Lake – Watermain	\$73,000 CDBG	Suitable Living Environment	Sustainability	2,835 LF of Watermain
3.1.3	Lake County Pub. Wrks. – Watermain	\$73,000 CDBG	Suitable Living Environment	Sustainability	2,456 LF of Watermain
3.1.3	Lake Villa – Watermain	\$58,000 CDBG	Suitable Living Environment	Sustainability	444 LF of Watermain
3.1.3	Round Lake Heights – Watermain	\$73,000 CDBG	Suitable Living Environment	Sustainability	750 LF of Watermain
3.1.3	Winthrop Harbor – Watermain	\$58,000 CDBG	Suitable Living Environment	Sustainability	1,200 LF of Watermain
Total					11,835 LF of Watermain

### Drainage System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.4	Mundelein – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	115 LF of Sewer
3.1.4	Round Lake Beach – Drainage & Roads	\$73,000 CDBG	Suitable Living Environment	Sustainability	1 Pump Station
Total					115 LF & 1 Pump Station



### Fire Protection Equipment

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.5	Lake County PY08 CDBG funds were not allocated towards fire protection equipment.				

### Meet Basic Food & Nutrition Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.1	Christian Outreach of Lutherans (COOL)	\$34,352 CDBG	Suitable Living Environment	Availability	10,335 People
Total					10,335 People

### Meet Basic Healthcare Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.2	HealthReach	\$34,352 CDBG	Suitable Living Environment	Availability	4,500 People
Total					4,500 People

### Meet Basic Transportation Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.3	Lake County PY08 CDBG/ESG/HOME funds were not allocated towards basic transportation services.				

### Assistance Acquiring and Managing Financial Benefits

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.4	Lake County PY08 CDBG/ESG/HOME funds were not allocated towards acquiring & managing financial benefits, although SHP funds were allocated for representative payee services.				

### Behavioral Health

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.3.1	CREW, Inc.	\$33,353 CDBG	Suitable Living Environment	Availability	500 People
3.3.1	Family Service: Prevention Education	\$34,352 CDBG	Suitable Living Environment	Availability	476 People
3.3.1	LaCASA / Zacharias Center	\$28,353 CDBG	Suitable Living Environment	Availability	90 People

3.3.1	Lake County Residential Development Corporation	\$25,000 CDBG	Suitable Living Environment	Availability	51 People
3.3.1	Nicasa	\$25,000 CDBG	Suitable Living Environment	Availability	2,000 People
Total					3,117 People

### Prevention Activities for Youth

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.3.2	Lake County PY08 CDBG/ESG/HOME funds were not allocated towards prevention activities for youth.				

### Case Management, Information and Referral Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.3.3	Lake County HMIS	\$15,000 SHP	Suitable Living Environment	Availability	N/A
3.3.3	Lake County Sheriff's Office	\$34,352 CDBG	Suitable Living Environment	Availability	1,200 People
Total					1,200 People

### Enhancement of Economic Opportunities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.4.1	Lake County PY08 CDBG/ESG/HOME funds were not allocated towards job creation.				

### Basic Employment Skills

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.4.2	L.C. Center for Independent Living	\$25,000 CDBG	Economic Opportunity	Availability	75 People
3.4.2	NorthPointe Resources Inc.	\$25,000 CDBG	Economic Opportunity	Availability	16 People
3.4.2	Youthbuild Lake County	\$34,352	Economic Opportunity	Availability	25 People
Total					116 People

### Dependent Care Services in Support of Employment

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
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3.4.3	Lake County PY08 CDBG/ESG/HOME funds were not allocated towards dependent care services in support of employment.
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### Program Administration and Planning

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.5.1	Lake County Planning, Building, & Dev. - CDBG	\$444,386 CDBG	N/A	N/A	N/A
3.5.1	Lake County Planning, Building, & Dev.– HOME	\$162,552 HOME	N/A	N/A	N/A
3.5.1	Lake County Planning, Building, & Dev.– ESG	\$5,670 ESG	N/A	N/A	N/A
3.5.1	Affordable Housing Corporation - Inspections	\$9,464 HOME	N/A	N/A	N/A
Total					N/A

### Education, Advocacy, & Outreach

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.5.2	Affordable Housing Corporation	\$50,018 CDBG	N/A	N/A	N/A
Total					N/A

## PERFORMANCE MEASURES FOR THE CITY OF NORTH CHICAGO

### Recreation Facilities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.1.1	North Chicago PY08 CDBG funds were not allocated towards recreation facilities.				

### Senior Centers

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.1.2	North Chicago PY08 CDBG funds were not allocated towards senior centers.				

### Community Safety Equipment

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.1.3	Home Security Program	\$5,000 CDBG	Suitable Living Environment	Sustainability	25 Alarm Systems
4.1.3	Fire Safety Prevention Program	\$5,000 CDBG	Suitable Living Environment	Sustainability	114 Smoke and/or Carbon Monoxide Detectors
Total					139 Safety Systems

### Public Facilities Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.2.1	North Chicago Street and Alley Improvement Program	\$50,000 CDBG	Suitable Living Environment	Sustainability	6,000 Linear Feet
4.2.1	North Chicago Sidewalk Program	\$6,000 CDBG	Suitable Living Environment	Sustainability	10 Sidewalks
Total					6,000 Linear Feet and 10 Sidewalks

### Handicap Accessibility Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.2.2	North Chicago PY08 CDBG funds were not allocated towards handicap accessibility improvements.				

### Health Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.1	North Chicago PY08 CDBG funds were not allocated towards health services.				

### Substance Abuse Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.2	Community Resources for Education & Wellness	\$6,919 CDBG	Suitable Living Environment	Availability	500 People

Total	500 People
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### Youth Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.3	Boys & Girls Club of Lake County	\$5,000 CDBG	Suitable Living Environment	Availability	300 Youth
4.3.3	Youthbuild Lake County	\$5,000 CDBG	Suitable Living Environment	Availability	20 Youth
Total					320 Youth

### Parental Supportive Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.4	Catholic Charities	\$5,000 CDBG	Suitable Living Environment	Availability	570 Households/ Persons
Total					570 Households/ Persons

### Early Childhood Intervention

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.5	North Chicago PY08 CDBG funds were not allocated towards early childhood intervention.				

### Senior Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.6	Waukegan Township Home Sweet Home	\$5,000 CDBG	Suitable Living Environment	Availability	78 Seniors
Total					78 Seniors

### Basic Needs Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.7	I-PLUS	\$5,000 CDBG	Suitable Living Environment	Availability	150 People
4.3.7	PADS Crisis Services	\$10,000 CDBG	Suitable Living Environment	Availability	150 People

4.3.7	Emmanuel Faith Bible Christian Center	\$5,000 CDBG	Suitable Living Environment	Availability	3,400 People
Total					3,700 People

### Real Estate Development

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.4.1	North Chicago – Façade Improvement Program	\$75,000 CDBG	Economic Opportunity	Affordability	2 Units
4.4.1	North Chicago – Economic Development Special Project	\$26,000 CDBG	Economic Opportunity	Affordability	1 Units
Total					3 Units

### Business Education

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.4.2	North Chicago PY08 CDBG funds were not allocated towards business education.				

### North Chicago Planning and Administration

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.5.1	North Chicago – General Administration	\$40,877 CDBG	N/A	N/A	N/A
4.5.1	SER/Jobs for Progress – Fair Housing Center	Included in #1.6	N/A	N/A	N/A
Total					N/A

## PERFORMANCE MEASURES FOR THE CITY OF WAUKEGAN

### Downtown Revitalization Area

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.1	City of Waukegan – Downtown Streetscape	\$184,969 CDBG	Suitable Living Environment	Availability	350,000 People
Total					350,000 People

### Infrastructure Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.2	City of Waukegan – Sidewalk Replacement	\$110,000 CDBG	Suitable Living Environment	Sustainability	5,000 Linear Feet
5.1.2	Waukegan Housing Authority – Rehab Senior/Disabled Building	\$35,000 CDBG	Suitable Living Environment	Sustainability	99 People
Total					5,000 Linear Feet / 99 People

### Recreational and Service-Oriented Facilities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.3	Waukegan PY08 CDBG funds were not allocated towards recreational and service-oriented facilities.				

### Accessibility for People with Disabilities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.4	Friends of the Historic Genesee Theatre	\$8,000 CDBG	Suitable Living Environment	Accessibility	400 People
5.1.4	Nicasa	\$10,000 CDBG	Suitable Living Environment	Accessibility	2,936 People
Total					3,336 People

### Youth Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.1	A Safe Place	\$5,000 CDBG	Suitable Living Environment	Availability	59 Youth
5.2.1	Boys & Girls Club of Lake County	\$23,043 CDBG	Suitable Living Environment	Availability	3,400 Youth
5.2.1	CASA of Lake County	\$8,000 CDBG	Suitable Living Environment	Availability	175 Youth
5.2.1	LaCASA	\$5,000 CDBG	Suitable Living Environment	Availability	24 Youth
5.2.1	Youth Conservation Corps	\$7,500 CDBG	Suitable Living Environment	Availability	17 Youth
5.2.1	Youthbuild Lake County	\$8,000 CDBG	Suitable Living Environment	Availability	34 Youth
Total					3,709 Youth

### Senior Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.2	Christ Church	\$5,000 CDBG	Suitable Living Environment	Availability	104 Seniors
5.2.2	Waukegan Township	\$20,000 CDBG	Suitable Living Environment	Availability	589 Seniors
Total					693 Seniors

### Basic Needs Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.3	Catholic Charities	\$5,000 CDBG	Suitable Living Environment	Availability	2,858 People
5.2.3	Christian Outreach of Lutherans (COOL)	\$5,000 CDBG	Suitable Living Environment	Availability	5,734 People
5.2.3	Emmanuel Faith Bible Christian Center	\$5,000 CDBG	Suitable Living Environment	Availability	2,383 People
5.2.3	Faith Food Pantry	\$5,000 CDBG	Suitable Living Environment	Availability	3,409 People
5.2.3	I-PLUS	\$5,000 CDBG	Suitable Living Environment	Availability	50 People
5.2.3	SER/Jobs for Progress – Fair Housing Center	Included in #1.6	Suitable Living Environment	Availability	53 People
Total					14,487 People

### Community Safety

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.4	Waukegan Police Department	\$19,000 CDBG	Suitable Living Environment	Availability	11,016 People
5.2.4	Lake County Sheriff's Office	\$5,000 CDBG	Suitable Living Environment	Availability	197 People
Total					11,213 People

### Other Public Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.5	Prairie State Legal Services	\$5,000 CDBG	Suitable Living Environment	Availability	19 People
5.2.5	Community Resource for Education & Wellness	\$8,000 CDBG	Suitable Living Environment	Availability	62 People



5.2.5	Literacy Volunteers of Lake County	\$5,000 CDBG	Suitable Living Environment	Availability	200 People
5.2.5	Urban Muslim Minority Alliance (UMMA)	\$5,000 CDBG	Suitable Living Environment	Availability	95 People
Total					376 People

### Code Enforcement

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.3	City of Waukegan – Code Enforcement Program	\$50,000 CDBG	Decent Housing	Sustainability	11,500 People
Total					11,500 People

### Program Oversight and Administration

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.4	City of Waukegan – CDBG Program Admin	\$220,725 CDBG	N/A	N/A	N/A
Total					

## **APPENDIX E: LAKE COUNTY CERTIFICATIONS**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities, which benefit low and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2008 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and

occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws --** It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board

Title

**Optional Certification  
CDBG**

Submit the following certification only when one or more of the activities in the Annual Action Plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Action Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title



### Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title

## ESG Certifications

The Emergency Shelter Grantee certifies that:

**Major rehabilitation/conversion** -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

**Essential Services and Operating Costs** -- Where assistance involves essential services or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

**Renovation** -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local, and private assistance.

**Matching Funds** -- It will obtain matching amounts required under 24 CFR 576.51.

**Confidentiality** -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

**Consolidated Plan** -- It is following a current HUD-approved Consolidated Plan or CHAS.

**Discharge Policy** -- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title

**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Department of Planning, Building and Development  
18 North County Street, Waukegan IL 60085

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## **APPENDIX F: NORTH CHICAGO CERTIFICATIONS**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title



### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities, which benefit low and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2008 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and

occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws --** It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title

**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago, 1850 Lewis Avenue, North Chicago, Lake, Illinois 60064

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## **APPENDIX G: WAUKEGAN CERTIFICATIONS**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title



### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities, which benefit low and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2008 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and

occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws --** It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title

**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 410 Robert V. Sabonjian Place, Waukegan, Lake, Illinois 60085-4316

Check ☐ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## APPENDIX H: CROSS-REFERENCE OF HUD REQUIREMENTS AND LAKE COUNTY CONSORTIUM'S 2008 ANNUAL ACTION PLAN

Section	HUD Requirement	(Revised) Page	Description
<b>91.105 and 91.200(b)</b>	<b>CITIZEN PARTICIPATION</b>		
	Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community, and faith-based organizations) involved in the development of the Action Plan.	24 - 26 92 - 100	Also see the February 2003 Citizen Participation Plan.
	Summary of the citizen participation and consultation process, and the public hearing comment period requirements.	24 - 26	See "Citizen Participation and Annual Action Plan Process".
	Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted).	101 - 106	See Appendix A: "Summary of Public Comments on the Proposed Annual Action Plan."
	Description of the lead agency or entity responsible for overseeing the development of the Action Plan.	94	See "Lake County Consortium" and "Lake County" portions of Section 8.0 "Institutional Structures and Coordination of Resources".
<b>91.220</b>	<b>ACTION PLAN</b>		
	Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials.	Front of application packet	
	DUNS Number listed in the Standard 424 Forms.	Front of application packet	
	Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration).	18 - 21	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities.	26 - 29	<ul style="list-style-type: none"> <li>See "Grant Application Process", "Grant Allocation Process", and Geographic Distribution"</li> </ul>

[illegible]

	Relationship to the priority needs identified/local specific objectives as listed in Consolidated Plan.	32 - 86	See individual project bullet point descriptions for bolded Consolidated Plan priorities.
	Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations (so citizens know the degree to which they may be affected).	32 - 86	See individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.
<b>91.220 (e)</b>	<b>OUTCOME MEASURES</b>		
	Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability).	30 - 31  32 - 86     119 - 132	<ul style="list-style-type: none"> <li>• See "Performance Measurement" section of the Annual Action Plan.</li> <li>• See individual "Project Outcomes" listed for each Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.</li> <li>• See Appendix D.</li> </ul>
	<b>EXPENDITURE LIMITS</b>		
	Identification of the 20% administrative cap for CDBG.	Lake County (13) North Chicago (15) Waukegan (17)	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 15% public service cap for CDBG.	Lake County (13) North Chicago (15) Waukegan (17)	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 10% administrative cap for HOME.	7	See "Notes Regarding the HOME Program Funding Recommendation Table"
	Identification of the 5% CHDO Operating Fund set-aside for HOME.	7	See "Notes Regarding the HOME Program Funding"

			Recommendation Table"
	Identification of the 15% CHDO set-aside for HOME.	7	See "Notes Regarding the HOME Program Funding Recommendation Table"
<b>91.220 (f)</b>	<b>GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES</b>		
	Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low-income.	18 -21	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the areas, including areas of minority concentration, of directed assistance.	18 - 21	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Estimate of the percentage of funds it plans to dedicate in target areas.		100% of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income.
<b>91.220 (g)</b>	<b>AFFORDABLE HOUSING GOALS</b>		
	One-year goals for the number of homeless, non-homeless, and special needs households to be provided affordable housing units.	32 - 52  119 - 132	<ul style="list-style-type: none"> <li>See Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0 and 2.0.</li> <li>See Appendix D.</li> </ul>
	One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units.	32 - 44	See "Lake County Consortium Housing Activities" in Section 1.0.
<b>91.220 (h)</b>	<b>PUBLIC HOUSING</b>		
	Included actions that address the following (if applicable): <ul style="list-style-type: none"> <li>needs of public housing,</li> <li>public housing improvements and resident initiatives</li> <li>assist troubled public housing agencies</li> </ul>	37          42	<ul style="list-style-type: none"> <li>See "Lake County Housing Authority, North Chicago Housing Authority, and Waukegan Housing Authority Capital Fund Program" section of the Annual Action Plan.</li> <li>See "Public Housing Resident Initiatives" section of the</li> </ul>



			Annual Action Plan.
<b>91.220 (i)</b>	<b>HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES</b>		
	Homeless prevention activities proposed in the Action Plan.	45 - 52	See "Lake County Consortium Homeless Activities" in Section 2.0.
	Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan.	45 - 52	See "Lake County Consortium Homeless Activities" in Section 2.0.
	Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, persons with alcohol or other substance abuse problems).	32 - 44	See "Lake County Consortium Housing Activities" in Section 1.0.
	Identification of specific action steps to end chronic homelessness.	45 - 52	See "Lake County Consortium Homeless Activities" in Section 2.0.
<b>91.220 (k)</b>	<b>OTHER ACTIONS</b>		
	Other proposed actions which will address the following (if applicable): <ul style="list-style-type: none"> <li>• foster and maintain affordable housing</li> <li>• public housing improvements and resident initiatives</li> <li>• evaluation and reduction of lead-based hazards</li> <li>• reducing the number of persons below the poverty line</li> <li>• developing institutional structures/enhancing coordination between public and private housing and social service agencies</li> </ul>	32 - 44 37 - 38, 42 43 - 44 87 92 - 100	In addition to specific page references shown to the left, also see the Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, 5.0, 6.0 and 8.0.
<b>91.220 (l)</b>	<b>PROGRAM SPECIFIC REQUIREMENTS</b>		
	<b>CDBG</b>		
	Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds).	Lake County (11 - 12) North Chicago (14 - 15) Waukegan (16 - 17)	See the CDBG Program summations for the Lake County, North Chicago, and Waukegan CDBG Recommendation Tables.
	Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income.		100% of Lake County, North Chicago, and Waukegan CDBG funded projects benefit persons of low and moderate income.
	<b>HOME</b>		
	Description of other forms of investment and homebuyer funded guidelines for resale and recapture funds.	29, 32 - 44	<ul style="list-style-type: none"> <li>• Specific sources of other financial resources are identified for housing projects in</li> </ul>

		107 - 109	<p>the individual activity descriptions, as the difference between the HOME grant and the total project costs.</p> <ul style="list-style-type: none"> <li>See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers".</li> </ul>
	Refinancing guidelines (if HOME funds are used to refinance existing debt secured by HOME funded rehabilitation of multifamily housing.	N/A	
	Description of homeownership funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds.	107 -109	See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers".
	Description of local market conditions that led to use of HOME funds for a tenant based rental assistance program.	34	See "Section 1.2: Tenant Based Rental Assistance" for the Maristella/Thresholds funded projects.
	Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.	40	See "Section 1.6, Fair Housing/Affirmatively Furthering Fair Housing."
	Description of actions taken to establish and oversee a Minority Outreach Program.	30	See "Minority Outreach Program" paragraph.
	<b>ADDI (if applicable)</b>		
	Description of planned use of ADDI funds.	38	See Affordable Housing Corporation ADDI FTHB project.
	Targeted Outreach Plan to residents, tenants, and families of public and manufactured housing and to other families assisted by public housing agencies.	3 24  38	<ul style="list-style-type: none"> <li>See the ADDI paragraph included within the "Executive Summary" and the "Overview" sections.</li> <li>See Section 1.4, Homebuyer Assistance for ADDI program information related to this topic.</li> </ul>
	Description of the actions taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as a provision of housing counseling to homebuyers.	3 24  38	<ul style="list-style-type: none"> <li>See the ADDI paragraph included within the "Executive Summary" and the "Overview" sections.</li> <li>See Section 1.4, Homebuyer Assistance for ADDI program information</li> </ul>

			related to this topic.
<b>91.230</b>	<b>MONITORING</b>		
	Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan.	88 - 91	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives.	88 - 91	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures.	88 - 91	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections.	88 - 91  66	<ul style="list-style-type: none"> <li>See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.</li> <li>See the Affordable Housing Corporation HOME-related inspection project.</li> </ul>
	Description of actions taken to monitor its subrecipients (including sponsors or administering agents).	88 - 91	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
<b>91.225</b>	<b>CERTIFICATIONS (general and specific certifications for each program)</b>		
	<b>General</b>		
	Affirmatively furthering fair housing	134 145 153	Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-displacement and relocation plan	134 145 153	Included In Lake County, North Chicago, and Waukegan Certifications.
	Drug-free workplace	134 145 153	Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-lobbying	135 146 154	Included In Lake County, North Chicago, and Waukegan Certifications.
	Authority of Jurisdiction	136 147 155	Included In Lake County, North Chicago, and Waukegan Certifications.
	Consistency with Consolidated Plan	136	Included In Lake

		147 155	County, North Chicago, and Waukegan Certifications.
	Section 3	136 147 155	Included In Lake County, North Chicago, and Waukegan Certifications.
	<b>CDBG**</b>		
	Citizen Participation	137 148 156	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Community Development Plan	137 148 156	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Following the Plan	137 148 156	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Use of Funds	137 148 156	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Excessive Force	138 149 157	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with anti-discrimination law	138 149 157	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with lead-based paint procedures	138 149 157	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with Laws	138 149 157	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	** Certification period for the CDBG program's overall benefit requirements must be consistent with the period certified in the prior certification	137 148 156	"2008" for Lake County, North Chicago, and Waukegan.
	<b>ESG</b>		
	Not less than 10-years	141	Included in ESG Certifications
	Not less than 3 years	141	Included in ESG Certifications
	Essential Services/Operating Costs Provision	141	Included in ESG Certifications
	Renovation that is Safe and Sanitary	141	Included in ESG Certifications

	Supportive Services	141	Included in ESG Certifications
	Match Requirements	141	Included in ESG Certifications
	Confidentiality	141	Included in ESG Certifications
	Employing or involving the homeless	141	Included in ESG Certifications
	Compliance with the Consolidated Plan	141	Included in ESG Certifications
	Discharge Policy	141	Included in ESG Certifications
	HMIS Participation	141	Included in ESG Certifications
	<b>HOME</b>		
	TBRA is consistent with the Consolidated Plan	140	Included In Lake County's Specific HOME Certifications.
	Use for eligible activities and Costs	140	Included In Lake County's Specific CDBG Certifications.
	Monitoring of HOME assisted projects, including subsidy layering.	88 - 91	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan, specifically the portion titled "Housing Program Procedures".

**SOURCE:**

New Local Action Plan Submission Provisions originally downloaded February 2, 2007 and re-confirmed January 2, 2008 from [www.hud.gov/offices/cpd/about/conplan](http://www.hud.gov/offices/cpd/about/conplan) that included "New local Action Plan regulatory provisions" that were last updated June 23, 2006.

Consolidated Plan Review Guidance, (pages 2 – 3 and pages 9 – 17) downloaded January 2, 2008 from [www.hud.gov/offices/cpd/about/conplan](http://www.hud.gov/offices/cpd/about/conplan) that included a "Local Consolidated Plan Review Checklist" that was last updated September 10, 2007.